

# PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
<b>CONVEYING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Yi Cui</td> <td>11/15/2010</td> </tr> <tr> <td>Song Han</td> <td>11/15/2010</td> </tr> <tr> <td>Mark C. Platshon</td> <td>11/15/2010</td> </tr> </tbody> </table>		Name	Execution Date	Yi Cui	11/15/2010	Song Han	11/15/2010	Mark C. Platshon	11/15/2010				
Name	Execution Date												
Yi Cui	11/15/2010												
Song Han	11/15/2010												
Mark C. Platshon	11/15/2010												
<b>RECEIVING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Amprius, Inc.</td> </tr> <tr> <td>Street Address:</td> <td>1430 O'Brien Drive</td> </tr> <tr> <td>Internal Address:</td> <td>Suite C</td> </tr> <tr> <td>City:</td> <td>Menlo Park</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>94025</td> </tr> </table>		Name:	Amprius, Inc.	Street Address:	1430 O'Brien Drive	Internal Address:	Suite C	City:	Menlo Park	State/Country:	CALIFORNIA	Postal Code:	94025
Name:	Amprius, Inc.												
Street Address:	1430 O'Brien Drive												
Internal Address:	Suite C												
City:	Menlo Park												
State/Country:	CALIFORNIA												
Postal Code:	94025												
PROPERTY NUMBERS Total: 1													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Property Type</th> <th style="width: 70%;">Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>12944593</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	12944593								
Property Type	Number												
Application Number:	12944593												
<b>CORRESPONDENCE DATA</b>													
<p>Fax Number: (510)663-0920</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: (510)663-1100</p> <p>Email: dneill@wavsip.com</p> <p>Correspondent Name: WEAVER AUSTIN VILLENEUVE &amp; SAMPSON LLP</p> <p>Address Line 1: PO Box 70250</p> <p>Address Line 4: Oakland, CALIFORNIA 94612-0250</p>													
ATTORNEY DOCKET NUMBER:	AMPRP006												
NAME OF SUBMITTER:	Vladimir Y. Gusev												
<p>Total Attachments: 3</p> <p>source=AMPRP006_ASSIGNMENT#page1.tif</p>													

CH \$40.00 12944593

**501388206**

**PATENT**  
**REEL: 025550 FRAME: 0778**

source=AMPRP006\_ASSIGNMENT#page2.tif

source=AMPRP006\_ASSIGNMENT#page3.tif

**PATENT**

**REEL: 025550 FRAME: 0779**

## ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned inventor, have invented certain new and useful improvements as set forth in the patent application entitled:

### PRELOADING LITHIUM ION CELL COMPONENTS WITH LITHIUM

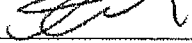
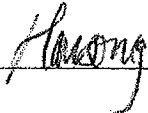
(Atty. Docket No. AMPRP006), (check one)

- ☒ for which I have executed a U.S. patent application on even date herewith. (Accompanying)  
☐ which bears U.S. application No. 12/944,593. (Not accompanying)  
☐ which is a U.S. provisional application. (Accompanying)

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned inventor, hereby:

- 1) Sell, assign and transfer to Amprius, Inc., a California corporation having a place of business at 1430 O'Brien Dr., Suite C, Menlo Park, CA 94025 ("ASSIGNEE"), the entire right, title and interest in any and all improvements and inventions disclosed in, applications based upon, and patents granted upon (including foreign patents and the right to claim priority), the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all United States Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon the inventor, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I have not entered and will not enter into any assignment, contract, or understanding that conflicts with this assignment.
- 6) Authorize and request my attorney/agent to insert above the application No. in order to assist with recordal of this assignment.

Signed on the date indicated beside my signature.

- |    |   |                         |
|----|---|-------------------------|
| 1) | Signature: <u></u> | Date: <u>11/15/2010</u> |
|    | Typed Name: Yi Cui  |                         |
| 2) | Signature: <u></u> | Date: <u>11/15/10</u>   |
|    | Typed Name: Song Han  |                         |
| 3) | Signature: _____  | Date: _____             |
|    | Typed Name: Mark C. Platshon  |                         |

## ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned inventor, have invented certain new and useful improvements as set forth in the patent application entitled:

### PRELOADING LITHIUM ION CELL COMPONENTS WITH LITHIUM

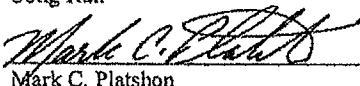
(Atty. Docket No. AMPRP006), (check one)

- ☒ for which I have executed a U.S. patent application on even date herewith. (Accompanying)  
☐ which bears U.S. application No. 12/944,593. (Not accompanying)  
☐ which is a U.S. provisional application. (Accompanying)

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned inventor, hereby:

- 1) Sell, assign and transfer to Amprius, Inc., a California corporation having a place of business at 1430 O'Brien Dr., Suite C, Menlo Park, CA 94025 ("ASSIGNEE"), the entire right, title and interest in any and all improvements and inventions disclosed in, applications based upon, and patents granted upon (including foreign patents and the right to claim priority), the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all United States Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon the inventor, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I have not entered and will not enter into any assignment, contract, or understanding that conflicts with this assignment.
- 6) Authorize and request my attorney/agent to insert above the application No. in order to assist with recordal of this assignment.

Signed on the date indicated beside my signature.

- |    |  |                         |
|----|--|-------------------------|
| 1) | Signature: _____<br>Typed Name: Yi Cui   | Date: _____             |
| 2) | Signature: _____<br>Typed Name: Song Han   | Date: _____             |
| 3) | Signature: <br>Typed Name: Mark C. Platshon | Date: <u>11/15/2010</u> |

If signed outside the United States of America, have two witnesses who also sign below:

- 1)    Signature: \_\_\_\_\_                      Date: \_\_\_\_\_  
      Printed Name: \_\_\_\_\_
- 2)    Signature: \_\_\_\_\_                      Date: \_\_\_\_\_  
      Printed Name: \_\_\_\_\_