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U S DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



103617838

To the Director of the U S Patent a

Documents or the new address(es) below

**1. Name of conveying party(ies)**

Ellicon-National, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) October 1, 2010

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name Amsted Rail - Faiveley, LLC.

Internal Address. \_\_\_\_\_

Street Address 311 South Wacker, Suite 5300

City Chicago

State Illinois

Country USA Zip 60606

Additional name(s) & address(es) attached? ☐ Yes ☐ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.  
A. Patent Application No.(s) \_\_\_\_\_  
B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☒ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name AMSTED Industries Incorporated

Internal Address: Edward J. Brosius

Street Address Two Prudential Plaza

180 N Stetson Street, Suite 1800

City Chicago

State Illinois Zip 60601

Phone Number 312-819-8482

Fax Number 312-819-8484

Email Address: ebrosius@amsted.com

**6. Total number of applications and patents involved:** 17

**7. Total fee** (37 CFR 1.21(h) & 3.41) \$ 410.00  
710.00 C.O.

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 01-1780

02/16/2011 DTIMBERL 00000006 011780 5192119

Authorized User Name Edward J. Brosius

01 FC:8521 688.00 DA

**9. Signature:**

Edward J. Brosius  
Signature

2-10-2011  
Date

Edward J. Brosius

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 025786 FRAME: 0557**

**Schedule A  
U.S. Patents**

<b>U.S. Patent Number</b>	<b>Expiration Date</b>
5,192,119	10/30/2010
5,106,169	2/8/2011
5,269,594	12/17/2011
5,263,422	12/7/2012
5,361,876	5/4/2013
5,551,766	10/11/2014
5,507,368	4/10/2015
5,753,987	10/28/2016
6,112,860	2/5/2019
7,559,411	7/14/2029
<b>U.S. Patent Applications</b>	
<b>U.S. Patent Application Number</b>	<b>Filing Date</b>
App:11/517,976	App:9/8/2006
App: 11/517,974	App: 9/8/2006
App: 11/755,442	App:05/30/2007
App:12/370,330	App: 2/12/2009
App: 12/470,217	App: 5/21/2009
App: 12/470,979	App:5/22/2009
App: 12/481,034	App: 6/9/2009

## **ASSIGNMENT AND AGREEMENT**

WHEREAS, Ellcon-National, Inc., hereinafter referred to as "Assignor", owns the Patents and Patent Applications listed in attached Schedule A.

WHEREAS, Amsted Rail – Faiveley, LLC., of 311 South Wacker, Suite 5300, Chicago, IL hereinafter referred to as "Assignee", whose mailing address is 311 South Wacker, Suite 5300, City of Chicago, and State of IL. 60606 is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, on behalf of the Assignor, by these presents do sell, assign and transfer unto said assignee the entire right, title and interest in and to the said Patents aforesaid; the same to be held and enjoyed by the said assignee for his own use and behoof, and for his legal representatives and assigns, to the full end of the term for which said Patents are granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 15<sup>th</sup> day of October 2010,

at Chicago, IL. USA

David L. Rose  
Ellcon-National, Inc.

STATE OF Illinois )  
COUNTY OF Cook ) ss.

Before me personally appeared said David L. Rose

And acknowledge the foregoing instrument to be his free act and deed this 15<sup>th</sup> day  
of October, 2010.

Ann Kladis  
Notary Public

