

12/15/2010



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## **ER SHEET**

To the Director of the US Patent and Trademark Office. F	Please record documents or the new address(es) below.		
1. Name of conveying party(ies): Labrador Research, LLC PO Box 142 Fremont, CA 94537 USA  Additional name(s) of conveying party(ies) attached? Yes No			
2. Name and address of receiving party(ies):  Name OndaVia, Inc.			
Street address PO Box 142			
City Fremont State CA Zip	94537 Country US		
Additional name(s) and address(es) attached?   Ye	s 🛮 No		
3. Nature of conveyance/Execution Date(s):			
Assignment	☐ Joint Research Agreement		
☐ Security Agreement	☐ Government Interest Assignment		
☐ Merger	☐ Executive Order 9424m Confirmatory License		
☐ Change of Name	Other Correct error in previously		
Execution Date(s): 8/24/09	recorded cover sheet		
4. Application or patent number(s):			
A Patent Application No (s) B F 12/082094	Patent No (s) C Agent Ref No (s)  LBD-101/US		
☐ This document is being filed together with a new a	oplication		
Additional numbers attached?  Yes  No	•		
5. Name and address to whom correspondence concerning document should be mailed:			
Name Lumen Patent Firm			
Street address 350 Cambridge Ave , Suite 100			
City Palo Alto State CA Zip:	94306 Country USA		
Phone No . 650-424-0100 Fax No 650-424-014	Email admin@lumen.com		
6. Total number of applications and patents involved	: 1		
7. Total fee (37 CFR 1 21(h) & 3 41): \$40	Authorized to be charged by credit card		
8. Payment information: a Credit Card Last 4 Numbers 5900	Credit Card Expiration Date 10/2012		
9. Signature:			
Signature / Robert Lodenkamper / Reg.No. 55,399			
Printed Name Robert Lodenkamper			
Date 12/7/10	Total number of pages including cover sheet, attachments and documents		

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	To the Director of the US Patent are		143	its or the new address(es) below.	
1010	Name of conveying party(les)	E LABRADOR RE PO GOX 142 FREMONT CA	•		
0	Additional name(s) of conveying	g party(ies) attached?	Yes 🛛 No		
J.	2. Name and address of receiving party(les): Name: ONOAVIA エルC。 Street address: Po らな 142 City FRETIONT State: CA Zip: 94537 Country: 以られ Additional name(s) and address(es) attached? □ Yes 図 No				
	3. Nature of conveyance/Execut	tion Date(s):			
	Assignment Security Agreement Merger Change of Name Execution Date(s): 8/24/2	2009	☐ Govern	esearch Agreement ment Interest Assignment we Order 9424m Confirmatory License	
ı	4. Application or patent number(s):				
	A. Patent Application No.(s) 12/082094	B. Pate	nt No.(s)	C Agent Ref No.(s) LBD-101/US	
į	☐ This document is being filed together with a new application.  Additional numbers attached? ☐ Yes ☒ No				
	5. Name and address to whom on Name: Lumen Patent Firm Street address: 350 Cambridge		ng document :	should be malled:	
	_	State: CA Zip: 943	06	Country: USA	
	Phone No.: 650-424-0100	Fax No.: 650-424-0141		Email: admin@lumen.com	
Ì	6. Total number of applications and patents involved: 1				
	7. Total fee (37 CFR 1.21(h) & 3.4	41): \$ <u>40</u>	Authoriz	ed to be charged by credit card	
İ	Payment Information:     a. Credit Card Last 4 Numbers:	5900	Credit Card	Expiration Date: 10/2012	
	9. Signature:	_			
	Signature / Ron Jacobs / Reg.No. 50,142				
		on Jacobs /21/10	To att	stat number of pages including cover sheet, achments and documents:  4	

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## AMENDMENT NO. 1 TO ASSIGNMENT AGREEMENT

This Amendment No. 1 (the "First Amendment") to the Assignment Agreement between Jaan Noolandi, a citizen of Canada residing at 1030 El Monte #207, Mountain View, CA 94040 ("Noolandi"), Labrador Research, LLC, a Wyoming limited liability company ("Labrador"), and OndaVia, Inc. a Delaware corporation dated June 8, 2008 (the "Agreement"), is entered into on August 23, 2009 (the "Amendment Date"). Capitalized terms used in this First Amendment and not defined in this First Amendment will have the meanings set forth in the Agreement. In connection with the assignment of the Patent by Labrador to OndaVia in a separate patent assignment agreement, and for good and valuable consideration, the receipt and sufficiency of which is acknowledged by the parties, the parties agree as follows:

- 1. <u>Definitions.</u> Sections 1.2 and 1.3 of the Agreement are hereby deleted in its entirety and replaced with the following:
  - "1.2 "Product" means a consumable analysis chip for water quality testing the manufacture, sale, use, or importation of which would infringe an issued, valid, and unexpired claim of the Patent in a country in which that Product is made, used, sold, or imported. "Product" excludes any water testing instrument or device in which the consumable analysis chip is inserted or used.
  - "1.3 "Net Sales" means the gross amount collected by Labrador for the sale of a Product, less all: (a) normal and customary cash and trade discounts and rebates (including prompt payment and volume discounts); (b) duties and taxes (including excise, sales, use, and value added taxes); (c) insurance, freight, packaging, handling, shipment, and transportation expenses (including associated insurance costs); (d) amounts allowed or credited due to returns, rejections, recalls, rebates, charge backs, billing errors, or retroactive price reductions; and (e) sales commissions or fees paid. Net Sales excludes amounts collected by Labrador: (i) that are not directly related to sale of a Product, including amounts paid for support, maintenance, development, research, training, and products bundled with a Product; (ii) for transfers made to a third party for resale by the third party or to an affiliate; and (iii) for Products used in clinical trials, used for research and development or other non-commercial uses, supplied as commercial samples, or supplied as charitable or humanitarian donations."
- 2. <u>Consideration.</u> Sections 3.1 and 3.2 of the Agreement are hereby deleted in their entirety and replaced with the following:
  - "3.1 Royalties. Labrador shall pay royalties to NOOLANDI or his executors or legal representative at a rate of one percent (1%) of the Net Sales, up to a total of \$250,000, subject to offset by Labrador for its costs and expenses associated with prosecuting the Patent under Section 5, currently estimated to be \$13,500.
  - "3.2 Intentionally deleted."
- 3. Consent to Assignment. Noolandi hereby consents to Labrador's assignment of the Agreement, and all of its rights and obligations under the Agreement, to OndaVia. For clarity, once assigned, each instance of "Labrador" in the Agreement and this First Amendment will instead be deemed to refer to OndaVia. Noolandi waives any terms of the Agreement that may provide that the Agreement is not assignable, that the occurrence of the Assignment would constitute a breach of the Agreement, or that would grant Noolandi the right to terminate or void all or a portion of the Agreement. In addition, either party, its successors, or assigns, may assign any of its rights or obligations under the Agreement or this First Amendment freely.
- 4. Miscellaneous. The provisions of this First Amendment supersede all provisions of the Agreement that are inconsistent with the provisions of this First Amendment. The Agreement, as modified by this First Amendment, remains in full force and effect and constitutes the entire agreement of the parties with respect to the subject matter thereto. This First Amendment shall not be deemed a waiver by either party of any of its rights or remedies under the Agreement, except to the extent expressly set forth in this First

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PATENT REEL: 025466 FRAME: 0142 Amendment. This First Amendment may be executed in several counterparts, all of which taken together shall constitute a single agreement between the parties.

- Signature page follows -

## Signature page

Noolan	di	Labrador		
By:	Garthorized Signature)	Ву:	(Authorized Signature)	
Name:	TOAN NOOCANDI (Print or Type)	Name:	Mark C. Peterman (Print or Type)	
Title:	CONSULTANT	Title:	President	
Date:	ay .23 /09	Date:	8/24/09	
OndaV	'ia			
Ву;	(Authorized Signature)			
Name:	Mark C. Peterman (Print or Type)			
Title:	Chief Executive Officer			
Date:	8/24/09			

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