

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST
CONVEYING PARTY DATA	
Name	Execution Date
Sylvan Source, Inc.	02/07/2011
RECEIVING PARTY DATA	
Name:	Simmons Goodspeed Investment Management, LLC
Street Address:	600 Sharon Park Drive, D-203
City:	Menlo Park
State/Country:	CALIFORNIA
Postal Code:	94025
PROPERTY NUMBERS Total: 7	
Property Type	Number
Application Number:	11994832
Application Number:	11444911
Application Number:	11444912
Application Number:	12090248
Application Number:	12281608
Application Number:	12531922
Application Number:	11255083
CORRESPONDENCE DATA	
Fax Number:	(650)462-7850
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	6502897850
Email:	oreganc@gtlaw.com
Correspondent Name:	Cecily Anne O'Regan
Address Line 1:	77 West Wacker Drive
Address Line 2:	PATENT DOCKET
Address Line 4:	Chicago, ILLINOIS 60601

501447246

PATENT
REEL: 025854 FRAME: 0653

CH \$280.00 11994832

ATTORNEY DOCKET NUMBER:	036177.011900
NAME OF SUBMITTER:	Cecily Anne O'Regan
Total Attachments: 9 source=Sylvan-UCC_#page1.tif source=Sylvan-UCC_#page2.tif source=Sylvan-UCC_#page3.tif source=Sylvan-UCC_#page4.tif source=Sylvan-UCC_#page5.tif source=Sylvan-UCC_#page6.tif source=Sylvan-UCC_#page7.tif source=Sylvan-UCC_#page8.tif source=Sylvan-UCC_#page9.tif	

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

Greenberg Traurig, LLP
1900 University Avenue, 5th Floor
East Palo Alto, CA 94303
Attn: Larry Adkison

DELAWARE DEPARTMENT OF STATE
U.C.C. FILING SECTION
FILED 03:14 PM 02/07/2011
INITIAL FILING # 2011 0634926

SRV: 110124115

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME Sylvan Source, Inc.				
OR				
1b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS 1509 Industrial Road		CITY San Carlos	STATE CA	POSTAL CODE 94070
1d. <u>SEE INSTRUCTIONS</u>		2a. TYPE OF ORGANIZATION Corporation	1f. JURISDICTION OF ORGANIZATION Delaware	1g. ORGANIZATIONAL ID #, if any DE4872874 ☐ NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - Insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME				
OR				
2b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
2d. <u>SEE INSTRUCTIONS</u>		2a. TYPE OF ORGANIZATION	2f. JURISDICTION OF ORGANIZATION	2g. ORGANIZATIONAL ID #, if any

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - Insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME Simmons Goodspeed Investment Management, LLC, as Collateral Agent				
OR				
3b. INDIVIDUAL'S LAST NAME		FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS 600 Sharon Park Drive, No. D-203		CITY Menlo Park	STATE CA	POSTAL CODE 94025

4. This FINANCING STATEMENT covers the following collateral:

See Exhibit "A" attached hereto and made a part hereof for the "Collateral" description.

5. ALTERNATIVE DESIGNATION (if applicable):	LESSEE/LESSOR	CONSIGNEE/CONSIGNOR	BAILEE/BAILOR	SELLER/BUYER	AG. LIEN	NON-UCC FILING
6. This FINANCING STATEMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS. Attach Addendum (if applicable)	7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) (optional)	8. OPTIONAL FILER REFERENCE DATA	9. Check to REQUEST ADDITIONAL FEE (optional)	All Debtors	Debtor 1	Debtor 2

This UCC is being filed in the State of Delaware.

FILING OFFICE COPY — UCC FINANCING STATEMENT (FORM UCC1) (REV. 05/22/02) International Association of Commercial Administrators (IACA)

PATENT
REEL: 025854 FRAME: 0655

EXHIBIT A

to

UCC Financing Statement

Sylvan Source, Inc., a Delaware corporation, as Debtor

4. Paragraph 4 is continued as follows:

This Financing Statement covers all of the following (collectively, the "*Collateral*"):

All right, title, interest, claims and demands of Debtor in and to the following property:

- (i) All Intellectual Property; and
- (ii) To the extent not otherwise included, all Proceeds of the foregoing; and
- (iii) All goodwill of the business connected with the use of, and symbolized by, each patent and trademark and any licenses thereof.

The term "*Intellectual Property*" means all intellectual and similar property of every kind and nature now owned or hereafter acquired by Debtor, including inventions, designs, patents (whether registered or unregistered), copyrights (whether registered or unregistered), trademarks (whether registered or unregistered), trade secrets, domain names, confidential or proprietary technical and business information, know how, methods, processes, drawings, specifications or other data or information and all memoranda, notes and records with respect to any research and development, software and databases and all embodiments or fixations thereof whether in tangible or intangible form or contained on magnetic media readable by machine together with all such magnetic media and related documentation, registrations and franchises, and all additions, improvements and accessions to, and books and records describing or used in connection with, any of the foregoing. The foregoing term, also includes, without limitation, each of the following:

PATENT APPLICATIONS

Appin No.	Title	Appin Date	Publication No.	Publication Date
60/676870	Solar Alignment Device	5/2/2005		
PCT/US06/15859	Solar Alignment Device	4/28/2006		
PCT/US06/25994	Water Purification System	6/29/2006	WO/2007/008491	1/18/2007
11/994,832	Water Purification System	7/15/2008	US/2009/0101490	4/23/2009
2615305	Water Purification System	6/29/2006		
200689024811.9	Water Purification System	6/29/2006		
08774468.4	Water Purification System	6/29/2006		
2008-520334	Water Purification System	6/29/2006	2009-500184	1/8/2009
MX/b/2008/000067	Water Purification System	6/29/2006		
60/697,106	Apparatus For Restoring the Mineral Content of Drinking Water	7/5/2005		
60/697,107	Demister Apparatus	7/5/2005		
PCT/US04/39991	Fully Automated Water Processing Control System	12/1/2004	WO/2005/056154	6/23/2005
11/444,911	Automated Water Processing Control System	5/31/2005		
2553655	Fully Automated Water Processing Control System	12/1/2004		
04812499.4	Fully Automated Water Processing Control System	12/1/2004		

Appln No.	Title	Appln Date	Publication No.	Publication Date
07107025.7	Fully Automated Water Processing Control System	12/1/2004		
2006-542668	Fully Automated Water Processing Control System	12/1/2004		
Patent No. 265072	Fully Automated Water Processing Control System	12/1/2004		
PCT/US04/39993	An Improved Self-Cleaning Water Processing Apparatus	12/1/2004		
11/444,912	Water Processing Apparatus	5/31/2006		
2553651	An Improved Self-Cleaning Water Processing Apparatus	12/1/2004		
04812500.9	An Improved Self-Cleaning Water Processing Apparatus	12/1/2004		
07102985.6	An Improved Self-Cleaning Water Processing Apparatus	12/1/2004		
2006-542669	An Improved Self-Cleaning Water Processing Apparatus	12/1/2004		
Patent No. 265071	An Improved Self-Cleaning Water Processing Apparatus	12/1/2004		
PCT/US06/40103	Water Purification System	10/13/2006	WO/2007/047443	4/26/2007
Patent No. 7,678,235	Water Purification System	10/19/2006	US/2007/0084713	4/19/2007

Appin No.	Title	Appin Date	Publication No.	Publication Date
P060104543	Water Purification System	10/18/2006		
2626508	Water Purification System	10/13/2006	CA 2 626 508	4/26/2007
200680047663.2	Water Purification System	10/13/2006	CN/101355994	1/28/2009
06816868.1	Water Purification System	10/13/2006		
4270/DELNP/2008	Water Purification System	10/13/2006	n/a	8/1/2008
2008-538697	Water Purification System	10/13/2006	2009/512549	3/26/2009
10-2008-7011895	Water Purification System	10/13/2006		
MX/a/2008/005014	Water Purification System	10/13/2006		
095138576	Water Purification System	10/16/2006	WO/2007/020194	6/1/2007
60/727,108	Energy-Efficient Distillation System	10/14/2005		
60/748,496	Energy-Efficient Distillation System	12/7/2005		
PCT/US06/40553	Energy-Efficient Distillation System	10/16/2006	WO/2007/047674	4/26/2007
12/090,248	Energy-Efficient Distillation System	9/9/2008	US/2009/0218210	9/3/2009
60/778,680	Demister Cyclone	3/3/2008		

Appln No.	Title	Appln Date	Publication No.	Publication Date
60/779,201	Containment Prevention	3/3/2006		
PCT/US07/005270	Containment Prevention	3/2/2007	WO/2007/103117	9/13/2007
12/281,808	Containment Prevention	3/10/2009	US/2009/0308820	12/17/2009
892/2008	Containment Prevention	3/2/2007		
1471/2008	Containment Prevention	3/2/2007		
193874	Containment Prevention	3/2/2007		
7589/DELNP/2008	Containment Prevention	3/2/2007	n/a	9/26/2008
162/2008	Containment Prevention	3/2/2007		
60/896,224	Water Purification System	3/21/2007		
PCT/US08/003744	Water Purification System	3/21/2008	WO/2008/115578	9/25/2008
12/531,922	Water Purification System	1/20/2010	US/2010/0183472	7/1/2010
200880014788.9	Water Purification System	3/21/2008	CN/101678367	3/24/2010
08727061.7	Water Purification System	3/21/2008		
GCC/P/2008/11826	Water Purification System	3/21/2008		
2010-500939	Water Purification System	3/21/2008		
81/097,835	Large-Scale Water Purification and Desalination	9/17/2008		

Appln No.	Title	Appln Date	Publication No.	Publication Date
PCT/US09/57277	Large-Scale Water Purification and Desalination	9/17/2009	WO/2010/033675	3/25/2010
61/168,501	Method and System for Reduction of Scaling in Purification of Aqueous Solutions	4/10/2009		
PCT/US10/30769	Method and System for Reduction of Scaling in Purification of Aqueous Solutions	4/12/2010		
2010-15652	Method and System for Reduction of Scaling in Purification of Aqueous Solutions	4/10/2010		
61/294,392	Heat Transfer Interface	1/12/2010		
PCT/US11/21007	Heat Transfer Interface	1/12/2011		

PATENTS

1. United States patent application, number 11/255083, application date 10/19/2005, title "Water Purification System".
2. United States provisional patent, number 60/697,104, title "Water Purification System".
3. United States provisional patent, number 60/526,580, title "An Improved Self Cleaning Water Processing Apparatus".
4. United States provisional patent, number 60/526,530, title "Fully Automated Water Processing Control System".

REGISTERED TRADEMARKS

<u>Mark</u>	<u>Registration No.</u>	<u>Registration Date</u>
SYLVAN SOURCE (word mark)	U.S. Registration No. 3,686,711	September 22, 2009
SYLVAN SOURCE & DESIGN (logo)	U.S. Registration No. 3,686,712	September 22, 2009
SYLVAN SOURCE (word mark)	CTM Registration No. 6879811	January 20, 2009
SYLVAN SOURCE (word mark)	Japan - 5169230	September 26, 2008

TRADEMARK APPLICATIONS

<u>Mark</u>	<u>Application Date</u>	<u>Application No./Country</u>
SYLVAN SOURCE (standard character mark)	April 28, 2008	1394665 - Canada
SYLVAN SOURCE (standard character mark)	December 12, 2007	6432639 - China
SYLVAN SOURCE (standard character mark)	April 28, 2008	1681396 - India
SYLVAN SOURCE (standard character mark)	April 28, 2008	930396 - Mexico
SYLVAN SOURCE (standard character mark)	April 28, 2008	40-2008-20596 - South Korea
SYLVAN SOURCE (stylized and/or with design)	April 28, 2008	1394666 - Canada
SYLVAN SOURCE (stylized and/or with design)	December 12, 2007	6432638 - China
SYLVAN SOURCE (stylized and/or with design)	April 30, 2008	6879944 - European Union (Community Trademark)
SYLVAN SOURCE (stylized and/or with design)	April 28, 2008	1681397 - India
SYLVAN SOURCE (stylized and/or with design)	April 28, 2008	2008-033211 - Japan
SYLVAN SOURCE (stylized and/or with design)	April 28, 2008	930391 - Mexico
SYLVAN SOURCE (stylized and/or with design)	April 28, 2008	40-2008-20597 - South Korea