

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

|                       |                |
|-----------------------|----------------|
| SUBMISSION TYPE:      | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

**CONVEYING PARTY DATA**

| Name                | Execution Date |
|---------------------|----------------|
| Michael David HENRY | 09/02/2010     |
| Michael SHEARN      | 07/16/2010     |
| Axel SCHERER        | 09/14/2010     |

**RECEIVING PARTY DATA**

|                 |                                     |
|-----------------|-------------------------------------|
| Name:           | California Institute of Technology  |
| Street Address: | 1200 E. California Blvd. M/C 210-85 |
| City:           | Pasadena                            |
| State/Country:  | CALIFORNIA                          |
| Postal Code:    | 91125                               |

**PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 12824128 |

**CORRESPONDENCE DATA**

Fax Number: (626)792-1342  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
 Phone: 6267920536  
 Email: mail@sbpatlaw.com  
 Correspondent Name: Steinfl & Bruno  
 Address Line 1: 301 N. Lake Ave. Suite 810  
 Address Line 4: Pasadena, CALIFORNIA 91101

|                         |                    |
|-------------------------|--------------------|
| ATTORNEY DOCKET NUMBER: | P420-US            |
| NAME OF SUBMITTER:      | Alessandro Steinfl |

Total Attachments: 4  
 source=P420-US-Signed-Assignment#page1.tif  
 source=P420-US-Signed-Assignment#page2.tif

**CH \$40.00 12824128**

**501303945**

**PATENT  
 REEL: 025055 FRAME: 0625**

source=P420-US-Signed-Assignment#page3.tif

source=P420-US-Signed-Assignment#page4.tif

**ASSIGNMENT**

We, Michael David HENRY, residing in the United States with a mailing address at 3351 La Corona Avenue, Altadena, CA 91001; Michael SHEARN, residing in the United States with a mailing address at 2247 Buroak Ridge, San Antonio, TX 78248 and Axel SCHERER, residing in the United States with a mailing address at 1900 Catalina St., Laguna Beach, CA 92651,

hereby sell and assign for good and valuable consideration, the receipt of which we hereby acknowledge,

to **CALIFORNIA INSTITUTE OF TECHNOLOGY**, an entity having its principal place of business in the United States at 1200 E. California Blvd. M/C 210-85, Pasadena, CA 91125,

hereinafter referred to as the assignee, its successors, assigns and nominees, our entire right, title and interest, including all priority rights under U.S. law and/or International conventions and treaties, in and to the **"METHOD FOR FABRICATING MICRO AND NANOSTRUCTURES IN A MATERIAL"**

invented by us, as described and/or claimed in U.S. Provisional Application No. **61/220,982**, filed on **June 26, 2009**, in the corresponding U.S. Patent Application No. **12/824,128**, filed on **June 25, 2010**, and in any other related patent applications filed and/or to be filed not only for, to and in the United States of America its territories and possessions, but also for, to and in all other countries, including any and all divisions, reissues, continuations, continuations-in-part and extensions of said U.S. Patent Application and any and all International patent applications related to said U.S. Provisional Application No. **61/220,982** and/or U.S. Patent Application No. **12/824,128**, and authorize and request any officials whose duty it is to issue patents, to issue any patent on said **"METHOD FOR FABRICATING MICRO AND NANOSTRUCTURES IN A MATERIAL"** and/or improvements thereof or resulting therefrom to said assignee or its successors, assigns or nominees, and agree to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part and/or reissue

applications, make all rightful oaths and/or declarations and generally do everything possible to aid said assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in the United States of America and in all other countries.

Subscribed and executed at Albuquerque USA  
City Country

On Sep 2 2010  
Date

Inventor Name: Michael David HENRY

Inventor Signature [Signature]

Witnessed by:

Witness Name: Shaleigh Henry Signature [Signature]

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_

Subscribed and executed at \_\_\_\_\_  
City Country

On \_\_\_\_\_  
Date

Inventor Name: Michael SHEARN

Inventor Signature \_\_\_\_\_

Witnessed by:

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_

applications, make all rightful oaths and/or declarations and generally do everything possible to aid said assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in the United States of America and in all other countries.

Subscribed and executed at \_\_\_\_\_  
City Country

On \_\_\_\_\_  
Date

Inventor Name: Michael David HENRY

Inventor Signature \_\_\_\_\_

Witnessed by:

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_

Subscribed and executed at Pasadena USA  
City Country

On 16 Jul 2010  
Date

Inventor Name: Michael SHEARN

Inventor Signature Michael Shearn J

Witnessed by:

Witness Name: Andrew Homyk Signature \_\_\_\_\_

Witness Name: Chris Walker Signature \_\_\_\_\_

Subscribed and executed at Woodstock VT USA  
City Country  
On 9/14/2010  
Date

Inventor Name: Axel SCHERER

Inventor Signature *Axel Scherer*

Witnessed by:

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_

Witness Name: \_\_\_\_\_ Signature \_\_\_\_\_