

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Christopher Reiser	12/15/1997
RECEIVING PARTY DATA	
Name:	The Spectranetics Corporation
Street Address:	96 Talamine Court
City:	Colorado Springs
State/Country:	COLORADO
Postal Code:	80907
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09002869
CORRESPONDENCE DATA	
Fax Number:	(303)899-7333
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	719-448-5947
Email:	julie.lange@hoganlovells.com
Correspondent Name:	Hogan Lovells US LLP
Address Line 1:	One Tabor Center
Address Line 2:	1200 17th Street, Suite 1500
Address Line 4:	Denver, COLORADO 80202
ATTORNEY DOCKET NUMBER:	61324-23424
NAME OF SUBMITTER:	Scott J. Hawranek
Total Attachments: 6 source=DOC215#page1.tif source=DOC215#page2.tif source=DOC215#page3.tif source=DOC215#page4.tif	

CH \$40.00 09002869

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PATENT
REEL: 025358 FRAME: 0438

source=DOC215#page5.tif

source=DOC215#page6.tif

RECORDATION FORM COVER SHEET

U.S. Department of Commerce
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 03/31/2009)

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Christopher Reiser—Execution Date: 12/15/1997Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

The Spectranetics Corporation
96 Talamine Court
Colorado Springs, CO 80907Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Corrective Notice of Recordation

4. Application or patent number(s):

A. Patent Application No.(s): 09/002,869Attorney Docket No.: 61324-234524☐ This document is being filed together with a new application.B. Patent No.(s): 5,976,124Additional numbers attached? ☐ Yes ☒ No

5. Name and Address to whom correspondence concerning document should be mailed:

Name: William J. Kubida
 Internal Address: Hogan Lovells US LLP
One Tabor Center
1200 17th Street, Suite 1500
 Street Address: same as above
 City: Denver State: CO Zip: 80202
 Phone Number: 719-448-5909
 Fax Number: 719-448-5922
 Email Address: Patent.Colorado@hoganlovells.com

6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41)\$ 40.00

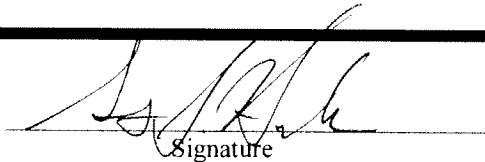
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit account number 50-1123

Authorized User Name _____

9. Signature :



Signature

11/12/2010
Date
Scott J. Hawranek

Name of Person signing

Total number of pages including cover sheet, attachments and document: 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Christopher Reiser

Serial No. 09/002,869

Filed: January 5, 1998

For: PHOTOTHERAPY DEVICE AND METHOD

Customer No. 25235

REQUEST TO CORRECT NOTICE OF RECORDATION OF ASSIGNMENT

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 1450-22313

Sir or Madam:

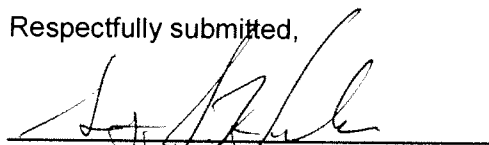
We received the Notice of Recordation of Assignment for the above patent, identified as Reel 08957, Frame 0843. However, we noted that the Assignment document contains a typographical error in the Assignee name. The name has been corrected on the Assignment document and the change initialed on the true copy of the original Assignment.

The assignee name should read: THE SPECTRANETICS CORPORATION

A new Recordation Cover Sheet, a \$40 recording fee, a copy of the Notice of Recordation of Assignment, a true copy of the original Cover Sheet and Assignment document are included.

Please record this corrected Assignment document.

Respectfully submitted,



Scott J. Hawranek, Reg. No. 52,411
Hogan Lovells US L.L.P.
1200 Seventeenth Street, Suite 1500
Denver, CO 80202
Telephone: (719) 448-5920
Facsimile: (303) 899-7333

11/12/2010

Date



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 06, 1998

PTAS

PILLSBURY MADISON & SUTRO LLP
DALE S. LAZAR
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918



100636599A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/05/1998

REEL/FRAME: 8957/0843
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
REISER, CHRISTOPHER

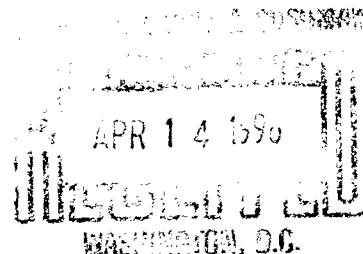
DOC DATE: 12/15/1997

ASSIGNEE:
SPECTRANETICS CORPORATION
96 TALAMINE COURT
COLORADO SPRINGS, COLORADO
80907-5159

SERIAL NUMBER: 09002869
PATENT NUMBER:

FILING DATE: 01/05/1998
ISSUE DATE:

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



**PATENT
REEL: 025358 FRAME: 0442**

02-18-1998

DO NOT USE FOR TRADEMARKS

TO THE ASSISTANT COMMISSIONER O
SIR: PLEASE RECORD THE ATTACHED C

100636599

DOCUMENTS OR COPY THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. REISER, Christopher

3.

5.

7.

2.

4.

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Spectranetics Corporation

ADDRESS: 96 Talamine Court, Colorado Springs, Colorado 80907-5159

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST

EXEC. DATE: December 15, 1997

☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (**NOTE:** IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) December 15, 19974.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 st INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 st INVENTOR if not in item 1
	234524				

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:Pillsbury Madison & Sutro LLP
Intellectual Property Group
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS: = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

PMS 234524

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

61324

234524

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

3

Signature

Attorney: Dale S. Lazar

Reg. No. 28872

Atty/Sec: DSL/jeb

TEL: (202) 861-3527

Date: January 5, 1998

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (PAT-103A)

PATENT

REEL: 025358 FRAME: 0443

Please return signed/recorded to:

Cushman Darby & Cushman
Intellectual Property Group of
Pillsbury Madison & Sutro LLP
Ninth Floor, East Tower
1100 New York Avenue, N.W.
Washington, D.C. 20005-3918

Atty. Dkt.	234524	61324
	M#	Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application
(to Corporation)
(or Limited Partnership)

WHEREAS, the undersigned, to wit:

1) CHRISTOPHER REISER

2) _____

3) _____

4) _____

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 61324/234524

and entitled: A PHOTOTHERAPY DEVICE AND METHOD

for which an application for Letters Patent of the United States

☒ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

☐ was filed on _____, Appln. No. _____ / _____ ;

3) 14
11/2/2010

AND WHEREAS ^{THE} SPECTRANETICS CORPORATION (hereinafter ASSIGNEE), ☒ a corporation ☐ Limited Partnership duly organized and existing under the laws of the State of Colorado and having its principal office and place of business at 96 Talamine Court, Colorado Springs, CO 80907-5159, desires to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

Executed on the date(s) below indicated.

Signature

Date Signed

Witness

1) Christopher Reiser
Name: CHRISTOPHER REISER

15 Dec 97

Doris Straight

2) _____
Name: _____

3) _____
Name: _____

4) _____
Name: _____
