

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Kiminori SANO	11/18/2010
Hiroso SHONO	11/18/2010
RECEIVING PARTY DATA	
Name:	SUMITOMO(S.H.I) CONSTRUCTION MACHINERY CO., LTD.
Street Address:	1-1, Osaki 2-chome, Shinagawa-ku
City:	Tokyo
State/Country:	JAPAN
Postal Code:	141-6025
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12994209
CORRESPONDENCE DATA	
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ATTORNEY DOCKET NUMBER:	10SJ-012
NAME OF SUBMITTER:	Martin A. Weeks
Total Attachments: 4 source=10SJ-012AssignmentRecordation#page1.tif source=10SJ-012AssignmentRecordation#page2.tif	

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kimihori SANO, Hiroso SHONO

2. Name and address of receiving party(ies)

Name: SUMITOMO(S.H.I) CONSTRUCTION MACHINERY CO., LTD.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 18, 2010

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

Street Address: 1-1, Osaki 2-chome, Shinagawa-ku,

City: Tokyo

State: _____

Country: Japan Zip: 141-8025

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application or patent number(s):

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: IPUSA, PLLC

Internal Address: _____

Street Address: 1054 31st Street, N.W. Suite 400

City: Washington

State: DC Zip: 20007

Phone Number: 202-797-4181

Fax Number: 202-797-8188

Email Address: ipusa@ipusapat.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-4424

Authorized User Name Martin A. Weeks

9. Signature: Martin A. Weeks
Signature

11/23/2010
Date

Martin A. Weeks
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

2-1. Additional name(s) and address of receiving party(ies)

Name: SUMITOMO HEAVY INDUSTRIES, LTD.

Internal Address:

Street Address: 1-1, Osaki 2-chome, Shinagawa-ku,

City: Tokyo

State: _____

Country: Japan ZIP: 141-6025

additional name(s) and Address(es) attached? ☐ yes ☒ no

ASSIGNMENT

THIS ASSIGNMENT, by (1) Kiminori SANO and (2) Hiroso SHONO (hereinafter referred to as "Assignors"), residing at (1) Chiba-shi, Chiba, Japan and (2) Yokosuka-shi, Kanagawa, Japan, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in

ROTATION DRIVE CONTROL UNIT AND CONSTRUCTION MACHINE INCLUDING SAME

set forth in a patent application for Letters Patent of the United States, executed concurrently herewith; and

WHEREAS, (1) SUMITOMO(S.H.I.) CONSTRUCTION MACHINERY MANUFACTURING CO., LTD. and (2) SUMITOMO HEAVY INDUSTRIES, LTD. (hereinafter referred to as "Assignees"), having offices at (1) 731-1, Naganumahara-cho, Inage-ku, Chiba-shi, Chiba 263-0001 JAPAN and (2) 1-1, Osaki 2-chome, Shinagawa-ku, Tokyo 141-6025 JAPAN are desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefor and thereon;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignees, their successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuation-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefor and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignees, for their own use and benefit and the use and benefit of their successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and Assignment not been made;

AND for the same consideration, Assignors hereby represent and warrant to Assignees, their successors legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles, and or interests that have arisen to Assignees under the law or that have already been transferred to Assignees, Assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, Assignors hereby covenant and agree to and with Assignees, their successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignees, their successors, legal representatives, and assigns, whenever counsel of Assignees, or counsel of their successors, legal representatives and assigns shall advise; that any proceeding in connection with said inventions, or said patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable;

AND Assignors hereby request that the Director of the United States Patent and Trademark Office issue said Letters Patent of the United States to Assignees, as Assignees of said inventions and the said Letters Patent to be issued thereon, for the sole use and benefit of Assignees, their successors, legal representatives and assigns;

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document: All practitioners associated with the Customer Number 77464.

AND Assignors acknowledge an obligation of assignment of this invention to Assignees at the time the invention was made.

November 18, 2010
Date

Kiminori Sano
Kiminori SANO

November 18, 2010
Date

Hiroso Shono
Hiroso SHONO