

RECO

08-11-2010



**!EET** 

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To the Director of the US Patent and Trademark Office: Please record documents or the new address(es) below.					
Name of conveying party(ies):  Additional name(s) of conveying party.	William Lee Harrisor Anh-Vu Pham James E. Quilici Sidharth Dalmia (ies) attached? ☐ Yes ☒				
2. Name and address of receiving part	y(ies):				
Name: PlanarMag, Inc.					
Street address: 2945 Ramco Street, Suite 205 City: West Sacramento State: CA Zip: 95691 Country: USA Additional name(s) and address(es) attached?  Yes  No					
3. Nature of conveyance/Execution Da	ite(s):				
<ul> <li>✓ Assignment</li> <li>✓ Security Agreement</li> <li>✓ Merger</li> <li>✓ Change of Name</li> <li>Execution Date(s): 8/4/2010</li> </ul>		Joint Research Agreement Government Interest Assignment Executive Order 9424m Confirmatory License Other			
4. Application or patent number(s):					
A. Patent Application No.(s) 12/592771	B. Patent No.(s	) C. Agent Ref No.(s) PNM-101/US			
☐ This document is being filed together with a new application.  Additional numbers attached? ☐ Yes ☑ No					
5. Name and address to whom correspondence concerning document should be mailed:  Name: Lumen Patent Firm  Street address: 350 Cambridge Ave., Suite 100					
City: Palo Alto State:	CA Zip: 94306	Country: USA			
Phone No.: 650-424-0100 Fax No	o.: 650-424-0141	Email: admin@lumen.com			
6. Total number of applications and patents involved: 1					
7. Total fee (37 CFR 1.21(h) & 3.41): \$	40 🛛	Authorized to be charged by credit card			
8. Payment information: a. Credit Card Last 4 Numbers: 5900	Crea	dit Card Expiration Date: 10/2012			
9. Signature: Signature / Ron Jacobs / Reg.No. 50,142 Printed Name Ron Jacobs Date 8/5/10 Total number of pages including cover sheet, attachments and documents: 9					

08/09/2010 SZEWDIE1 00000061 12592771

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# WHEREAS, I/WE:

William Lee Harrison, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

Anh-Vu Pham, a citizen of USA, residing in West Sacramento, CA 95691, USA

James E. Quilici, a citizen of USA, residing in El Dorado Hills, CA 95762, U.S.A.

Sidharth Dalmia, a citizen of India, residing in West Sacramento, CA 95691, USA

Steven R. Kubes, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

- 1) For valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/we, the above-named Assignor(s), each sell and assign to <u>PlanarMag, Inc.</u>, a corporation having a place of business at <u>2945 Ramco Street</u>, <u>Suite 205</u>, <u>West Sacramento</u>, <u>CA 95691</u>, <u>USA</u>, hereinafter Assignee, the entire right, title and interest in and to the invention or inventions as described in US patent application <u>12/592771</u>, entitled <u>THE MANUFACTURE AND USE OF PLANAR EMBEDDED MAGNETICS AS DISCRETE COMPONENTS AND IN INTEGRATED CONNECTORS</u> filed on <u>12/1/2009</u>, and naming Assignor(s) as inventor(s), and to any and all applications for patent and patents in any and all countries relating to said invention(s), including all divisions, continuations, reissues and extensions thereof, and including all domestic and foreign rights of priority resulting from the filing of said US patent application.
- 2) I/we hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, and that at the time of execution and delivery of this assignment, I/we are the sole lawful owner(s) of the entire right, title and interest in and to said invention(s).
- 3) I/we hereby agree that Assignee may apply for foreign patents relating to said invention(s) and irrevocably authorize Assignee and grant Assignee the sole right to do so.
- 4) I/we agree that on request and without further consideration, but at the expense of Assignee, I/we will sign all lawful papers, make all rightful oaths, and testify in any legal proceedings relating to said invention(s), and generally aid Assignee, or its successors, assigns, or nominces, to obtain and enforce proper patent protection for said invention(s) in any and all countries.
- 5) I/we authorize and request any official whose duty it is to issue patents to issue any patent on said invention(s) or resulting therefrom to Assignee, or its successors, assigns, or nominees.

Agent Ref.: PNM-101/US 1/2 Assignment PID: 15074

Signature: Inventor:	William Lee Harrison	Date: 3/28/10
Signature: Inventor:	Anh-Vu Pham	Date:
Signature: Inventor:	James E. Quilici	Date:
Signature: Inventor:	Sidharth Dalmia	Date:
	Steven R. Kubes	Date:

Assignment PID: 15074 Agent Ref.: PNM-101/US 2/2

**PATENT** 

**REEL: 024821 FRAME: 0933** 

#### WHEREAS, I/WE:

William Lee Harrison, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

Anh-Vu Pham, a citizen of USA, residing in West Sacramento, CA 95691, USA

James E. Quilici, a citizen of USA, residing in El Dorado Hills, CA 95762, U.S.A.

Sidharth Dalmia, a citizen of India, residing in West Sacramento, CA 95691, USA

Steven R. Kubes, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

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Agent Ref.: PNM-101/US 1/2 Assignment PID: 15074

1

Signature: Inventor:	William Lee Harrison	Date:
Signature: Inventor:	Anh-Vu Pham	Date: <u>04/28  2010</u>
Signature: Inventor:	James E. Quilici	Date:
Signature: Inventor:	Sidharth Dalmia	Date: 4/28/10
Signature: Inventor:	Steven R. Kubes	Date:

Agent Ref.: PNM-101/US 2/2 Assignment PID: 15074

PATENT

REEL: 024821 FRAME: 0935



WHEREAS, I/WE

William Lee Harrison, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

Anh-Vu Pham, a citizen of USA, residing in West Sacramento, CA 95691, USA

James E. Quilici, a citizen of USA, residing in El Dorado Hills, CA 95762, U.S.A.

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Steven R. Kubes, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

1) For valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/we, the above-named Assignor(s), effective as of 12/1/2009, each sell and assign to PlanarMag, Inc., a corporation having a place of business at 2945 Ramco Street, Suite 205, West Sacramento, CA 95691, USA, hereinafter Assignee, the entire right, title and interest in and to the invention or inventions as described in US patent application 12/592771, entitled THE MANUFACTURE AND USE OF PLANAR EMBEDDED MAGNETICS AS DISCRETE COMPONENTS AND IN INTEGRATED CONNECTORS filed on 12/1/2009, and naming Assignor(s) as inventor(s), and to any and all applications for patent and patents in any and all countries relating to said invention(s), including

US patent application <u>12/592771</u> filed on <u>12/1/2009</u>,

PCT patent application PCT/US2009/006346 filed on 12/1/2009,

Taiwan patent application <u>098141208</u> filed on <u>12/2/2009</u>,

and including all divisions, continuations, reissues and extensions thereof, and including all domestic and foreign rights of priority resulting from the filing of said patent application(s).

- 2) I/we hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, and that at the time of execution and delivery of this assignment, I/we are the sole lawful owner(s) of the entire right, title and interest in and to said invention(s).
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PNM-101

2945 Ramco Street Tel 916 374.8800 Suite 205 West Sacramento, CA planarmag.com 95691

FoAssignment

**Planar** 2.2

Signature:		Date:
Inventor:	William Lee Harrison	
Signature:		Date:
inventor:	Anh-Vu Pham	
Signature: Inventor:	James E. Quilici	Date: <u>S/u/10</u>
Signature:		Date:
inventor:	Sidharth Dalmia	
Signature:		Date:
Inventor:	Steven R. Kubes	

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PNM-101

2945 Ramco Street Suite 205 West Sacramento, CA planarmag.com 95691

Tel. 916 374.8800 Fo**ASSIGNMEN** 

**REEL: 024821 FRAME: 0937** 

### WHEREAS, I/WE:

William Lee Harrison, a citizen of USA, residing in El Dorado Hills, CA 95762, USA

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Inventor:	Anh-Vu Pham	
Signature:	•	Date:
Inventor:	James E. Quilici	Date.
Signature:		Data
Inventor:	Sidharth Dalmia	Date:
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<b>^</b>	Ala	Date: 3/17/10
Signature:		Date:
Inventor:	Steven R. Kubes	

Agent Ref.: PNM-101/US 2/2 Assignment PID: 15074

**RECORDED: 08/09/2010**