

12-07-2010



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To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the new address(es) below

1. Name of conveying party(ies)

Kenneth A. Horowitz

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 24, 2010

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Weather Risk Solutions, LLC

Internal Address: _____

Street Address: 496 N. Lake Way

City: Palm Beach

State: Florida

Country: USA Zip: 33480

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No (s)

12/460,334

☐ This document is being filed together with a new application
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael A. Hierl

Internal Address: Hughes Socol Piers Resnick & Dym, Ltd
Three First National Plaza

Street Address: 70 W. Madison Street, Suite 4000

City: Chicago

State: Illinois Zip: 60602

Phone Number (312) 604-2678

Fax Number (312) 604-2679

Email Address: mhierl@hsplegal.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

12/07/2010 KNGUYEN1 00000023 12460334
Authorized User Name _____

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40.00 01

9. Signature:

Signature

December 1, 2010

Date

Michael A. Hierl, Reg. No. 29,807

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

6

ASSIGNMENT

Application Serial No.: 12/460,334
Filed: July 17, 2009

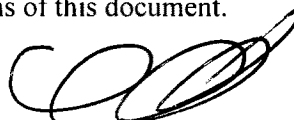
For and in consideration of One Dollar (\$1.00) and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby sells, assigns, transfers and sets over unto Weather Risk Solutions, LLC, a corporation of the State of Delaware and having a principal place of business located at 496 North Lake Way, Palm Beach, Florida 33480, Palm Beach County, the entire right, title and interest worldwide in and to my inventions in the above-identified United States Patent Application for:

FINANCIAL ACTIVITY BASED ON NATURAL WEATHER EVENTS

and any right I may have to any United States Letters Patent taking priority from said application therefor, when issued together with all improvements thereon and betterments thereof, all divisions, continuations, continuations-in-part, and reissues thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent; and I hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions, when granted, in accordance with this Assignment and sale.

For the same considerations, I hereby agree that I will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning improvements or betterments of the inventions disclosed in said application, and I will cooperate at any time upon request of said assignee or its assigns, at its or their expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new, divisional, continuing and reissue applications; I will make all rightful oaths, will testify in any proceedings in the United States Patent and Trademark Office, in the Courts and elsewhere, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper worldwide patent protection for the inventions embraced within the terms of this document.

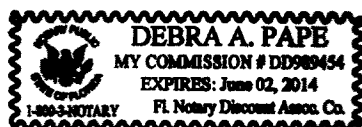
Date: 11/24/10



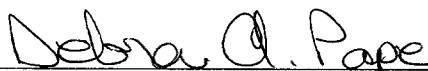
Kenneth A. Horowitz

STATE OF FLORIDA)
) SS.
COUNTY OF PALM BEACH)

Before me personally appeared Kenneth A. Horowitz, known to me who acknowledged that the above assignment was duly executed by him this 24th day of November, 2010.



00254133 Doc



Notary Public, State of Florida

My Commission Expires: June 02, 2014