3 ( 2011 )	
Form PTD/1595 (Rev 07/05) OMB NS/0651-0027 (exp 6/30/2008)	2/02/2011 <sup>US DEPARTMENT OF COMMER <u>uted States Patent and Trademark</u></sup>
To the Director of the U S Patent and Tr.	03616877
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
SHENYANG RESEARCH INSTITUTE OF CHEMICAL INDUST	TRY Name #1 SINOCHEM CORPORATION, #2 Shenyang Rese
	Internal Address Institute of Chemical Industry Co., Ltd,
Additional name(s) of conveying party(ies) attached? Yes 🗸	Adress #1 No 28 Fuxingmennei Dajie, Xicheng District,
3. Nature of conveyance/Execution Date(s):	Street Address Beijing, China, #2 No 8 Shenliaodong
Execution Date(s) <u>01/11/2011</u>	
Assignment Merger	Road, Tiexi District, Shenyang, Liaoning, China
Security Agreement Change of Nam	e City
Joint Research Agreement	State
Government Interest Assignment	
Executive Order 9424, Confirmatory License	CountryZıp
Other	_ Additional name(s) & address(es) attached? ✓ Yes
A Patent Application No (s) 09/020,333	B Patent No (s) 6,020,332
A Patent Application No (s) 09/020,333	B Patent No (s) 6,020,332
A Patent Application No (s) 09/020,333 Additional numbe	B Patent No (s) 6,020,332 rs attached? Yes INo
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A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved: 1
A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed:	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40.00
A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 Authorized to be charged by credit card
A Patent Application No (s) 09/020,333 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40.00
A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address Suite 128	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 Authorized to be charged by credit card Authorized to be charged to deposit account ✓ Enclosed
A Patent Application No (s) 09/020,333 Additional numbe 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address Street Address Street Address	6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 △ Authorized to be charged by credit card △ Authorized to be charged to deposit account
A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address Suite 128 Street Address 108 N Ynez Avenue City Monterey Park	B Patent No (s) 6,020,332 rs attached? Yes Vo 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 Authorized to be charged by credit card Authorized to be charged to deposit account V Enclosed None required (government interest not affecting 8. Payment Information
A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address Suite 128 Street Address 108 N Ynez Avenue City Monterey Park State. California Zip 91754	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 Authorized to be charged by credit card Authorized to be charged by credit card Authorized to be charged to deposit account ✓ Enclosed None required (government interest not affecting
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A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 Authorized to be charged by credit card Authorized to be charged by credit card Authorized to be charged to deposit account ✓ Enclosed None required (government interest not affecting 8. Payment Information a Credit Card Last 4 Numbers Expiration Date b Deposit Account Number
A Patent Application No (s) 09/020,333 Additional number 5. Name and address to whom correspondence concerning document should be mailed: Name Raymond Y Chan Internal Address	B Patent No (s) 6,020,332 rs attached? Yes ✓No 6. Total number of applications and patents involved:_1 7. Total fee (37 CFR 1 21(h) & 3 41) \$40 00 Authorized to be charged by credit card Authorized to be charged by credit card Authorized to be charged to deposit account ✓ Enclosed None required (government interest not affecting 8. Payment Information a Credit Card Last 4 Numbers Expiration Date

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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to Mail Stop Assignment Recordation Services, Director of the USPTO, P.O Box 1450, Alexandria, V.A 22313-1450

> PATENT REEL: 025737 FRAME: 0707

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## ASSIGNMENT

WHERF VS 1 (we) SHENY ANG RESEARCH INSTITUTE OF CHEMICAL INDUSTRY where post office address(es) appear(s) below hereinafter referred to as ASSIGNOR have invented a certain new and useful improvements in

Elhorme-containing diphenyl aerylamide antimicrobial agents

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

executed on even data herewith
 executed on

executed on

[]	filed on	02/09 1998	Serial No	09 020 333
11 1 1 1		02 01 2000	Patent No.	o 020 33_
- 14 111	EKEAS S	SINOCHEM CORPORATION		

whose post office address is No 28 Euxingmenner Dape. Archeng District, Beijing P.R. China hereinafter referred to as ASSIGN F is desirous of acquiring the entire right title ind interest in and to the same in the United States.

NOW, HILREFORE, for good and valuable consideration, receipt of which is hereby acknowledged 1 (we). ASSIGNOR, by these presents do sell assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division continuation continuation-in-part and reissue of said application

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEF in and to said INVENTION, all without further consideration ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument ASSIGNOR S obligations under this instrument shall extend to ASSIGNOR S heirs, executors, administrators and other legal representatives

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNTE, as the ASSIGNEE of the entire right title and interest in and to the same, for ASSIGNEES sole use and behoof, and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made

ASSIGNOR authorizes Raymond Y. Chan to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office

ASSIGNOR NAME SHENY ANG RESEARCH INSTITUTE OF CHEMICAL INDUSTRY ADDRESS. No 8 Shenhaodong Road Tievi District Shenyang,I taoming P.R. China-

SIGN ATURE

01/11/2011

PATENT REEL: 025737 FRAME: 0708

**UTITITY PATENT** 

DATA USP4716C/SZ625-SYA

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## ASSIGNMENT

WHEREAS, I (we) SHENY AND RESEARCH INSTITUTE OF CHEMICAL INDUSTRY whose post office address(es) appear(s) below hereinafter referred to as ASSUNDR have invented a certain new and useful improvements in

Phiorine-containing diphenyl acrylamide antimicrobial agente

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- executed on even data herewith
- executed on

$\Box$	filed on;	02 09 1998	Serial No.	09 020.323
	Issue date	02/01/2000	Patent No.	0.020.332

WHERFAS. Shenyang Research Institute of Chemical Industry Co., Ed.

whose post office address is No 8 Shenhaodong Road. Liest District Shenyang,Liaoning,P.R. China hereinafter referred to us ASSIGNEE is destrous of acquiring the entire right, title and interesting and to the same in the United States,

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell assign and transfer unto said ASSIGNEE the entire right title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNET in and to said INVENTION, all without further consideration ASSIGNOR also agrees, without further consideration and at ASSIGNET'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEL, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and behoof and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made

ASSIGNOR authorizes Raymond Y. Chan to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

ASSIGNOR NAME. SHFNYANG RESEARCH INSTITUTE OF CHEMICAL INDUSTRY ADDRESS No 8 Shenliaodong Road Tiexi District Shenyang Liaoning P.R. China

SIGNATURE

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**RECORDED: 01/31/2011**