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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇨⇨⇨ ▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Hsi-Hsien TSAI (Family name: TSAI)
Chih-Pin SHEN (Family name: SHEN)

2. Name and address of receiving party(ies)

Name: **LUCIDITY ENTERPRISE CO., LTD.**

Internal Address: _____

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: **No. 18, Gongye 1st Road,**

Annan District

City: **Tainan City** State: **Taiwan** Zip: _____

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

29/379.077

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **LUCIDITY ENTERPRISE CO., LTD.**

Internal Address: _____

Street Address: **P.O. BOX 90, Tainan City 70499,**

TAIWAN

City: **Tainan City** State: **TAIWAN** Zip: **70499**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41). . . \$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Hsi-Hsien TSAI (Family name: TSAI)

Name of Person Signing

HSI-HSIEN TSAI

Signature

Nov. 08, 2010

00000001 Date 077

Chih-Pin SHEN (Family name: SHEN)

Name of Person Signing

Chih-Pin SHEN

Signature

Nov. 08, 2010

Date

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **3**

PATENT

REEL: 025694 FRAME: 0270

Mail documents to be recorded with required cover sheet information to:

ASSIGNMENT

(For Invention)

WHEREAS, I (we), Hsi-Hsien TSAI (Family name: TSAI) & Chih-Pin SHEN (Family name: SHEN)

Assignor Name(s)

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented certain new and useful improvements for

LED HEADLAMP

Title of Invention

(hereinafter referred to as "The Invention") for which an application for United States Letters Patent was

☐ executed on even date herewith;

☐ executed on _____;

☒ filed on November 15th, 2010, Serial No. 29/379,077

WHEREAS, LUCIDITY ENTERPRISE CO., LTD.

Assignee Name(s)

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said Invention and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said Invention, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said Invention all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the Invention that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patents referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof, and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patents may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

Assignor

(1) Name Hsi-Hsien TSAI (Family name: TSAI)	Address No. 54, Section 1, Cheng Hsi Street, Tainan City, Taiwan
Signature <i>Hsi-Hsien TSAI</i>	Date Nov. 08, 2010

(2) Name Chih-Pin SHEN (Family name: SHEN)	Address No. 25, Lane 82, Yadong Street, Qianzhen District, Kaohsiung City, Taiwan
Signature <i>Chih-Pin SHEN</i>	Date Nov. 08, 2010

(3) Name XXXXXXXXXXXXXXXXXXXXXXXXXXXX	Address
Signature	Date

Assignee

(1) Name LUCIDITY ENTERPRISE CO., LTD.	Address No. 18, Gongye 1st Road, Annan District, Tainan City, Taiwan
Signature <i>Wen-Hsien Huang</i>	Date Nov. 08, 2010

REEL: 025691 FRAME: 0271

VERIFIED STATEMENT (DECLARATION) BY A SMALL BUSINESS CONCERN CLAIMING SMALL ENTITY STATUS UNDER 37 CFR 1.9(F) AND 1.27(C)

APPLICANT OR PATENTEE: **Hsi-Hsien TSAI (TSAI is the last name)
Chih-Pin SHEN (SHEN is the last name)**
 SERIAL OR PATENT NUMBER: 29/379,077
 FILED OR ISSUED: November 15th, 2010
 TITLE: **LED HEADLAMP**

DOCKET #: **AMI-4278**

GROUP ART UNIT.

EXAMINER:

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: **LUCIDITY ENTERPRISE CO., LTD.**Address: **No. 18, Gongye 1st Road, Annan District, Tainan City, Taiwan**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, **does not exceed 500 persons**. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the matter described in:

- ☐ The specification filed herewith, with the title as listed above
☒ The patent application identified above.
☐ The PCT international patent application identified above.
☐ The patent number identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern who would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9 (e). Each person or organization having any rights in the invention is listed below:

- ☒ No such person, concern or organization
☐ Each such person, concern or organization listed below:


FULL NAME	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	

FULL NAME	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization
ADDRESS	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	

☐ See attached sheet for additional person(s), concern(s) or organization(s).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee of any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed

NAME AND TITLE	Wen-Hsien HUANG (Family name: HUANG) (Title: President)	DATE	Nov. 08, 2010
ADDRESS	No. 18, Gongye 1st Road, Annan District, Tainan City, Taiwan	SIGNATURE	 PATENT

RECORDED: 11/29/2010

REEL: 025691 FRAME: 0272