

TO:QUINTERO LAW OFFICE, PC COMPANY:615 HAMPTON DRIVE, SUITE A202

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PAGE 02/04

Attorney Docket No. 10130121

Page 1 of 1

## RECORDATION FORM COVER SHEET

To the Honorable [REDACTED] Patents and Trademarks:  
Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Min-Tsung KUAN, Ming-Chia LI, Wen-Hsien WANG,  
Kuo-Chan CHIOU, Tzong-Ming LEE, Fang-Yao YEH

Additional name(s) of conveying parties attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Industrial Technology Research Institute

Internal Address:

Street Address: No. 195, Sec. 4, Chung Hsing  
Road, Chutung

City: Hsinchu State: Zip: 31040

Country: TW

Additional names(s) &amp; address(es) attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of name

☐ Other:

Execution Date: 06/07/2010

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

12/079,158

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed.

Name: Quintero Law Office, PC

Street Address: 615 Hampton Drive, Suite A202

City: Venice State: CA Zip: 90291

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 C.F.R. 3.41): \$40

\_\_\_ Enclosed

\_\_\_ Authorized to be charged to credit card (Form PTO-  
2038 attached)

☒ Authorized to be charged to deposit account, if no  
fee attached.

8. Deposit account number: 502447

(Attach duplicate cover sheet if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the  
original document.

Nelson A. Quintero, #52,143

Name of person signing



Signature

December 28, 2010

Date

Total number of pages including cover sheet, attachments, and documents: 3

Form PTO-1595 (modified)

(10-02) Rev 02

PT45068NAQ

CH \$40.00 502447 12979158

PATENT

REEL: 025714 FRAME: 0872

700455160

## ASSIGNMENT

WHEREAS, Min-Tsung KUAN, Ming-Chia LI, Wen-Hsien WANG, Kuo-Chan CHIOU, Tzong-Ming LEE and Fang-Yao YEH hereafter referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

ENCAPSULATION MATERIAL

Title: \_\_\_\_\_

Filed: \_\_\_\_\_

Serial No. \_\_\_\_\_

Executed on: June 7, 2010

WHEREAS, Industrial Technology Research Institute of No. 195, Sec. 4, Chung Hsing Rd., Chung Hsing, Hsinchu 31040, Taiwan, R. O. C. hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Full name of sole or first inventor: Min-Tsung KUAN	
Inventor's signature: <i>Min-Tsung KUAN</i>	Date: 2010.6.7

Full name of second inventor: Ming-Chia LI	
Inventor's signature: <i>Ming-Chia Li</i>	Date: 2010.6.7

Full name of third inventor: Wen-Hsien WANG	
Inventor's signature: <i>Wen-Hsien Wang</i>	Date: <i>June 7/10</i>

Full name of fourth inventor: Kuo-Chen CHIOU	
Inventor's signature: <i>Chik</i>	Date: <i>2010.6.7</i>

Full name of fifth inventor: Tzong-Ming LEE	
Inventor's signature: <i>Tzong-Ming Lee</i>	Date: <i>2010.06.07</i>

Full name of sixth inventor: Fang-Yao YEH	
Inventor's signature: <i>Fang Yao Yeh</i>	Date: <i>2010.06.07</i>

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 12, 2011

PTAS

QUINTERO LAW OFFICE, PC  
615 HAMPTON DRIVE, SUITE A202  
VENICE, CA 90291

**\*700453218\***

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 700453218

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE,  
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.  
IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE,  
YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT  
571-272-3350.

1. THE COVER SHEET SUBMITTED FOR RECORDING IS NOT ACCEPTABLE. AN EXECUTION DATE MUST BE INDICATED FOR EACH CONVEYING PARTY.

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

Attorney Docket No. 10130121

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Min-Tsung KUAN, Ming-Chia LI,  
Wen-Hsien WANG, Kuo-Chan  
CHIOU, Tzong-Ming LEE, Fang-  
Yao YEH**

Filed: **12/27/2010**Appl. No.: **12/979,158**

Examiner:

Conf. No.: **2544**Art Unit: **1762**Title: **ENCAPSULATION MATERIAL**Date: **January 26, 2011**

Mail Stop: Assignment Services Branch  
P.O. Box 1450  
Alexandria, VA 22313

**RESUBMISSION OF NOTICE OF RECORDATION OF ASSIGNMENT UNDER 37 CFR 3.51****DOCUMENT ID NO. 700453218**

Attached please find the Recordation Form Cover Sheet filed on December 28, 2010 with the execution date information filled in. Also attached is the Notice of Non-Recordation issued on January 20, 2011.

**Certificate of Transmission**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (fax no. (571) 273-0140) on the date **January 26, 2011**.

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Nelson A. Quintero

(Name of Person Depositing)

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(Signature)


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January 25, 2011

(Date)

Respectfully submitted,

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Nelson A. Quintero  
Attorney for Applicant  
Reg. No. 52,143  
Customer No. 34,283

Telephone: (310) 909-8535

P147425NAQ

**RECORDED: 01/26/2011****PATENT  
REEL: 025714 FRAME: 0876**