

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Christopher C. BENZ	06/10/1996
Demetrios P. PAPAHADJOPOULOS (Deceased)	06/07/1996
Francis C. SZOKA (Legal Representative)	07/26/1999
John W. PARK	06/11/1996
Keelung HONG	06/07/1996
Dmitri KIRPOTIN	06/06/1996
RECEIVING PARTY DATA	
Name:	The Regents of the University of California
Street Address:	1111 Franklin Street
Internal Address:	12th Floor
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12370038
CORRESPONDENCE DATA	
Fax Number:	(415)576-0300
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	415-576-0200
Email:	jhdallara@townsend.com
Correspondent Name:	Kenneth A. Weber
Address Line 1:	Two Embarcadero Center
Address Line 2:	8th Floor
Address Line 4:	San Francisco, CALIFORNIA 94111-3834
ATTORNEY DOCKET NUMBER:	02307E-053640US

CH \$40.00 12370038

501294869

PATENT
REEL: 025009 FRAME: 0406

NAME OF SUBMITTER:

Jo Ann Honcik Dallara

Total Attachments: 16

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TOWNSEND & TOWNSEND
& CREW



307E-053610us
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
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OCTOBER 22 9 56 31 AM '96

PTAS
TOWNSEND AND TOWNSEND AND CREW LLP
TOM HUNTER
TWO EMBARCADERO CENTER, 8TH FLOOR
SAN FRANCISCO, CALIFORNIA 94111-3834



100251962A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/15/1996

REEL/FRAME: 8075/0091
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BENZ, CHRISTOPHER C.

DOC DATE: 06/10/1996

ASSIGNOR:

PAPAHADJOPOULOS, DEMETRIOS

DOC DATE: 06/07/1996

ASSIGNOR:

PARK, JOHN W.

DOC DATE: 06/11/1996

ASSIGNOR:

HONG, KEELUNG

DOC DATE: 06/07/1996

ASSIGNOR:

KIRPOTIN, DMITRI

DOC DATE: 06/06/1996

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE
300 LAKESIDE DRIVE, 22ND FL.
OAKLAND, CALIFORNIA 94612-3550

PATENT
REEL: 025009 FRAME: 0408

8075/0091 PAGE 2

SERIAL NUMBER: 08634197
PATENT NUMBER:

FILING DATE: 04/18/1996
ISSUE DATE:

JACQUELINE MOORE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

08-14-1996

Attorney Docket No. 02307E-053610

FORM PTO-11
(Rev. 6-93)



OPTIONAL FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable 100251962

to record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Christopher C. Benzly

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):
Name: The Regents of the University of California
Internal Address:
Street Address: 300 Lakeside Drive, 22nd Fl.
City: Oakland State: California ZIP: 94612-3550

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other:

Execution Date: 6/10/96, 6/7/96, 6/11/96, 6/7/96, 6/6/96

4. Application number(s) or patent number(s).
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s) 08/634,197
B. Patent No.(s) 75888 U.S. PTO

Additional numbers attached? Yes No

75888 U.S. PTO

08/12/96

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Tom Hunter
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):..... \$ 40.00
 Enclosed Charge Fees to Deposit Account
 Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tom Hunter
Name of Person Signing
Signature
Atty. Reg. No. 38,498
Date 10 July 1996
Total number of pages including cover sheet, attachments, and document: 7

10. Change Correspondence Address to that of Part 5? Yes No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:
Do not detach this portion
Asst. Commissioner for Patents
Box Assignments
Washington, D.C. 20231

40E

PATENT
REEL: 025009 FRAME: 0410

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Demetrios Papahadjopoulos
John W. Park
Keelung Hong
Dmitri Kirpotin

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

4. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

F:\DATA\PS\PTOD\TAM\63258.1

ASSIGNMENT

U.C. Case No. 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Christopher C. Benz
- 2. Demetrios Papahadjopoulos
- 3. John W. Park
- 4. Keelung Hong
- 5. Dmitri Kirpotin
- 6. _____

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) X U.S. patent application executed on 6/10/96
entitled as above and listing the above-named persons as inventors
- (b) X U.S. application serial no. 08/634,197, filed on 4/18/96
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application, or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

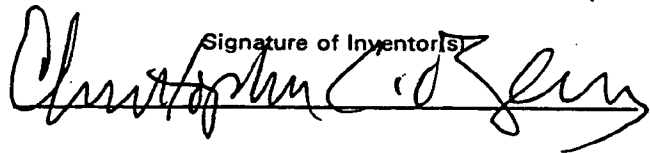
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

10th day of June, 1996
 _____ day of _____, 19____
 _____ day of _____, 19____
 _____ day of _____, 19____
 _____ day of _____, 19____

Signature of Inventor(s)


U.C. Case No. 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Christopher C. Benz
- 2. Demetrios Papahadiopoulos
- 3. John W. Park
- 4. Keelung Hong
- 5. Dmitri Kirpotin
- 6. _____

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IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) U.S. patent application executed on 6/7/96
entitled as above and listing the above-named persons as inventors
- (b) U.S. application serial no. 08/634,197, filed on 4/18/96
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

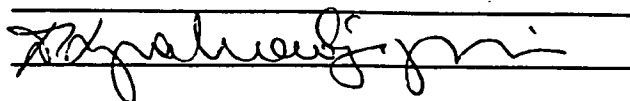
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AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

____ day of _____, 19____
7th day of June, 1996
 ____ day of _____, 19____
 ____ day of _____, 19____
 ____ day of _____, 19____



ASSIGNMENT

U.C. Case No. 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Christopher C. Benz
- 2. Demetrios Papahadiopoulos
- 3. John W. Park
- 4. Keelung Hong
- 5. Dmitri Kirpotin
- 6. _____

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IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) x U.S. patent application executed on 6/11/96
entitled as above and listing the above-named persons as inventors
- (b) X U.S. application serial no. 08/634,197, filed on 4/18/96
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application, or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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executed this

Signature of Inventor(s)

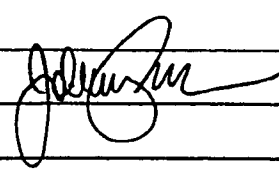
____ day of _____, 19____

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11 day of June, 1996

____ day of _____, 19____

____ day of _____, 19____



ASSIGNMENT

U.C. Case No. 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Christopher C. Benz
- 2. Demetrios Papahadjopoulos
- 3. John W. Park
- 4. Keelung Hong
- 5. Dmitri Kirpotin
- 6. _____

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IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) U.S. patent application executed on 6/7/96
entitled as above and listing the above-named persons as inventors
- (b) U.S. application serial no. 08/634,197, filed on 4/18/96
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application, or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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executed this

Signature of Inventor(s)

____ day of _____, 19____

____ day of _____, 19____

____ day of _____, 19____

7th day of June, 1996

____ day of _____, 19____

Keelung Hong

U.C. Case No. 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Christopher C. Benz
- 2. Demetrios Papahadjopoulos
- 3. John W. Park
- 4. Keelung Hong
- 5. Dmitri Kirpotin
- 6. _____

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IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) U.S. patent application executed on 6/6/96
entitled as above and listing the above-named persons as inventors
- (b) U.S. application serial no. 08/634,197, filed on 4/18/96
- (c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application, or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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AND the ASSIGNOR(S) requests the Assistant Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

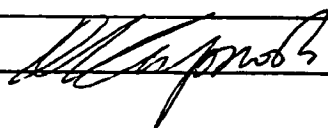
____ day of _____, 19____

____ day of _____, 19____

____ day of _____, 19____

____ day of _____, 19____

06 day of June, 1996





07707E-05361045

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

K.A. Weber

OCTOBER 06, 1999

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP
KENNETH A. WEBER, ESQ
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO, CA 94111-3834



101112675A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/05/1999

REEL/FRAME: 010141/0127
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PAPAHADJOPOULOS, DEMETRIOS P.
(DECEASED) SINGED FOR BY FRANCIS
C. SZOKA

DOC DATE: 07/26/1999

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF
CALIFORNIA, THE
1111 FRANKLIN STREET
12TH FLOOR
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 08634197
PATENT NUMBER:

FILING DATE: 04/18/1996
ISSUE DATE:

TOWNSEND & TOWNSEND
& CREW

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010141/0127 PAGE 2

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

08-06-1999

MRU 8-5-99

Docket No. 02307E-053610



FORM PTO-1595 (Rev. 6-93)

101112675

U.S. Department of Commerce Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies): On behalf of inventor, Demetrios P. Papahadjopoulos (deceased), signed for by Francis C. Szoka, executor of the last will and testament of Demetrios P. Papahadjopoulos Additional name(s) of conveying parties attached? [] Yes [x] No.

2. Name and address of receiving party(ies) Name: The Regents of the University of California Internal Address: 12th Floor Street Address: 1111 Franklin Street City: Oakland State: California ZIP: 94607-5200

3. Nature of conveyance: [x] Assignment [] Merger [] Security Agreement [] Change of Name [x] Other: Assignment with Proof of Authority to sign on behalf of deceased inventor Execution Date: July 26, 1999

Additional names and addresses attached? [] Yes [x] No

4. Application Number(s) or Patent Numbers. If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s): 08/634,197 B. Patent No(s): Additional numbers attached? [] Yes [x] No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Kenneth A. Weber, Esq. TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 (415) 576-0200

6. Total number of applications and patents involved 1 7. Total fee (37 CFR 3.41): \$40.00 [] Enclosed [x] Charge Fees to Deposit Account [x] Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 20-1430

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9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document. Kenneth A. Weber Name of Person Signing Atty Reg. No. 31,677 [Signature] Signature [8/2/99] Date Total number of pages including cover sheet, attachments and document 5

10. Change Correspondence Address to that of Part 5? [x] Yes [] No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover to: Do not detach this portion Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231

08/06/1999 NTHA11 00000099 201430 08634197 01 FC:581 40.00 CH

ASSIGNMENT

U.C. Case No.: 93-203-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Francis C. Szoka, as executor of the last will and testament of Demetrios P. Papahadjopoulos (deceased),

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS

and which is found in

- (a) ___ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) ___ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) X U.S. application serial no. 08/634,197, filed on April 18, 1996
- (d) ___ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

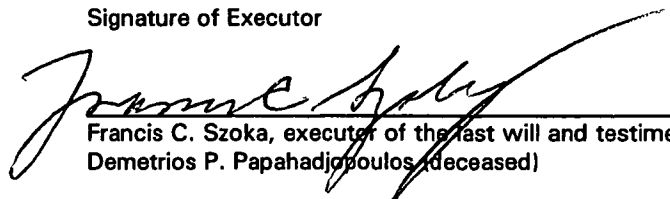
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Executor

26 day of July, 1999



 Francis C. Szoka, executor of the last will and testament of
 Demetrios P. Papahadjopoulos (deceased)

1013169

Practitioner's Docket No. 2307E-536-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Benz et al.

For: IMMUNOLIPOSOMES THAT OPTIMIZE INTERNALIZATION INTO TARGET CELLS
the specification which:

(check and complete (a), (b) or (c))

- (a) is attached hereto.
- (b) was filed on 4/18/96 as Application No. 08/634,197 and was amended on _____ *(if applicable)*.
- (c) was described and claimed in International Application No. _____ filed on _____ and as amended on _____ *(if any)*.

PROOF OF AUTHORITY OF ADMINISTRATOR(TRIX), EXECUTOR(TRIX) OR LEGAL REPRESENTATIVE(S)

The declaration for the above identified application was signed on behalf of the

- deceased
- incapacitated

inventor Demetrios P. Papahadjopoulos
(type or print name of deceased or incapacitated inventor)

by Francis C. Szoka
(type or print name(s) of administrator(trix), executor(trix) legal representative, or all heirs)

Attached is

(check and complete (d) or (e))

- (d) a certificate of the clerk of a competent court or the register of wills that the appointment of the signatory is still in force and effect.
- (e) a certificate from the appropriate court that they are all the heirs and that the estate did not require the appointment of an administrator or that they have the authority corresponding to that of an administrator or heir.

(also check and complete (f) and/or (g), if applicable)

- (f) The court papers mentioned above are not in English. An English translation of such papers are also attached.

NOTE: *The translation need not be sworn or affirmed. MPEP § 409.01(a).*

- (g) A consular officer of the United States has authenticated the signature of the foreign officer attesting to the papers submitted as proof of authority.

Date:



SIGNATURE OF PRACTITIONER

Reg. No. 31,677

Kenneth A. Weber

(type or print name of practitioner)

Tel. No. (415) 576-0200

Townsend and Townsend and Crew, LLP

P.O. Address

Two Embarcadero Center, 8th Floor

Customer No.

San Francisco, CA 94111-3834

(Proof of Authority of Administrator(trix), Executor(trix) or Legal Representative(s) [1-8]—page 2 of 2)

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state, telephone, and address): (415)-979-2631 (FAX) 415-442-1211
W. Scott Thomas, Esq. (SBN 63597)
Brobeck, Phleger & Harrison LLP
One Market Plaza, Spear St. Tower
San Francisco, CA 94105-1193
ATTORNEY FOR (Name): W. Scott Thomas, Esq.

FOR COURT USE ONLY

ENDORSED
FILED
San Francisco County Superior Court

FEB 11 1999

ALAN CARLSON, Clerk
BY: William Knowles
Deputy Clerk

SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco
STREET ADDRESS: 400 McAllister St., Room 204
MAILING ADDRESS: 400 McAllister St., Room 103
CITY AND ZIP CODE: San Francisco, CA 94102
BRANCH NAME:

ESTATE OF (Name): DEMETRIOS P. PAPAHADJOPOULOS
DECEDENT

CASE NUMBER:
271365

LETTERS

- TESTAMENTARY OF ADMINISTRATION
- OF ADMINISTRATION WITH WILL ANNEXED SPECIAL ADMINISTRATION

LETTERS

- 1. The last will of the decedent named above having been proved, the court appoints (name): Francis Szoka & Christos Papahadjopoulos
a. executor.
b. administrator with will annexed.
- 2. The court appoints (name):
a. administrator of the decedent's estate.
b. special administrator of decedent's estate
(1) with the special powers specified in the Order for Probate.
(2) with the powers of a general administrator.
(3) letters will expire on (date):
- 3. The personal representative is authorized to administer the estate under the Independent Administration of Estates Act with full authority with limited authority (no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
- 4. The personal representative is not authorized to take possession of money or any other property without a specific court order.

AFFIRMATION

- 1. PUBLIC ADMINISTRATOR: No affirmation required (Prob. Code, § 7621(c)).
- 2. INDIVIDUAL: I solemnly affirm that I will perform the duties of personal representative according to law.
- 3. INSTITUTIONAL FIDUCIARY (name):

I solemnly affirm that the institution will perform the duties of personal representative according to law. I make this affirmation for myself as an individual and on behalf of the institution as an officer. (Name and title):

4. Executed on (date): 10/23/98 at (place): San Francisco, California.

Francis Szoka
(SIGNATURE)
Francis Szoka **Christos Papahadjopoulos
CERTIFICATION

I certify that this document is a correct copy of the original on file in my office and the letters issued the personal representative appointed above have not been revoked, annulled, or set aside, and are still in full force and effect.

WITNESS, clerk of the court, with seal of the court affixed.

(SEAL)

Date: FEB 11 1999
ALAN CARLSON
Clerk, by
William Knowles
(DEPUTY)



Date: FEB 11 1999
ALAN CARLSON
Clerk, by
William Knowles
(DEPUTY)
WILLIAM KNOWLES

Probate Code, §§ 1001, 8403, 8405, 8544, 8545; Code of Civil Procedure, § 2015.6

LETTERS (Probate)

PATENT