

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>William Elliott Fiala</td> <td>11/22/2010</td> </tr> <tr> <td>Scott David Harris</td> <td>11/22/2010</td> </tr> <tr> <td>Matthew James Mikula</td> <td>11/22/2010</td> </tr> </tbody> </table>		Name	Execution Date	William Elliott Fiala	11/22/2010	Scott David Harris	11/22/2010	Matthew James Mikula	11/22/2010		
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<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Edward D. Jones & Co., L.P.</td> </tr> <tr> <td>Street Address:</td> <td>12555 Manchester Road</td> </tr> <tr> <td>City:</td> <td>St. Louis</td> </tr> <tr> <td>State/Country:</td> <td>MISSOURI</td> </tr> <tr> <td>Postal Code:</td> <td>63131</td> </tr> </table>		Name:	Edward D. Jones & Co., L.P.	Street Address:	12555 Manchester Road	City:	St. Louis	State/Country:	MISSOURI	Postal Code:	63131
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CORRESPONDENCE DATA											
Fax Number: (314)667-3633 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: 314-552-6000 Email: IPDOCKET@THOMPSONCOBURN.COM Correspondent Name: THOMPSON COBURN LLP/B. Volk Address Line 1: ONE US BANK PLAZA Address Line 2: SUITE 3500 Address Line 4: ST LOUIS, MISSOURI 63101											
ATTORNEY DOCKET NUMBER:	5484-89096										
NAME OF SUBMITTER:	Benjamin L. Volk, Jr.										

Total Attachments: 5
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ASSIGNMENT

WHEREAS, we, William Elliott Fiala of 411 Conway Aire Drive, Creve Coeur, Missouri 63141, Scott David Harris of 4 Eastland Court, Carlinville, Illinois 62626, and Matthew James Mikula of 12002 Wiltshire Place Court, St. Louis, Missouri 63131, have invented certain new and useful improvements for a *Method and System for Building an Investment Portfolio* for which we have executed an application for Letters Patent in the United States (Patent Application Serial No. 12/869,529, filed August 26, 2010), identifying us as the only inventors thereof (said application being identified as Thompson Coburn LLP, Docket 5484-89096); and

WHEREAS, Edward D. Jones & Co., L.P., a limited partnership of the State of Missouri having its principal office and place of business at 12555 Manchester Road, St. Louis, Missouri 63131, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, William Elliott Fiala, Scott David Harris, and Matthew James Mikula, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Edward D. Jones & Co., L.P., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us concurrently herewith preparatory to filing for Letters Patent, in and to said application, and in and to any and all Letters Patent and applications filed therefor, including provisionals, non-provisionals, divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Edward D. Jones & Co., L.P. in accordance with this Assignment.

The undersigned hereby authorize and request the attorneys of record in said application(s) to insert in this Assignment the serial number, filing date, and date of execution of said application(s).

For said considerations, the undersigned hereby agree, upon the request and at the expense of said Assignee, to execute any provisional, non-provisional, divisional, continuation, continuation-in-part or substitute application for said invention or improvements, and any oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application(s), and, in the event of any application or Letters Patent assigned herein becoming involved in an interference, to cooperate to the best of the ability of the undersigned in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. The undersigned agree to perform, upon request, any affirmative acts to obtain said Letters Patent of the United States and vest in said Assignee all rights therein, whereby said Letters Patent will be held and enjoyed by said Assignee, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

And for said consideration, the undersigned hereby assign to said Assignee the entire right, title and interest in said invention or improvements for all foreign countries, including all priority rights, and agree to execute, at the request of said Assignee, all documents in connection with any application for foreign Letters Patent therefor.

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of November 2010.

William Elliott Fiala
William Elliott Fiala

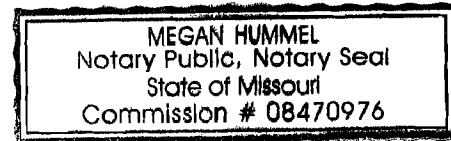
STATE OF _____ :
COUNTY OF _____ : SS

On this 22nd day of November 2010 before me, a Notary Public, within and for the County of St Louis, State of Missouri, personally appeared William Elliott Fiala, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Megan Hummel
Notary Public

My Commission Expires: 1/15/2012



WITNESS:
By: [Signature]
Printed Name: Laura Blood

WITNESS:
By: [Signature]
Printed Name: K. M. BRADLEY

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of November 2010.

Scott David Harris
Scott David Harris

STATE OF

:
: SS
:

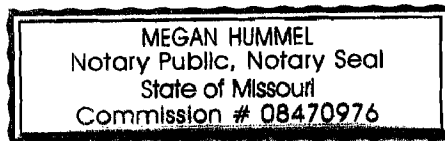
COUNTY OF

On this 22nd day of November 2010 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared Scott David Harris, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Megan Hummel
Notary Public

My Commission Expires: 1/15/2012



WITNESS:

WITNESS:

By: [Signature]

Printed Name: KM BRADLEY

By: [Signature]

Printed Name: MAURA JANE JEKEL

IN WITNESS WHEREOF, I have hereunto set my hand the 22nd day of November 2010.

[Signature]
Matthew James Mikula

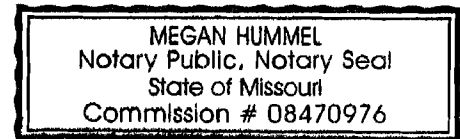
STATE OF _____ :
COUNTY OF _____ : SS

On this 22nd day of November 2010 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared Matthew James Mikula, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

[Signature]
Notary Public

My Commission Expires: 1/15/2012



WITNESS:

By: [Signature]
Printed Name: K.M. BRADLEY

WITNESS:

By: [Signature]
Printed Name: Maura Jane Jekel