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12/736955 **ER SHEET** 

1, 24-10 103613004	LY 12/736955
To the Director of the U.S	attached documents or the new address(es) below
Name of conveying party(ies)	2. Name and address of receiving party(ies)
	Name UTC Power Corporation
Sridhar V Kanuri and Timothy W. Patterson	Internal Address
Additional name(s) of conveying party(ies) attached? Yes X No	
3. Nature of conveyance/Execution Date(s):	Street Address195 Governor's Highway
Execution Date(s)5/19/08 (SVK), 6/27/08 (TWP)	
X Assignment Merger	
Security Agreement Change of Name	City South Windsor
Joint Research Agreement	State CT
Government Interest Assignment	
Executive Order 9424, Confirmatory License	Country: US ZIP 06074
Other	Additional name(s) & address(es) attached? Yes X No
4. Application or patent number(s):  A Patent Application No (s)  PCT International Appl No PCT/US2008/008437	document is being filed together with a new application  B Patent No (s)  12 7 36 9 5 5
Additional numbers att	
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
Name Andrew D Gathy	7. Total fee (37 CFR 1.21(h) & 3 41) \$ 40
Internal Address UTC Power Corporation	7. Total loc (57 51 K 1.2 K(11) & 5 41) \ \psi_{40}
Intellectual Property Department, MS 601-03	X Authorized to be charged to deposit account
Street Address 195 Governor's Highway	Enclosed  None required (government interest not affecting title)
City South Windsor	8. Payment Information
State <u>CT</u> Zip <u>06074</u>	1
Phone Number <u>860-727-2153</u>	(Order No. PA-0002389-US)
Fax Number 860-727-2399	Deposit Account Number 50-1307
Email Address Andrew Gathy@utcpower.com	Authorize <b>jd / 1866 Nakhul <u>IE</u> - 1888 18</b> 27 <b>50130</b> 7 PCT 18808
9. Signature: MAWelleame	01 FL:8021 40.00 DA 11/24/10
Signature	Date
M P. Williams Name of Person Signing	Total number of pages including cover sheet, attachments, and documents

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

## IAPOTRec'd PCT 24 NOV 2010

## **ASSIGNMENT**

12/736955

WHEREAS, We, Sridhar V. Kanuri of 150 Lookout Hill Road, Milford, CT 06461 and Timothy W. Patterson of 38 Comstock Place, East Hartford, CT 06108, both USA, have invented certain improvements in FUEL CELL STACK CONDITIONED TO OPERATE SAFELY WITH FAILED CELLS, identified as Attorney Docket No. PA-0002389-WO, and described in a patent application executed by the undersigned on the dates set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC Power Corporation of South Windsor, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention, also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested, execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(LS) K Saudhal Sridhar V. Kanuri	5/19/	, 2008
(L.S) Limited With Timothy W. Patterson	6/27/	, 2008

PATENT REEL: 025453 FRAME: 0903

State of Connecticut) ss: County of Hartfron		
On this /4 day of May, 2008 Kanuri to me personally known, and known to me to be assignment, and acknowledged the signing of same a	e the person who signed the foregoing	
SEAL	Notary Fublic Mary Forcic My Commission Expires: 2/28/2013 Commissioner of the Superior Court MARY FUNCIER NOTARY PUBLIC MY COMMISSION EXPIRES FEB. 28, 2012	
State of Connecticut)  County of // +C (I) SS:		
County of Hartford) ss:		
On this <u>27</u> day of <u>Tune</u> , 2008 before me personally appeared Timothy W. Patterson to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.		
	Notary Public Ny Commission Expires: 2/28/20/2 Commissioner of the Superior Court	
SEAL	NOTARY PUBLIC MY COMMISSION EXPIRES FEB. 28, 2012	

**RECORDED: 11/24/2010** 

**PATENT** REEL: 025453 FRAME: 0904