

U.S. PTO  
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OMB No. 0651-0027 (exp. 03/31/2009)

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECORDATION FOR  
**PATENT**

12-8-10



103613848

To the Director of the U.S. Patent and Trademark Office: Please

Address(es) below.

**1. Name of conveying party(ies)**

Ricky M. Goldsby

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 12/07/2010

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Board of Trustees of the University of Arkansas

Internal Address: \_\_\_\_\_

Street Address: 2404 North University Avenue

City: Little Rock

State: Arkansas

Country: United States Zip: 72207

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Richard Blakely Glasgow

Internal Address: \_\_\_\_\_

Street Address: 200 West Capitol Avenue, Suite 2300

City: Little Rock

State: Arkansas Zip: 72201

Phone Number: 501-371-0808

Fax Number: 501-376-9442

Email Address: rglasgow@wli.com

**6. Total number of applications and patents involved:** One

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40.00

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature: Blake Glasgow  
Signature

12-8-2010  
Date

Richard Blakely Glasgow, Reg. No. 62707  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22303-1450

REEL: 025485 FRAME: 0841

2/10/2010 15:28:31 00000019 12528315

**ASSIGNMENT**

WHEREAS, I, Ricky M. Goldsby, residing at #6 Helen Drive, Sherwood, Arkansas 72120 ("Assignor"), have invented certain new and useful improvements in an invention entitled "Mouth Guard," set forth in a nonprovisional patent application executed on even date herewith; and

WHEREAS, I, said Assignor, assigned rights in the invention disclosed in U.S. provisional patent application entitled "Mouth Guard," serial no. 61/283,792, in an assignment executed February 26, 2010 and recorded in the U.S. Patent and Trademark Office at Reel/Frame 024018/0876 on March 3, 2010.

WHEREAS, the Board of Trustees of the University of Arkansas (hereinafter, together with any successors, legal representatives or assigns, called "Assignee"), a public body politic and corporate, duly established under and pursuant to the laws and Constitution of the State of Arkansas, and having an office and place of business at the University of Arkansas, 2404 North University Avenue, Little Rock, Arkansas 72207-3608, is desirous of acquiring the entire right, title and interest in and to said improvements, in said application, and in any Letters Patent or Patents, United States or foreign, to be obtained thereon;

NOW, THEREFORE, pursuant to the University of Arkansas Patent and Copyright Policy, Board Policy 210.1, the provisions of which are incorporated herein by reference, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the said Assignor, have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over unto the said Assignee, the entire right, title and interest in and to said improvements, said U.S. provisional application, all applications for Letters Patent or Patents heretofore or hereafter filed for said improvements in the U.S. or any foreign country (including related rights such as utility model registrations, inventor's certificates and the like), and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted thereon, and in and to all conversions, substitutions, divisions, continuations and continuations-in-part of said U.S. or foreign applications, or renewals, extensions, reissues or re-examinations of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, including all rights to claim priority; and

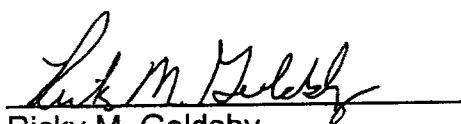
The said Assignor hereby covenants that has full right to convey the entire interest herein assigned and that no assignment, sale, agreement or encumbrance has been or will be entered into which would conflict with this assignment; and

The said Assignor hereby covenants and agrees that the said Assignor will communicate to said Assignee any fact known to said Assignor respecting said

improvements, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said improvements, without further consideration from said Assignee, but at the cost and expense of said Assignee; and

The said Assignor hereby requests the Director of the U.S. Patent and Trademark Office, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue said Letters Patent to said Assignee.

~~\_\_\_\_\_~~ SIGNED this 7 day of December, 2010.

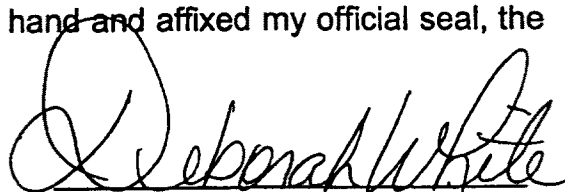
  
Ricky M. Goldsby

ACKNOWLEDGMENT

STATE OF ARKANSAS    )  
                                  )ss  
COUNTY OF Pulaski    )

On this 7<sup>th</sup> day of December, 2010, before me, a Notary Public in and for the State of Arkansas, personally appeared Ricky M. Goldsby, an individual who is personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged that he/she executed the same for the purposes contained therein.

In witness whereof, I have hereunto set my hand and affixed my official seal, the day and year in this certificate first above written.

  
Notary Public

My commission expires:

\_\_\_\_\_

