

02/23/2011

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



IT

103618287

To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the new address(es) below

**1. Name of conveying party(ies)**

Thomas Weisel, Roger Pisarnwongs

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 11/24/2010

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Pivot Medical, Inc.

Internal Address:

Street Address: 762 Palomar Avenue

City: Sunnyvale

State: CA

Country: USA Zip: 94085

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No (s)

12/631,514

B Patent No (s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mark J. Pandiscio

Internal Address: Pandiscio & Pandiscio, P.C

Street Address 470 Totten Pond Road

City: Waltham

State: MA Zip: 02451

Phone Number (781) 290-0060

Fax Number: (781) 290-4840

Email Address

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☒ Enclosed (any deficiencies)  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b Deposit Account Number 12631514

Authorized User Name \_\_\_\_\_

**9. Signature:**

*Margaret M. Carley*  
Signature

02/17/2011  
Date

Margaret M. Carley  
Name of Person Signing

Total number of pages including cover  
sheet, attachments, and documents

7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

AM/FIAN3143.REC6

FIAN-3143

**PATENT**  
**REEL: 025833 FRAME: 0088**

## ASSIGNMENT

We, James Flom of 1717 Saint Francis Way, San Carlos, CA 94070, Thomas Weisel of 3063 Grove Street, Ventura, CA 93003, Andrew Lantz of 3966 Folsom St. SAN FRANCISCO CA 94110, Roger Pisarnwongs of 23824 West Bescia, Valencia, CA 91354, Jonathan Dewey of \_\_\_\_\_, Matthew Frushell of 725 Glasgow Circle, Danville, CA 94526, Bryan Kelly of 535 East 70<sup>th</sup> Street, New York, NY 10021 and Michael Wei of \_\_\_\_\_, having invented improvements in METHOD AND APPARATUS FOR ACCESSING THE INTERIOR OF A HIP JOINT, INCLUDING THE PROVISION AND USE OF A NOVEL TELESCOPING ACCESS CANNULA AND A NOVEL TELESCOPING OBTURATOR and having executed an application for United States patent describing the same and based thereon (and we hereby authorize our attorney(s), authorized to prosecute said application, to here insert the filing date and serial number of said application, when known, Serial No. 12/631,514, filed 12/04/2009), for good and valuable consideration, receipt of which is hereby acknowledged from Pivot Medical, Inc., a Delaware corporation having a place of business at 762 Palomar Avenue, Sunnyvale, CA 94085, hereinafter called the Assignee (which term shall include its successors and assigns), do hereby sell, assign and transfer unto the Assignee our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application (which term shall include hereinafter where the context so admits all divisional, continuing, reissue and other patent applications based thereon) and the inventions (which term shall include each and every such invention, or any part thereof) therein described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or on said application.

And for the same consideration we do also hereby sell, assign and transfer unto the Assignee all our rights under the International Convention for the Protection of Industrial Property and all other treaties of like purpose in respect of said inventions and said application and we do hereby authorize the Assignee to apply in our names or its own name for patents and like rights of exclusion on or for said inventions in all countries, claiming (if the Assignee so desires) the priority of the filing date of said application under the provisions of said Convention or any such other treaty;

And for the same consideration we do hereby jointly and severally agree for ourselves and for our respective heirs,  
Pandiscio & Pandiscio, P.C.  
470 Totten Pond Road  
Waltham, MA 02451  
Tel. (781) 290 0060

FIAN-3143


**PATENT**  
**REEL: 025833 FRAME: 0089**

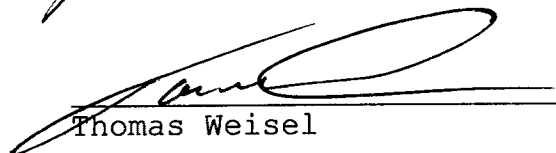
executors and administrators, promptly upon request of the Assignee, to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee fully to secure to it said right, title, and interest as aforesaid in and to said inventions, application, and said several patents and like rights of exclusion, or any of them, all, however, at the expense of the Assignee;


And we do hereby authorize and request the Commissioner of Patents of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee any and all patents and like rights of exclusion which may be granted in any country upon said application or on or for said inventions;


And we do hereby jointly and severally covenant for ourselves and for our respective legal representatives and agree with the Assignee that we have granted no right or license to make, use or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title and interest in and to said inventions has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year hereinafter noted.

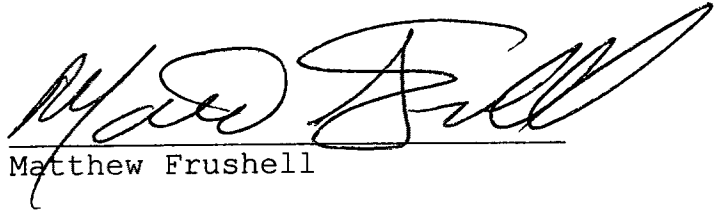
  
James Flom

  
Thomas Weisel

  
Andrew Lantz

  
Roger Pisarnwongs

Jonathan Dewey

  
Matthew Frushell

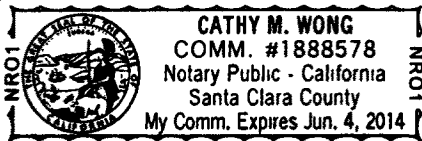
Bryan Kelly

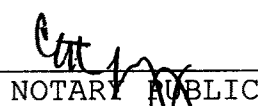
Michael Wei

State of CALIFORNIA )  
County of SANTA CLARA ) ss.

Before me this 12<sup>th</sup> day of NOVEMBER, 2010,  
personally appeared James Flom, who is to me personally known,  
and acknowledged the foregoing instrument to be his/her free act  
and deed.

SEAL



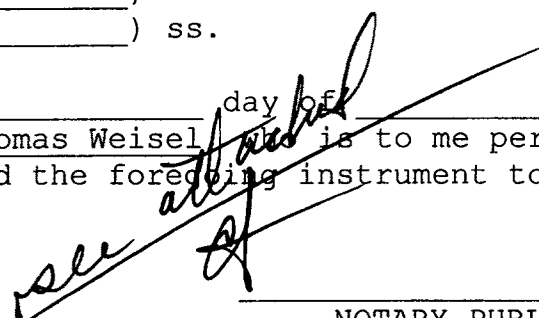
  
NOTARY PUBLIC

My commission expires: 6/4/2014

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2010,  
personally appeared Thomas Weisel, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

Pandiscio & Pandiscio, P.C.  
470 Totten Pond Road  
Waltham, MA 02451  
Tel. (781) 290 0060

FIAN-3143

PATENT  
REEL: 025833 FRAME: 0091

State of CALIFORNIA)  
County of SANTA CLARA) ss.

Before me this 12<sup>th</sup> day of November, 2010,  
personally appeared Andrew Lantz, who is to me personally known,  
and acknowledged the foregoing instrument to be his/her free act  
and deed.

SEAL



Cathy M. Wong  
NOTARY PUBLIC

My commission expires: 6/4/2014

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2010,  
personally appeared Roger Pisarnwongs, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

[Signature]  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2010,  
personally appeared Jonathan Dewey, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

[Signature]  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of CALIFORNIA)  
County of SANTA CLARA) ss.

Before me this 12<sup>th</sup> day of November, 2010,  
personally appeared Matthew Frushell, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL



Cathy M. Wong  
NOTARY PUBLIC

My commission expires: 6/4/2014

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2010,  
personally appeared Bryan Kelly, who is to me personally known,  
and acknowledged the foregoing instrument to be his/her free act  
and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2010,  
personally appeared Michael Wei, who is to me personally known,  
and acknowledged the foregoing instrument to be his/her free act  
and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

# CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT

STATE OF CALIFORNIA

COUNTY OF Ventura

On NOVEMBER 24 2010, before me, Carlene R. Ackley, a Notary Public,  
Personally Appeared

THOMAS WEISEL AND  
ROGER PISARWONGS

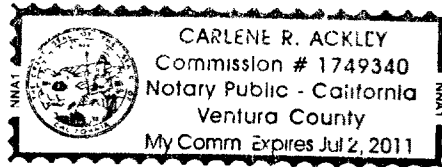
Who Proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity (ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Carlene R. Ackley

WITNESS my hand and official seal.

Signature



seal

## OPTIONAL

is not required by law, it may prove valuable to persons relying on the document and could help prevent fraudulent removal and reattachment of this form to another document.

## DISCRIPTION OF ATTACHED DOCUMENT:

Number of Signers This Acknowledgment: Two

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ASSIGNMENT

Right Thumb print  
(optional)

Document Date: \_\_\_\_\_

Number of pages \_\_\_\_\_

Signer(s) Other Than Named Above: THOMAS JAMES FLOMY & ANDREW LANTZ  
et al