## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Michael A. Martinelli	07/31/2003
Winchester Development Associates	07/31/2003
Enterprise Medical Technology and Dehon, Inc.	12/22/2004

## **RECEIVING PARTY DATA**

Name:	Surgical Navigation Technologies, Inc.
Street Address:	826 Coal Creek Circle
City:	Louisville
State/Country:	COLORADO
Postal Code:	80027

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12880460

## **CORRESPONDENCE DATA**

Fax Number: (248)641-0270

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 248-641-1600 Email: pneal@hdp.com

Correspondent Name: Harness, Dickey, & Pierce, PLC

Address Line 1: P.O. Box 828

Address Line 4: Bloomfield Hills, MICHIGAN 48303

ATTORNEY DOCKET NUMBER:	5074A-000024/COC
NAME OF SUBMITTER:	Richard W. Warner

**Total Attachments: 12** 

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PATENT REEL: 024977 FRAME: 0224 12880460

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## **ASSIGNMENT**

This agreement is made and entered into as of the day of day of ("Effective Date"), by and between Michael A. Martinelli, Ph.D., an individual, located at 58 Wedgemere Avenue, Winchester, MA 01890, Winchester Development Associates, a sole proprietorship located at 58 Wedgemere Avenue, Winchester, MA 01890, and Enterprise Medical Technology, a Massachusetts corporation having offices at 20 Acorn Park, Cambridge, MA 02140-2390 (hereinafter "Assignors"), and Surgical Navigation Technologies, Inc., a Colorado corporation having a principal office at 826 Coal Creek Circle, Coal Creek Corporate Center One, Louisville, CO 80027 (hereinafter "Assignee").

## **BACKGROUND**

Assignors collectively own all right, title, and interest in and to all patents and patent applications identified in Schedule A, the inventions disclosed and claimed therein, and all patent applications that rely on any of the patents and/or patent applications for priority (hereinafter "Patents").

Assignors collectively are the owner of certain unpublished research and development information, unpatented inventions, know-how, trade secrets, and technical data relating to the design and development of electromagnetic position tracking systems (hereinafter "Proprietary Information"), a list identifying the Proprietary Information is attached as Schedule B.

Assignee desires to acquire, and Assignors are willing to assign to Assignee, all of Assignors' right, title, and interest in and to the Proprietary Information, the Patents, and any inventions disclosed and/or claimed in the Patents and any improvements therein, all of which will be hereinafter collectively referred to as "Intellectual Property".

## GRANT

NOW, THEREFORE, for valuable consideration, the receipt and sufficiency of which is hereby knowledge, Assignors hereby transfer, grant, convey, assign, and relinquish exclusively to Assignee, its successors and assigns, all of Assignors' right, title, and interest in and to the Intellectual Property including the Proprietary Information, as well as the Patents, the inventions claimed in the Patents and any patent applications in any country directed to the inventions claimed therein, all continuations, continuation-in-part applications, divisionals, reissues, reexaminations, renewals and extensions thereof, and all rights to claim priority on the basis of the Patents or the patent applications, and all accrued causes of action for damages for infringement thereof.

In furtherance of this Agreement, Assignors hereby acknowledge that, from the Effective Date forward, Assignee has succeeded to all of Assignors' right, title, and standing to receive all rights and benefits pertaining to the Intellectual Property, institute and prosecute all suits and proceedings, and take all actions that Assignee, in its sole discretion, may deem necessary or proper to collect, assert, or enforce any claim, right, or title of any kind under any and all of the Intellectual Property, whether arising before or after the Effective Date, defend and compromise any and all such actions, suits, or proceedings relating to such transferred and assigned rights, title, interest, and benefits, and do all other such acts and things in relation thereto as Assignee, in its sole discretion, deems advisable. Assignors hereby authorize and request the Commissioner of Patents and

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Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above to issue all Letters Patents for inventions to Assignee, it successors and assigns, in accordance with the terms of this agreement.

Assignors shall execute and deliver to Assignee, from time to time after the date hereof upon the request of Assignee, such further conveyance instruments as may be necessary or desirable to evidence more fully the transfer of ownership of all the Intellectual Property to Assignee, or the original ownership of all the Intellectual Property on the part of Assignors, to the fullest extent possible. Assignors further agree to provide testimony in connection with any proceeding affecting the right, title, interest, or benefit of Assignee in and to the Intellectual Property and to perform any other acts deemed necessary to carry out the intent of this Agreement. Assignee shall reimburse Assignors for any and all costs reasonably incurred by Assignors in performance under this paragraph.

This Agreement shall inure to the benefit of, and be binding on, the parties hereto together with their respective legal representatives, successors, and assigns.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement under seal the day and year first above written.

For and on behalf of MICHAELA. MARTINELLI, PH.D.	SWORN TO BEFORE ME
By: Michald Martinghi	This 3 Lot day of Stuly 2003
•	Shil The Silver
	Notary Public Notary Public
For and on behalf of WINCHESTER DEVELOPMENT ASSOCIATES	MY COMMISSION EXPIRES SWORN TO BEFORE ME JANUARY 20, 2006
Ву:	This 3 Al day of Fuly 2003
Full Name: Michael M. Martiela	Shil P. Durlin
Capacity: Presupert	Notary Public
For and on behalf of	
ENTERPRISE MEDICAL TECHNOLOGY	SWORN TO BEFORE ME
Full Name: Stephen S. Gray	This aday of lace to a
Full Name: Steph Ry S. Cray  Capacity: Plan Administrative	Notary Public

For and on behalf of SURGICAL NAVIGATION TECHNOLOGIES, INC.

SWORN TO BEFORE ME

This 27th day of December, 2004.

WILLIAM HUNTER FRUGA WELLAM HUNTER

Capacity: SR. DIRECTOR, Business Develop t

hereby declare acceptance of the assigned rights as mentioned above:

## ADDENDUM TO ASSIGNMENT

This ADDENDUM shall become a part of and incorporated into the Assignments (hereinafter "Assignment") made on the day of day of

WHEREAS, Enterprise Medical Technology and Dehon, Inc. (Enterprise Medical Technology's successor in interest as a result of court-ordered substantive consolidation) (hercinafter together "EMT") is currently winding-up its business operations under Chapter 11 of the United States Bankruptcy Code.

WHEREAS, EMT is required from time to time under the Assignment to execute instruments and take other steps for the benefit of Assignee.

WHEREAS, various Patents in the Intellectual Property have expired (hereinafter "Expired Patents") based upon unintentional and/or unavoidable delay in the payment of maintenance fees for the Expired Patents, which patents are now beneficially owned by Assignee.

WHEREAS, this Addendum is made between EMT and Assignee, and is not intended to modify any obligations of Martinelli and Winchester under the Assignment.

NOW THEREFORE, in consideration of the representations, agreements and release contained herein, and for other valuable consideration, the receipt and adequacy of which is hereby acknowledged, Assignee and EMT mutually agree as follows:

EMT acknowledges the receipt of One Million Six Hundred Thousand Dollars (\$1,600,000.00) received by EMT under the Agreement, effective October 9, 2001, between EMT and Sofamor Danek Holdings, Inc., and further acknowledges that amount was reasonable and sufficient.

To the extent that EMT is an ongoing enterprise or is otherwise readily available, EMT will make all reasonable efforts to execute documents prepared by Assignee that are reasonably acceptable in form and substance to EMT and will take such other reasonable steps at no cost to EMT as Assignee deems necessary and appropriate so that Assignee can enjoy the full benefit of and exploit all Intellectual Property, including the Expired Patents at the expense of Assignee, but for no additional consideration. In this regard, EMT will, to the extent that EMT is an ongoing enterprise or is otherwise readily available, without limitation, execute any documents prepared by Assignee that are reasonably acceptable in form and substance to EMT, reasonably necessary to revive the Expired

Patents and cooperate in providing any necessary information that is readily available for Assignee to prepare any documents necessary to revive the Expired Patents, including providing evidence to the United States Patent and Trademark Office that the delay in paying maintenance fees for the Expired Patents was unintentional and/or unavoidable, all at Assignee's expense and at no cost to EMT.

Notwithstanding and without limiting its obligations under the Assignment and this Addendum, EMT also hereby irrevocably designates, nominates, constitutes and appoints Assignee (which may act through any of its duly authorized officers or agents), to act on behalf of EMT, as EMT's agent and attorney-in-fact, and in EMT's stead, in the sole discretion of Assignee, for the purpose of discharging EMT's obligations under the Assignment and/or this Addendum, and EMT hereby grants to Assignee the specific power and authority to execute, file and register any and all documents, and to do any and all such other lawful acts, deeds, matters and things which are incidental thereto, for and on behalf of EMT, that may be required of EMT at any time under the Original Assignment and/or this Addendum. If Assignee exercises this power of attorney, it shall be irrefutably presumed that EMT is unable to, or has elected not to, execute such document itself, and that EMT wishes to have Assignee execute the same pursuant to its power of attorney. Assignee's exercise of its rights under this power of attorney shall be Assignee's sole remedy against EMT in the event EMT is not reasonably able to execute a document or take other action requested by Assignee as required under the Assignment.

Assignee and EMT for themselves and each of their respective officers, directors, partners, agents, employees, attorneys, and successors (but not Martinelli and Winchester), do hereby mutually release one another from all claims which in any way arise out of the Exclusive Option and License Agreement dated October 6, 1999 among Sofamor Danek Holdings, Inc., Winchester, and EMT to the extent such claims are related to conduct or omissions occurring prior to the effective date of this Agreement.

Assignee and EMT agree that by signing this Addendum, this Addendum shall become a part of and incorporated into the above-identified Assignment and that the obligations of EMT under the Assignment shall be subject to the terms hereof. Any modifications of this Addendum must be expressly assented to by Assignee in writing.

For and on behalf of TECHNOLOGY SWORN TO BEFORE ME ENTERPRISE MEDICAL

Notary Public

PATENT REEL: 024977 FRAME: 0230

December 200

DEHON, INC.	SWORN TO BE	FORE ME
B/X 34/8	This, Dane	day of December
Full Name: Stephen S Gray	- MV	State
Capacity: Plan Administrat	Notary Public	
		-
hereby declare acceptance of the assigned rights as men	ntioned above:	
For and on behalf of		DODE V
SURGICAL NAVIGATION TECHNOLOGIES, INC.	SWORN TO BE	7
By: Mil Thi	This 27th	day of December 200
Full Name: ALBOY LATING IN LIVETED	Franch.	NA XUO-

Notary Public Capacity: SR. DIRECTOR, BUSINESS DEVELOPMENT

Patient-Shielding and Coil System	Patient-Shielding and Coil System	Patient-Shielding and Coil System	Coil Structures and Methods for Generating Magnetic Fields	111				
Winchester Development Associates and Enterprise Medical Technology, Inc. Michael Martinelli Brad Jascob Mark W. Hunter	Michael Martinelli Brad Jascob Mark W. Hunter	Michael Martinelli Paul Kessman Brad Jascob	Michael Martinelli Brad Jascob Mark W. Hunter	Brad Jascob Paul Kessman Michael Martinelli	INVENTOR(S) APPLICANT			
WO01/30437								PATENT NO.
May 3, 2001								ISSUE DATE
US00/29730 .	09/698,895	60/161,989	AU200112408 AU200112408(A)	US00/29733 WO200131466	EP00973969.9	09/698,896	60/161,990	SERIAL NO. PUBLICATION NO.
October 27, 2000	October 27, 2000	October 28, 1999	October 27, 2000 May 8, 2001	October 27, 2000 May 3, 2001		October 27, 2000	October 28, 1999	TAAG NOHNOHBIB AINGDAHI

THE	INVENTOR(S) APPLICANT	PATENT NO.	ISSUE DATE	SERIAL NO. PUBLICATION NO.	FILING DATE PUBLICATION DATE
Patient-Shielding and Coil System	Michael Martinelli Brad Jascob Mark W. Hunter			EP00972380.0 EP1257317	October 27, 2000 November 20, 2002
Patient-Shielding and Coil System	Michael Martinelli Brad Jascob Mark W. Hunter			AU2001011049	October 27, 2000 May 8, 2001
Method and System for Navigating a Catheter Probe in the Presence of Field-Influencing Objects	Michael Martinelli Paul Kessman Brad Jacsob			60/161,991	October 28, 1999
Method and System for Navigating A Catheter Probe in the Presence of Field-Influencing Objects	Michael Martinelli Paul Kessman Brad Jascob	6,493,573	December 10, 2002	09/589,779	June 8, 2000
Method and System for Navigating A Catheter Probe in the Presence of Field-Influencing Objects	Winchester Development Associates and Enterprise Medical Technology, Inc.	WO01/30,256	May 3, 2001	PCT/US00/29721 WO200130256	October 27, 2000 May 8, 2001
Method and System for Navigating A Catheter Probe in the Presence of Field-Influencing Objects	Michael Martinelli Paul Kessman Brad Jascob			CA2,388,570 CA2,388,570AA	October 27, 2000 May 3, 2001
Method and System for Navigating A Catheter Probe in the Presence of Field-Influencing Objects	Michael Martinelli Paul Kessman Brad Jascob			EP00972378.4 EP1227767	October 27, 2000 August 7, 2000
Method and System for Navigating A Catheter Probe in the Presence of Field-Influencing Objects	Michael Martinelli Paul Kessman Brad Jascob			AU200111047	October 27, 2000 May 8, 2001

**PATENT** 

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Surgical Sensor	Surgical Sensor	Surgical Sensor	Method and System for Navigating a Catheter Probe	Method and System for Navigating a Catheter Probe	Method and System for Navigating a Catheter Probe	Method And System For Navigating A Catheter Probe	Method and System for Navigating a Catheter Probe	Method and System for Navigating a Catheter Probe	Method and System for Navigating A Catheter Probe in The Presence of Field-Influencing Objects	STATE
Winchester Development Associates	Surgical Navigation Technologies	Mark W. Hunter Sheri McCoid Paul Kessman	Michael Marunelli	Michael Martinelli	Winchester Development Associates <sup>1</sup>	Michael Martinelli	Michael Martinelli Wayne Haase	Michael Martinelli	Michael Martinelli Paul Kessman Brad Jascob	INVENTOR(S) APPLICANT
	i	6,499,488						5,592,939		PATENT NO.
·		December 31, 2002						January 14, 1997		TSSUE DATE
PCT/US00/29880 W/O200130257	DE10053457.0	09/428,721	JP97503261 JP11510406	EP96919360.6 EP836416	US96/10050 WO9700043	09/494,213	09/231,854	490,342	10/252,258 20030117135	SERIALNO, PUBLICATION NO.
October 27, 2000 May 3, 2001	October 27, 2000	October 28, 1999	June 11, 1996 September 14, 1999	June 11, 1996 April 22, 1998	June 11, 1996 January 3, 1997	January 24, 2000	January 14, 1999	June 14, 1995	September 23, 2002 June 26, 2003	PHING DATE PUBLICATION DATE

<sup>&</sup>lt;sup>1</sup> Subject to co-inventorship claim

Acoustic Image System and Method	Acoustic Image System and Method	Acoustic Image System and Method	Acoustic Image System and Method	System and Method for Navigating a Multiple Electrode Catheter	Surgical Sensor	Surgical Sensor	Surgical Sensor	Surgical Sensor	THE
Michael A. Martinelli Peter von Thuna	Michael A. Martinelli Peter von Thuna	Michael A. Martinelli Peter von Thuna	Michael A. Martinelli Peter von Thuna	Michael A. Martinelli	Michael A. Martinelli Mark W. Hunter Sheri McCoid Paul Kessman	Michael Martinelli Mark W. Hunter Sheri McCoid Paul Kessman	Michael Martinelli Mark W. Hunter Sheri McCoid Paul Kessman	Michael Martinelli Mark W. Hunter Sheri McCoid Paul Kessman	APPLICANT
		JP2765738	4,821,731	6,104,944					PARENTNO
		April 3, 1998	April 18, 1989	August 15, 2000				·	1881 1 13811
AU8927965A AU8927965A1 AU620580B2	WO8905123 WO8905123	JP500493/1989	129,830	08/972,061	10/289,869 20030066538	AU200113533	DE10085137.1	EP00975487.0 EP1257223	STRAL VO
September 30, 1988 July 5, 1989 February 20, 1992	September 30, 1988 June 15, 1989	September 30, 1988	December 8, 1987	November 17, 1997	November 7, 2002 April 10, 2003	October 27, 2000 May 8, 2001	October 27, 2000 November 7, 2002	October 27, 2000 November 20, 2002	THING DAIL

HHE	INVENTOR(S) APPLICANT	PATENT NO.	ISSUE DATE	SERMENO PUBLICATION NO.	FILING DATE PUBLICATION DATE
Acoustic Image System and	Michael A.			EP89900388	September 30, 1988
Method	Martinelli			EP393113A1	October 24, 1990
	Peter von Thuna			EP393113A4	September 25, 1991
Acoustic Image System and	Michael A.	CA1,293,048	December 10, 1991	CA580,043	October 13, 1988
Method	Martinelli				
	Peter von Thuna				
Ultrasonic Transducer	Michael A.	4,862,893	September 5, 1989	151,394	February 2, 1988
	Martinelli				
Ultrasonic Transducer	Michael A.		i	WO88US4243	November 29, 1988
	Martinelli			WO8906934	August 10, 1989
Ultrasonic Transducer	Michael A.			AU8929288	November 29, 1988
	Martinelli				August 25, 1989
Ultrasonic Transducer	Michael A.	5,002,058	March 26, 1991	340,050	April 18, 1989
	Martinelli				
Method of Making A	Michael A.	4,977,655	December 18, 1990	340,383	April 18, 1989
Transducer	Martinelli				

## SCHEDULE B

### Invention Disclosures Entitled:

- Navigation Catheter Based Coronary Artery Bypass;
- Self-Calibrating System for Navigating a Catheter Probe;
- Patient Shielding System for Magnetic Navigation System;
- High U-Core Ultra Small Navigated Sensor;
- Soft Tissue Morphed Display;
- Correcting Navigation Errors Due to Nearby Objects that are Electrically Conductive, March 20,1 999 (with input from Surgical Navigation Technologies, Inc.);
- Non-Overlapping Navigation Coil Structure, March 21, 1999 (including documents relating to removal of vertical end coils);
- Calculating Magnetic Field Equations with Infinite Conductive Sheet or EM Shield Method (O.R. table correction), March 3, 1999;
- Navigating a Ferromagnetic Electrically Conductive Tool Simultaneously with the Navigation of a Catheter, April 15, 1999;
- Disturbance Correction Using Known Sensor Placement Error Elimination Technique, April 20, 1999;
- Disclosure 5/9/99: Correcting Navigation Errors Due to Nearby Objects that are Electrically Conductive – A Generalized Approach (Background – see 3/20/99 disclosure).

### Know-How Directed To:

- Product Concept Device;
- Prototype Designs:

RECORDED: 09/13/2010

- i). Coils set design software and shielding technology;
- ii). Coil driver technology;
- iii). Pre-amplifier;
- iv). Signal extraction.
- Navigation Software and Self Calibration;
- Fabrication Techniques and Material Suppliers/Contractors;
- Know-how and trade secrets acquired by EMT from Intra-Sonix, Inc.

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