

# PATENT ASSIGNMENT

Electronic Version v1.1

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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
<b>CONVEYING PARTY DATA</b>											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Mei-chang KUO</td> <td>05/12/2009</td> </tr> <tr> <td>Michael ELDON</td> <td>05/20/2009</td> </tr> <tr> <td>YiQiong YUAN</td> <td>04/30/2009</td> </tr> </tbody> </table>		Name	Execution Date	Mei-chang KUO	05/12/2009	Michael ELDON	05/20/2009	YiQiong YUAN	04/30/2009		
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<b>RECEIVING PARTY DATA</b>											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>NEKTAR THERAPEUTICS</td> </tr> <tr> <td>Street Address:</td> <td>201 Industrial Road</td> </tr> <tr> <td>City:</td> <td>San Carlos</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94070</td> </tr> </table>		Name:	NEKTAR THERAPEUTICS	Street Address:	201 Industrial Road	City:	San Carlos	State/Country:	CALIFORNIA	Postal Code:	94070
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PROPERTY NUMBERS Total: 1											
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<b>CORRESPONDENCE DATA</b>											
Fax Number: (650)620-6395 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Email: atran@nektar.com Correspondent Name: NEKTAR THERAPEUTICS Address Line 1: 201 Industrial Road Address Line 4: San Carlos, CALIFORNIA 94070											
ATTORNEY DOCKET NUMBER:	0260.00										
NAME OF SUBMITTER:	Mark A. Wilson										
Total Attachments: 10 source=0260.00 - Executed Assignment#page1.tif source=0260.00 - Executed Assignment#page2.tif source=0260.00 - Executed Assignment#page3.tif											

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**PATENT**  
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**ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

- 1) Mei-Chang KUO, citizen of USA, 1823 Middlefield Road, Palo Alto, California 94301 USA
- 2) Michael ELDON, citizen of USA, 3323 Oak Knoll Drive, Redwood City, California 94062 USA
- 3) YUAN, YiQiong, citizen of China, 1216 Request St. Apt. G, Alameda, California 94501 USA

do hereby sell, assign and transfer to Nektar Therapeutics, a Delaware Corporation, Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801 USA, and its successors, assigns and legal representatives, all of our right, title and interest for all countries of the world (Nektar Therapeutics hereinafter referred to collectively as the "ASSIGNEES") in and to (1) all of our inventions and discoveries described in the patent application(s) titled

**INSULIN DERIVATIVES FORMULATIONS FOR PULMONARY DELIVERY**

and filed in the United States Patent and Trademark Office on \_\_\_\_\_, 20\_\_ and accorded Application Number 12/374,891 \* and/or filed in the RO/US on 27 July 2007 and accorded International Patent Application Number PCT/US2007/016903 (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on 27 July 2006 and accorded Application Number 60/833,731 (5) for all patent applications, all rights of priority based upon the United States patent application identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 35 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the names of **ASSIGNEES** or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (6) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (6) hereinafter referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said **ASSIGNEES** and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

\*We hereby authorize **ASSIGNEES** and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of **ASSIGNEES** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEES** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as **PATENT** necessary or

(joint)

Patent Case 53333

desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEES or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEES or their successors, assigns or legal representatives.

Executed this 12<sup>th</sup> day of May, 2009.

Mei-Chang Kuo L.S.  
Mei-Chang KUO

ACKNOWLEDGEMENT

State of California )  
 ) s.s.:  
County of Santa Clara )

On this 12<sup>th</sup> day of May, 2009, personally appeared before me Mei-Chang Kuo to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that <sup>Proved</sup> ~~(s)~~ he executed the same of his ~~(her)~~ own free will for the purpose set forth.

(Seal)



Theresa Poon  
Notary Public

(joint)

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Michael ELDON L.S.

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_ )  
 ) s.s.:  
County of \_\_\_\_\_ )

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purpose set forth.

(Seal)

\_\_\_\_\_  
Notary Public

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Yuan YIQIONG L.S.

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_ )  
 ) s.s.:  
County of \_\_\_\_\_ )

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Notary Public

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(joint)

**Patent Case 53333**

desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of **ASSIGNEES** or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEES** or their successors, assigns or legal representatives.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Mei-Chang KUO L.S.

**ACKNOWLEDGEMENT**

State of \_\_\_\_\_ )  
 ) s.s.:  
County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, personally appeared before me \_\_\_\_\_ to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.

(Seal)

\_\_\_\_\_  
Notary Public

(joint)

Executed this 20 day of may, 2009.

\_\_\_\_\_  
 Michael ELDON

L.S.

## ACKNOWLEDGEMENT

State of \_\_\_\_\_ )  
 ) s.s.:  
 County of \_\_\_\_\_ )

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(Seal)

*see attached*

\_\_\_\_\_  
 Notary Public

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
 YiQiong YUAN

L.S.

## ACKNOWLEDGEMENT

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(Seal)

\_\_\_\_\_  
 Notary Public



# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of San Mateo

On 20 May 2009 before me, Kathy E Honnert Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared Michael Eldon

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

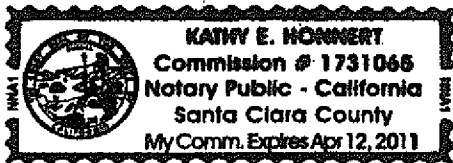
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Kathy E Honnert  
Signature of Notary Public

Place Notary Seal Above



## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document

Title or Type of Document: Assignment

Document Date: 20 May 2009

Number of Pages: 3

Signer(s) Other Than Named Above: -

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Michael Eldon

☒ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER

Top of thumb here

Signer's Name: \_\_\_\_\_

☐ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

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\_\_\_\_\_  
Mei-Chang KUO L.S.

State of \_\_\_\_\_ )  
 ) s.s.:  
County of \_\_\_\_\_ )

Notary Public

(joint)

Patent Case 53333

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Michael ELDON L.S.

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County of \_\_\_\_\_ )

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(Seal)

\_\_\_\_\_  
Notary Public

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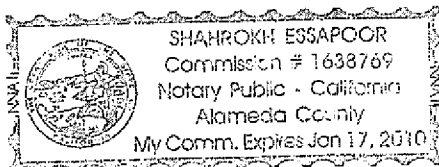
Yi Qiong Yuan  
\_\_\_\_\_  
YiQiong YUAN L.S.

## ACKNOWLEDGEMENT

State of California )  
 ) s.s.:  
County of Alameda )

On this 30th day of April, 2009, personally appeared before me  
YiQiong Yuan to me known and known by me to be the same person described in and who executed the  
foregoing Assignment and acknowledged that (s)he executed the same of h/s (her) own free will for the  
purpose set forth.

(Seal)



S. Essapoore  
\_\_\_\_\_  
Notary Public