

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Roger A. Stern, Jia H. Xiao, Jerome Jackson, Grant M. Glaze

Filing date: November 10, 2010

Date Declaration signed: November 9, 2010

Date Assignment signed (also execution dates): Roger A. Stern (January 13, 2011), Jia H. Xiao (December 30, 2010), Jerome Jackson (January 13, 2011), Grant M. Glaze (January 10, 2011)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**2. Name and address of receiving party(ies)**

Name: Cardea MedSystems, Inc.

Internal Address: _____

Street Address: 1346 Bordeaux Drive

City: Sunnyvale

State: California

Country: USA

Zip: 94089

Additional name(s) & address(es) attached? ☐ Yes ☒ No**3.**

Signature Declaration Date(s) November 9, 2010

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other _____**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No. (s)

B. Patent No. (s)

Application Number: 12/927,311 (Docket Number: AJ-1)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: David Lewis, Registered Patent Agent

Internal Address: Suite 200B

Street Address: 1250 Aviation Ave.

City: San Jose

State: CA

Zip: 95110

Phone Number: 408-993-1800

Fax Number: 408-993-1800

Email Address: davidlewisnmn@yahoo.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed

(\$40.00 was paid with initial filing on November 10, 2010)


☐ None required (government interest not affecting title)**8. Payment Information**

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 503345

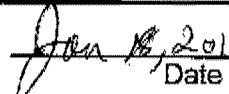
Authorized User Name David Lewis

9. Signature:

Signature

David Lewis

Name of Person Signing


DateDocuments to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450**PATENT**

U.S. PTO

12/927311

11/10/2010

Form PTO-1595 (Rev. 07/05)

OMB No. 0651-0027 (exp. 6/30/2008)

11-26-2010

COMMERCE
Trademark OfficeRECORDATION FORM
PATENTS

103612504

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Roger A. Stern, Jia H. Xiao, Jerome Jackson, Grant M. Glaze
 Filing date: November 10, 2010 execution date
 Date Declaration signed: November 09, 2010
 Date Assignment signed: November November 09, 2010

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Assignment Signature Date(s): November 09, 2010

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PATENT

11/15/2010 11:10:10 AM REEL: 025600 FRAME: 0566

ASSIGNMENT OF INVENTION

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNORS:

FIRST NAMED INVENTOR: Roger A. Stern
Address: 10418 Palo Vista Road
Cupertino, CA 95014

SECOND NAMED INVENTOR: Jia H. Xiao
Address: 5777 Marsh Hawk Drive
Santa Rosa, CA 95409

THIRD NAMED INVENTOR: Jerome Jackson
Address: 1725 Fallen Leaf Lane
Los Altos, CA 94024

FOURTH NAMED INVENTOR: Grant M. Glaze
Address: 450 North Mathilda Avenue, Apartment R104
Sunnyvale, CA 94085

hereby sell, assign and transfer to

ASSIGNEE:

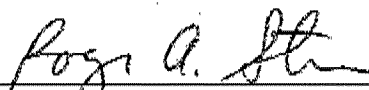
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Date: 1/13/2011


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Date: _____

Date: 12/30/2010

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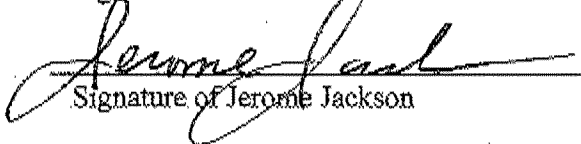
Date: _____

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Date: 1/10/11

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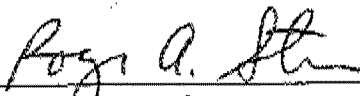
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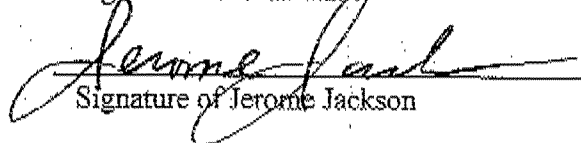
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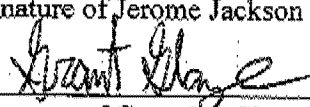
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Application Number: 12/927,311 (Docket Number: AJ-1)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: David Lewis, Registered Patent AgentInternal Address: Suite 200BStreet Address: 1250 Aviation Ave.City: San JoseState: CA Zip: 95110Phone Number: 408-993-1800Fax Number: 408-993-1800Email Address: davidlewisnrmn@yahoo.com**6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed (\$40.00 was paid with initial filing on November 10, 2010)☐ None required (government interest not affecting title)**8. Payment Information**

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 503345Authorized User Name David Lewis**9. Signature:**
SignatureJan 18, 2011
Date

David Lewis

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1450**PATENT**