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Attorney Docket No. 148415

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<p>1. A. Name of conveying parties: (1) Nobukazu HOSOKAI (2) Isamu OGURO (3) Jun WATANABE (4) Tetsujiro TADA</p> <p>B. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. A. Name and address of receiving parties:</p> <p>(1) SANSHIN CO., LTD. 1-11, HEIJIMA NAGAOKA-SHI, NIIGATA-KEN 940-1163 JAPAN</p> <p>(2) NIHON MICRO COATING CO., LTD. 4-1, MUSASHINO 3-CHOME AKISHIMA-SHI, TOKYO 196-0021 JAPAN</p> <p>B. Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. A. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>B. Execution Date: <u>January 26, 2011-ALL</u></p>	

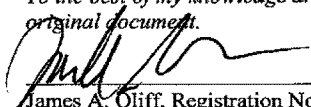
4. A. Patent Application No. 13/015,016 B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

C. Title of Application: SUBSTRATE POLISHING METHOD AND DEVICE

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>James A. Oliff</u></p> <p>Address: <b>OLIFF &amp; BERRIDGE, PLC</b> P.O. Box 320850 Alexandria, VA 22320-4850 Phone Number: 703-836-6400 Fax Number: 703-836-2787</p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Please charge Deposit Account No. 15-0461 the total fee (37 CFR 3.41) in the amount of <u>\$40.00</u>.</p> <p>8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.</p>
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9. **Statement and signature.**  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

  
James A. Oliff, Registration No. 27,075  
Michelle K. Windom, Registration No. 65,466

Date: February 16, 2011

CH \$40.00 150461 13015016

# ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1) Nobukazu HOSOKAI (5) \_\_\_\_\_

(2) Isamu OGURO (6) \_\_\_\_\_

(3) Jun WATANABE (7) \_\_\_\_\_

(4) Tetsujiro TADA (8) \_\_\_\_\_

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert Name of Assignee (9) Sanshin Co., Ltd.

(10) Insert Address of Assignee (10) 1-11, Heijima, Nagaoka-shi, Niigata-ken, 940-1163 Japan

(11) Insert Name of Assignee (11) Nihon Micro Coating Co., Ltd.

(12) Insert Address of Assignee (12) 4-1, Musashino 3-chome, Akishima-shi, Tokyo, 196-0021 Japan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States of America as defined in 35 U.S.C. §100, in the invention, and in all applications for patent including any and all provisional, non-provisional, divisional, continuation, substitute, and reissue application(s), and all Letters Patent, extensions, reissues and reexamination certificates that may be granted on the invention known as

(13) Insert Identification such as Title, Case Number, or Foreign Application Number (13) SUBSTRATE POLISHING METHOD AND DEVICE

(Attorney Docket No. 148415)

for which the undersigned has (have) executed an application for patent in the United States of America

(14) Insert Date of Signing of Application (14) on JANUARY 26, 2011

(15) Alternative Identification for filed applications (15) U.S. application Serial Number 13/015,016

filed January 27, 2011

1) The undersigned agree(s) to execute all papers necessary in connection with any application and any continuing, divisional or reissue applications for the invention, and any patent(s) issuing thereon, and also to execute separate assignments in connection with such applications and patents as the Assignees may deem necessary.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning any application or continuation or division thereof, or any patent or reissue application based thereon, for the invention, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain, maintain or confirm by reissue or reexamination a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application(s) to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreements in conflict herewith.

6) The undersigned hereby grant(s) the firm of OLIFF & BERRIDGE the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date JANUARY 26, 2011 Name of Inventor Nobukazu HOSOKAI (SEAL)  
 Date JANUARY 26, 2011 Name of Inventor Isamu OGURO (SEAL)  
 Date \_\_\_\_\_ Name of Inventor Jun WATANABE (SEAL)  
 Date \_\_\_\_\_ Name of Inventor Tetsujiro TADA (SEAL)  
 Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)  
 Date \_\_\_\_\_ Name of Inventor \_\_\_\_\_ (SEAL)

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(3) Jun WATANABE (7) \_\_\_\_\_

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In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date _____	Name of Inventor <u>Nobukazu HOSOKAI</u> (SEAL)
Date _____	Name of Inventor <u>Isamu OGURO</u> (SEAL)
Date <u>January 26, 2011</u>	Name of Inventor <u>Jun WATANABE</u> (SEAL)
Date <u>January 26, 2011</u>	Name of Inventor <u>Tetsujiro TADA</u> (SEAL)
Date _____	Name of Inventor _____ (SEAL)
Date _____	Name of Inventor _____ (SEAL)

PATENT

RECORDED: 02/16/2011

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