# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYA	NCE:	ASSIGNMENT	
CONVEYING PARTY D	ATA		
		Name	Execution Date
Guido Bold		08/10/2005	
Hans-Georg Capraro		08/10/2005	
Giorgio Caravatti		08/16/2005	
Andreas Floersheimer			08/10/2005
Pascal Furet			08/31/2005
Paul W. Manley			08/16/2005
Andrea Vaupel			08/11/2005
Carole Pissot Solderma	nn		08/29/2005
Francois Gessier			08/24/2005
Christian Schnell			08/11/2005
Amanda Jane Littlewoo	d-Evans		08/16/2005
Prasad Koteswara Kapa	a		08/05/2005
Joginder S. Bajwa			08/05/2005
Xinglong Jiang			08/05/2005
RECEIVING PARTY DA	TA		
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Street Address:	ichtstrasse 35		
City:	Basel		
State/Country:	WITZERI AND		

Name:	Novartis AG
Street Address:	Lichtstrasse 35
City:	Basel
State/Country:	SWITZERLAND
Postal Code:	4056

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	11575025

# CORRESPONDENCE DATA

Fax Number:

(202)621-1844

# PATENT REEL: 025666 FRAME: 0581

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Correspondence will be sent via US Mail w	hen the fax attempt is unsuccessful.
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Address Line 2: Suite 400	
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ATTORNEY DOCKET NUMBER:	093286-0192
NAME OF SUBMITTER:	Paul D. Strain
Total Attachments: 21	
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(joint)

# ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, we

- 1. Guido BOLD, Bleumatthöhe 16, 5073 Gipf-Oberfrick, Switzerland, citizen of Switzerland
- 2. Hans-Georg CAPRARO, Habsburgerstr. 60, 4310 Rheinfelden, Switzerland, citizen of Switzerland
- 3. Giorgio CARAVATTI, Fuchshagweg 28, 4103 Bottmingen, Switzerland, citizen of Switzerland
- 4. Andreas FLOERSHEIMER, Lehmenweg 38, 4143 Dornach, Switzerland, citizen of Germany
- 5. Pascal FURET, Rue du Riegelsbourg 24, 68800 Thann, France, citizen of France
- 6. Paul W. MANLEY, Bruggweg 12, 4144 Arlesheim, Switzerland, citizen of Great Britain
- 7. Andrea VAUPEL, Rütiring 23, 4125 Riehen, Switzerland, citizen of Germany
- 8. Carole PISSOT SOLDERMANN, 2, rue des Maraîchers, 68128 Rosenau, France, citizen of France
- 9. François GESSIER, 8, rue des Vergers, 68720 Illfurth, France, citizen of France
- 10. Christian SCHNELL, Rue de Buschwiller 9, 68220 Hesingue, France, citizen of France
- 11. Amanda Jane LITTLEWOOD-EVANS, Langegasse 11, 4104 Oberwil BL, Switzerland, citizen of Great Britain
- 12. Prasad Koteswara KAPA, 31 Phillip Drive, Parsippany, NJ 07054, USA, citizen of USA
- 13. Joginder S. BAJWA, 50 Linwood Avenue, Elmwood park, NJ 07407, USA, citizen of USA
- 14. Xinglong JIANG, 433 Conover Drive, Hillsborough, NJ 08844, USA, citizen of USA

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, and its successors, assigns and legal representatives, all our right, title and interest for all countries of the world except Austria and to Novartis Pharma GmbH, a company organized under the laws of Austria, of Brunner Strasse 59, 1230 Vienna, Austria and, its successors, assigns and legal representatives, all our right, title and interest for Austria (Novartis AG and Novartis Pharma GmbH hereinafter referred to collectively as the "ASSIGNEES")

in and to (1) all of our inventions and discoveries described in the patent application entitled

### **BICYCLIC AMIDES AS KINASE INHIBITORS**

filed in the ROI<u>13</u> on <u>14.03</u>, 2005 and accorded International Patent Application Number PCT<u>132001009030</u>, (2) said patent application (3) all national stages of said international patent application, (4) all other patent applications in all countries and regions claiming the priority of <u>Great Britain</u> patent applications filed on <u>a) September 15, 2004 and b) June 8,</u> <u>2005</u> and accorded Application Numbers <u>a) 0420520.9 and b) 0511687.6</u>, (5) all continuations and divisions of all of said patent applications (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(5) hereinafter referred to collectively as "patent applications"), (6) all patents that are granted on any of said patent applications, (7) all registrations and confirmations of, and importation certificates based on, one or more of said patents and (8) all reissues, renewals and extensions of said patents, ree**RAMENT**  ASSIGNEES, their designees or in any or all of our names, all countries and regions for all of said patent applications under the International Convention for the Protection of Industrial Property, and all other applicable similar international agreements), the same to be held and enjoyed by said ASSIGNEES, their successors, assigns and legal representatives, to the full ends of the terms for which all patents therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment, sale and transfer had not been made.

\*We hereby authorize **ASSIGNEES** and their representatives to insert in this instrument the Application Number and the filing date of said application when notified thereof.

And we hereby covenant and agree that we will, at any time, upon the request and at the expense of **ASSIGNEES**, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates and supplementary protection certificates in **ASSIGNEES**, their successors, assigns or other legal representatives, including the execution and procurement of all further documents evidencing this assignment, sale and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, that we will, at any time, upon the request and at the expense of **ASSIGNEES**, execute all additional patent applications, applications for registration based on one or more of said patents, applications for reissues, renewals and extensions of one or more of said patents, applications and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEES**, their successors, assigns or other legal representatives.

Executed this <u>10</u> day of <u>Juques</u>, 20<u>05</u>. <u>Quido BOLD</u> L.S. 1. Guido BOLD

#### ACKNOWLEDGEMENT

State of	)
	) s.s.:
County of	)

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_, personally appeared before me

to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

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(Seal)

Executed this <u>16</u> day of <u>August</u>, 20<u>05</u>. <u>10075</u> <u>Andr</u> L.S. <u>3.</u> Giorgio CARAVATTI

# **CKNOWLEDGEMENT**

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dax∕ of 2005 Executed this 6. Paul W. MANLEY ACKNOWLEDGEMENT State of \_\_\_\_\_ ) ) s.s.: County of \_\_\_\_\_ ) On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_, personally appeared before me \_\_\_\_ to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth. (Seal) Notary Public Executed this 11 day of Hugust, 2005. L.S. 7. Andrea VAUPEL ACKNOWLEDGEMENT State of \_\_\_\_\_ ) ) s.s.: County of \_\_\_\_\_ ) On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_, personally appeared before me \_\_\_\_ to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

PATENT REE: 0587

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Executed this <u>29</u> d	ay of <u>August</u>	_, 20 <u>05</u> . L.S.	
8. Carole PISSO	[ SOLDERMANN		
ACKNOWLEDGEMENT			
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to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

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be the same person ged that he (she) executed

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### Attestation

I, the undersigned notary public of Basel, Switzerland, certify herewith the authenticity of the signatures of Dr. Guido Bold, citizen of Griesenberg, residing in Gipf-Oberfrick, Mr. Hans-Georg Capraro, citizen of Ascona, Switzerland, residing in Rheinfelden, Mr. Giorgio Caravatti, citizen of Biasca, Switzerland, residing in Bottmingen, Switzerland, Dr. Andreas Floersheimer, German citizen, residing in Dornach, Switzerland, Mr. Pascal Furet, French citizen, residing in Thann, France, Mr. Paul W. Manley, British citizen, residing in Arlesheim, Switzerland, Dr. Andrea Vaupel, German citizen, residing in Riehen, Switzerland, Mrs. Carole Pissot Soldermann, French citizen, residing in Rosenau, France, Mr. François Gessier, French citizen, residing in Illfurth, France, Mr. Christian Schnell, French citizen, residing in Hesingue, France, and Mrs. Amanda Jane Littlewood-Evans, British citizen, residing in Oberwil, Switzerland.

The authenticity of the signatures was established by comparison.

, this 7<sup>th</sup> (seventh) day of September 2005 (twothousandandfive). BASE

QNCK. Lote

Leg.Prot.Nr.: 68 /2005

Patent Case: \_\_\_\_ON/4 -33949A

### (joint)

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### ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, we

- 1. Guido BOLD, Bleumatthöhe 16, 5073 Gipf-Oberfrick, Switzerland, citizen of Switzerland
- 2. Hans-Georg CAPRARO, Habsburgerstr. 60, 4310 Rheinfelden, Switzerland, citizen of Switzerland
- 3. Giorgio CARAVATTI, Fuchshagweg 28, 4103 Bottmingen, Switzerland, citizen of Switzerland
- 4. Andreas FLOERSHEIMER, Lehmenweg 38, 4143 Dornach, Switzerland, citizen of Germany
- 5. Pascal FURET, Rue du Riegelsbourg 24, 68800 Thann, France, citizen of France
- 6. Paul W. MANLEY, Bruggweg 12, 4144 Arlesheim, Switzerland, citizen of Great Britain
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- 10. Christian SCHNELL, Rue de Buschwiller 9, 68220 Hesingue, France, citizen of France
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- 14. Xinglong JIANG, 433 Conover Drive, Hillsborough, NJ 08844, USA, citizen of USA

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, and its successors, assigns and legal representatives, all our right, title and interest for all countries of the world except Austria and to Novartis Pharma GmbH, a company organized under the laws of Austria, of Brunner Strasse 59, 1230 Vienna, Austria and, its successors, assigns and legal representatives, all our right, title and interest for Austria (Novartis AG and Novartis Pharma GmbH hereinafter referred to collectively as the "ASSIGNEES")

in and to (1) all of our inventions and discoveries described in the patent application entitled

#### **BICYCLIC AMIDES AS KINASE INHIBITORS**

filed in the RO/<u>12</u> on <u>14.09</u>., 2005 and accorded International Patent Application Number PCT<u>1122005/004030</u>, (2) said patent application (3) all national stages of said international patent application, (4) all other patent applications in all countries and regions claiming the priority of <u>Great Britain</u> patent applications filed on <u>a) September 15, 2004 and b) June 8,</u> <u>2005</u> and accorded Application Numbers <u>a) 0420520.9 and b) 0511687.6</u>, (5) all continuations and divisions of all of said patent applications (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(5) hereinafter referred to collectively as "patent applications"), (6) all patents that are granted on any of said patent applications, (7) all registrations and confirmations of, and importation certificates based on, one or more of said patents and (8) all reissues, renewals and extensions of said patents, reexamination certificates issued for said patents and supplementary protection certificates based on said patents

(including the right to file patent applications on said inventions and discoveries in the names of **ASSIGNEES**, their designees or in any or all of our names, all countries and regions for all of said patent applications under the International Convention for the Protection of Industrial Property, and all other applicable similar international agreements), the same to be held and enjoyed by said **ASSIGNEES**, their successors, assigns and legal representatives, to the full ends of the terms for which all patents therefore may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment, sale and transfer had not been made.

\*We hereby authorize **ASSIGNEES** and their representatives to insert in this instrument the Application Number and the filing date of said application when notified thereof.

And we hereby covenant and agree that we will, at any time, upon the request and at the expense of **ASSIGNEES**, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates and supplementary protection certificates in **ASSIGNEES**, their successors, assigns or other legal representatives, including the execution and procurement of all further documents evidencing this assignment, sale and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, that we will, at any time, upon the request and at the expense of **ASSIGNEES**, execute all additional patent applications, applications for registration and/or confirmation of one or more of said patents, applications for reissues, renewals and extensions of one or more of said patents and supplemental protection certificates based upon one or more of said patents and supplemental protection certificates based upon one or more of said patents, and that we will make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of **ASSIGNEES**, their successors, assigns or other legal representatives.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_.

L.S.

1. Guido BOLD

#### ACKNOWLEDGEMENT

State of \_\_\_\_\_ )

County of \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_, personally appeared before me

to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Notary Public

Executed this	day of	20	
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2. Hans-Geor	rg CAPRARO		
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On this day of	, 20, personally appeared before me
described in and who executed the fo the same, of his (her) own free will for (Seal)	pregoing instrument, and acknowledged that he (she) executed r the purpose set forth.
	Notary Public
Executed this day of	
7. Andrea VAUPEL	
ACKNOWLEDGEMENT	
State of )	
County of )	
On this day of	, 20, personally appeared before me

, \* i <sup>2</sup>\*

Notary Public

	day of	, 20	
		L.S.	
8. Carole PIS	SSOT SOLDERMANN		
CKNOWLEDGEM	IENT		
tate of	)		
	) s.s.:		
ounty of	)		
On this	day of	, 20	_, personally appeared before me
	to me	known, an	d known by me to be the same person
	io executed the foregoing	g instrumer	nt, and acknowledged that he (she) executed
e same, of his (he	r) own free will for the pu	irpose set f	forth.
Seal)			
			Notary Public
xecuted this	day of	, 20	
9. François G	ESSIER	L.S.	
· ,			
	ENT		
CKNOWLEDGEME	ENT		
	ENT)		
tate of	) )s.s.:		
tate of	) )s.s.: )	, 20	, personally appeared before me
ounty of On this	) )s.s.: ) day ofto me	known, and	, personally appeared before me d known by me to be the same person t, and acknowledged that he (she) executed

Executed this day of	, 20
10. Christian SCHNELL	
ACKNOWLEDGEMENT	
State of )	
) s.s.:	
County of )	
On this day of	, 20, personally appeared before me
to	me known, and known by me to be the same person
he same, of his (her) own free will for the	poing instrument, and acknowledged that he (she) executed e purpose set forth.
Seal)	
	Notary Public
Executed this day of	, 20
11. Amanda Jane LITTLEWOOD-EV	
CKNOWLEDGEMENT	
tate of )	
) s.s.:	
ounty of)	
On this day of	, 20, personally appeared before me
	me known, and known by me to be the same person oing instrument, and acknowledged that he (she) executed
e same, of his (her) own free will for the	
Seal)	

7

• • •

Notary Public

Executed this <u>5</u>th day of <u>August</u>, 20<u>65</u>. <u>My Jut w Kaya</u> L.S. 12. Prasad Koteswara KAPA

#### ACKNOWLEDGEMENT

State of <u>How Jersey</u>) ) s.s.: County of Morris

On this 5<sup>th</sup> day of <u>aurust</u>, 20<u>oS</u>, personally appeared before me Lotas wora Kapa to me known, and known by me to be the same person 1 man described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Mary e cw M Notary Public 2ce

Executed this <u>574</u> day of <u>August</u>, 2005 <u>Joginder & Bufun</u> L.S. Joginder S. BAWA

MAUREEN C. MCGEE	٦
NOTARY PUBLIC	
STATE OF NEW JERSEY	1
My Commission Expires Jan. 23, 2010	

#### ACKNOWLEDGEMENT

<u>an Jersen</u>) )s.s.: State of \_/ County of Morris ) On this <u>5</u> day of <u>Current</u>, 20<u>05</u>, personally appeared before me JOGINDER S. BAJWA to me known, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Maerseen CMbee Notary Public

MAUREEN C. MCGEE NOTARY PUBLIC STATE OF NEW JERSEY My Commission Expires Jan. 23, 2010

		L.S.
10. Christian SCHNI	ELL	
ACKNOWLEDGEMENT		
State of	)	
	) s.s.:	
County of	,	
	/	
On this d	ay of	, 20, personally appeared before me
		e known, and known by me to be the same person
		ig instrument, and acknowledged that he (she) executed
ne same, of his (her) ow <b>n</b>		
<b>N</b> 1)		
Seal)		
		Notary Public
		•
xecuted this day	of	, 20
xecuted this day		
		L.S.
11. Amanda Jane LIT		L.S.
11. Amanda Jane LIT	TLEWOOD-EVAN	L.S.
11. Amanda Jane LIT	TLEWOOD-EVAN	L.S.
11. Amanda Jane LIT CKNOWLEDGEMENT ate of	TLEWOOD-EVAN ) _) s.s.:	L.S.
11. Amanda Jane LIT CKNOWLEDGEMENT ate of	TLEWOOD-EVAN ) _) s.s.:	L.S.
11. Amanda Jane LIT CKNOWLEDGEMENT ate of	TLEWOOD-EVAN ) )s.s.: )	L.S. √S
11. Amanda Jane LIT CKNOWLEDGEMENT ate of ounty of da	TLEWOOD-EVAN ) ) s.s.: ) y of	L.S. NS
11. Amanda Jane LIT CKNOWLEDGEMENT ate of ounty of da	TLEWOOD-EVAN ) s.s.: ) y of to me	L.S. √S

Executed this 576 day of Augus 20<u>05</u>. L.S. Xinglong JIANG 14.

# ACKNOWLEDGEMENT

Jerry State of ) s.s.: County of Ononis ) day of <u>august</u>, 20<u>07</u>, personally appeared before me On this 5  $\overline{I_{i} + N_{6}}$  to me known, and known by me to be the same person NGLONG.

described in and who executed the foregoing instrument, and acknowledged that he (she) executed the same, of his (her) own free will for the purpose set forth.

(Seal)

Maryeen C. M. Ger Notary Public

MAUREEN C. MCGEE NOTARY PUBLIC STATE OF NEW JERSEY My Commission Expires Jan. 23, 2010

### FOR TRUE COPY

BASEL, Switzerland, this 22<sup>nd</sup> (twenty-second) day of January 2007 (twothousandandseven)



**RECORDED: 01/20/2011**