

02/07/2011

Docket No 623.8.009



103617086

HEET

U S DEPARTMENT OF COMMERCE

Patent and Trademark Office

2/4/11

To the Director of the United States Patent and Trademark Office Please record the attached documents or the new address(es) below

1. Name of conveying party(ies):

Cataphora, Inc.

2. Name and address of receiving party(ies):

Name Platinum Capital Partners Inc.

Internal Address _____

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 11, 2011

☐ Assignment

☐ Merger

☒ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

Street Address 28 Stasinou Street, Suite 2002

Acropolis 2003

City Nicosia

State _____

Country Cyprus

ZIP _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent numbers(s):

A Patent Application No (s)

12/021,251

12/941,849

☐ This document is being filed together with a new application

B Patent No (s)

7,519,589

7,421,660

7,386,439

7,143,091

7,805,673

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name Allen R. Kipnes, Esq.

Internal Address _____

Street Address P.O. Box 247

City Princeton Junction

State New Jersey

ZIP 08550

Phone Number (609) 243-0330

Fax Number (609) 275-1010

Email Address _____

6. Total number of applications and patents involved:

7

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 280.00

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information:

02/07/2011 HULLINS 00000000 12021251

01:00:00

280.00 US

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

Allen R. Kipnes

Name of Person Signing

February 1, 2011

Date

Total number of pages including cover sheet, attachments, and document

4

Collateral Assignment

Whereas Cataphora, Inc. having a place of business at 1200 Bridge Parkway, Redwood City, California 94065 (Hereinafter "Cataphora") is the owner of intellectual properties (Hereinafter "IP") including, but not limited to all right, title and interest in the patent properties identified in Exhibit A.

Whereas Platinum Capital Partners Inc having a place of business at 28 Stasinou Street, Suite 2002, Acropolis 2003, Nicosia, Cyprus (Hereinafter "Platinum") is desirous of a collateral assignment of all of said "IP" including the right, title and interest in the patent properties identified in Exhibit A, to secure certain indebtedness owing from Cataphora to Platinum (the "Indebtedness").

Now therefore for \$10 and other good and valuable consideration, the receipt of which is hereby acknowledged, "Cataphora" by these presents does sell, assign and transfer unto "Platinum" as collateral its entire right, title and interest in and to said "IP" including the patent properties identified in Exhibit A; the same to be held and enjoyed by "Platinum", after an "event of default" under the Indebtedness, for its own use, and for its legal representatives and assigns, to the full end of the term which the "IP" and patent properties may be granted, as fully and entirely as the same would have been held by "Cataphora" had this assignment not be made.

Executed this 11 day of January, the year 2011 at REDWOOD CITY

CATAPHORA, INC

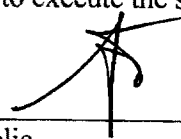
By: 

Name: _____

Title: _____

State of California
County of SAN MATEO

Before me personally appeared Elizabeth B. CHARNOCK who acknowledged the foregoing instrument to be a free act and deed and also represented that ~~he~~ or she is authorized to execute the same 11th day of Jan in the year 2011.



Notary Public

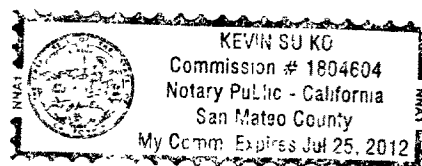


EXHIBIT A

SCHEDULE OF INTELLECTUAL PROPERTY

Patents

<u>Description</u>	<u>Patent/ Serial Number</u>	<u>Issue /Application Date</u>	<u>Inventors</u>	<u>International filing</u>
Method and apparatus for sociological data analysis	7,519,589	04/14/09 (Issue date)	Elizabeth Charnock , Curtis Thompson Steven Roberts, Arpit Bothra, Joanes Espanol, Roman Brouk, Keith Schon, Jonathan Nystrom	International Application No.:PCT/US2006/030 284 International Filing Date:31.07.2006
Method and apparatus to visually present discussions for data mining purposes	7,421,660	09/02/08 (Issue date)	Elizabeth Charnock , Curtis Thompson Steven Roberts,	International Application No.:PCT/US2003/003 504 International Filing Date:04.02.2003
Data mining by retrieving causally-related documents not individually satisfying search criteria used	7,386,439	06/10/08 (Issue date)	Elizabeth Charnock , Roman Brouk, Steven Roberts, David Holsinger ¹	
Method and apparatus for sociological data mining	7,143,091	11/28/06 (Issue date)	Elizabeth Charnock , Roman Brouk, Steven Roberts, David Holsinger ²	International Application No.:PCT/US2003/003 309 International Filing Date:04.02.2003

¹ David Holsinger is no longer a Cataphora employee

² David Holsinger is no longer a Cataphora employee

An efficient and phased method of processing large collections of electronic data known as "Best Match first" for electronic discovery and other related publications ³	12/021,251	01/21/08 (Application date)	Elizabeth Charnock, Steven Roberts,	
Method and apparatus to provide a unified redaction system	7,805,673	09/28/2010 (Issue date)	Elizabeth Charnock, Neji Dhakouani Loki der Quaeler ⁴	International Application No.. PCT/US2006/030 274 International Filing Date: 31.07.2006
Continuous anomaly detection based on behavior modeling and heterogeneous information analysis	12/941,849	11/08/10 (Application date)	Elizabeth Charnock, Steven Roberts, Richard Oehrle Laurent Dupont Keith Schon Eli Amesefe	

³ We received a first office action regarding this patent on October 2010. A response is due on January 27th. Cataphora is considering abandoning this patent since the rejection is based on the examiner opinion that the patent is being anticipated by our own patent US patent 7,143,091. We have not formally filed a response

⁴ Loki der Quaeler is no longer a Cataphora employee