

08-16-2010

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents

103604227

attached original documents or copy thereof

1. Name of conveying party(ies):

1. Joachim Steffen
2. Helmut Riesenegger
3. Klaus Abele
4. Hartmut Gaertner
5. Gerhard Herrmann
6. Juergen Kahler

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

1. 10 Aug 10 4. 05 Aug 10

Execution Date: 2. 05 Aug 10 5. 08 Aug 10

3. 09 Aug 10 6. 06 Aug 10

2. Name and address of receiving party(ies):

Name: Carl Zeiss Surgical GmbH

Address: Carl-Zeiss-Strasse 22
 73447 Oberkochen
 Federal Republic of Germany

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.
 12/662,984

B. Patent No.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Walter Ottesen
 Patent Attorney

Address: P.O. Box 4026
 Gaithersburg, Maryland 20885-4026

Phone No.: (301) 869-8950

Fax No.: (301) 869-8929

Email: WOPAT@aol.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41):..... \$40.00

- ☐ Enclosed
☐ Authorized to be charged to deposit account
☐ Authorized to be charged by credit card

8. Payment Information

- a. Credit Card Last 4 Numbers 4167
 Expiration Date 10/11
 b. Deposit Account Number _____
 Authorized User Name _____

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter Ottesen

Name of Person Signing

Signature

August 12, 2010

Date

Total number of pages comprising cover sheet: 7

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
 Mail Stop Assignment Recording Services, Director of the USPTO,
 P.O. Box 1450, Alexandria, VA 22313-1450

08/13/2010 SMOHAMME 00000092 12662984

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PATENT
 REEL: 024843 FRAME: 0608

ASSIGNMENT

Whereas, We, JOACHIM STEFFEN of Donauschwabenstrasse 9, 73463 Westhausen, Federal Republic of Germany, HELMUT RIESENEGGER of Goethestrasse 49, 89312 Guenzburg, Federal Republic of Germany, KLAUS ABELE of Kirchenweg 1, 73527 Schwaebisch Gmuend, Federal Republic of Germany, HARTMUT GAERTNER of Dietrich-Bonhoeffer-Strasse 8, 73447 Oberkochen, Federal Republic of Germany, GERHARD HERRMANN of Finkenweg 9, 73431 Aalen, Federal Republic of Germany, and JUERGEN KAHLER of Hauptstrasse 48, 73457 Essingen, Federal Republic of Germany, have, together with JUERGEN LIEGEL employed by CARL ZEISS AG, invented certain new and useful improvements in Surgical Microscope having an Illuminating System and Control Unit therefor for which application serial no. 12/662,984 for United States Letters Patent was filed on May 14, 2010, (said application having Attorney Docket No. P16684); and,

Whereas, CARL ZEISS SURGICAL GMBH, a company organized and existing under the laws of the Federal Republic of Germany, having its principal office at Carl-Zeiss-Strasse 22, 73447 Oberkochen, Federal Republic of Germany (hereinafter referred to as ZEISS), is desirous of obtaining Letters Patent for said invention in the United States;

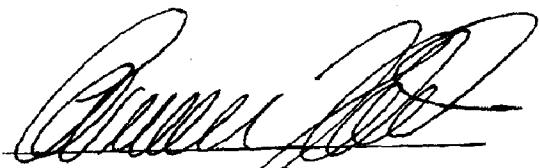
Now, therefore, we hereby confirm that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have transferred unto said ZEISS, its successors, assigns, nominees or other legal representatives, the full and exclusive right to said invention and have agreed to assign and transfer and do hereby assign and transfer unto said ZEISS, its successors, assigns, nominees or other legal representatives, the full and exclusive right, title and interest in and to said application including all priority rights under the International Convention for the Protection of Industrial Property associated therewith and the full and exclusive right to any and all Letters Patent which may be granted in the United States on said application to the full end of the term for which said Letters Patent is granted and to any and all divisional.


continuation, substitute and reissue applications derived from said application.

We agree for ourselves and for our heirs, executors and administrators to communicate to said ZEISS, its successors, assigns, nominees or other legal representatives, all facts known to us respecting said improvements, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and do all lawful acts requisite for the application, for such divisional, continuation, substitute and reissue applications or the procuring thereof, and we further covenant and agree that we will, at any time upon request, do everything legally possible to aid said ZEISS, its successors, assigns, nominees or other legal representatives, either in its or their own name, to maintain and enforce any patent for said improvements without further consideration but at the expense of said ZEISS, its successors, assigns, nominees or other legal representatives.

We hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all Letters Patent which may be granted upon any of said applications, to said ZEISS as the Assignee of the entire right, title and interest in and to the same, for its sole use and behoof; and for the use and behoof of its successors, assigns, nominees, or other legal representatives, to the full end of the term for which said Letters Patent may be granted.

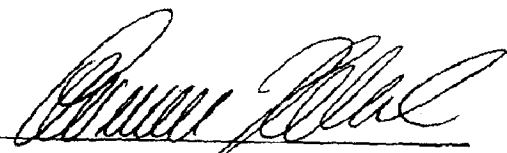
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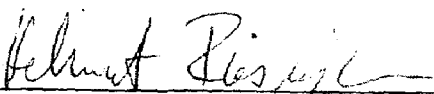

Carmen Helmle


Joachim Steffen

Date: August 10, 2010

Witness:


Carmen Helmle


Helmut Riesenegger


Date: August 05, 2010

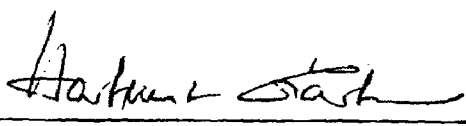
Witness:

_____ Klaus Abele

Date: _____

Witness:


Carmen Helmle


Hartmut Gaertner

Date: August, 05, 2010

Witness:

_____ Gerhard Herrmann

Date: _____

Witness:

Helmut Riesenegger

Date: _____

Witness:

W. J. J. J.

Klaus Abele

Klaus Abele

Date: *Aug. 09, 2010*

Witness:

Hartmut Gaertner

Date: _____

Witness:

Gerhard Herrmann

Date: _____

Witness:

Helmut Riesenegger

Date: _____

Witness:

Klaus Abele

Date: _____

Witness:

Hartmut Gaertner

Date: _____

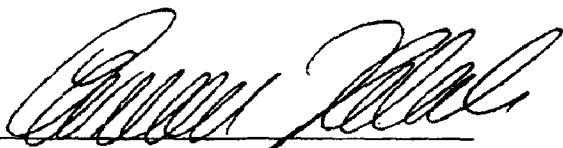
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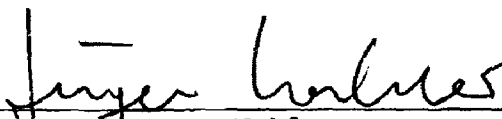
Brigitte Herrmann

Gerhard Herrmann
Gerhard Herrmann

Date: Aug. 08, 2010

Witness:


Carmen Helmle


Jürgen Kahler

Date: August 06 2010