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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Glyn Dawson

Additional name(s) of conveying party(ies) attached?  Yes  No

### 2. Name and address of receiving party(ies)

Name: The University of Chicago

Internal Address: \_\_\_\_\_

Street Address: 5801 South Ellis Avenue

City: Chicago

State: IL

Country: USA Zip: 60637

Additional name(s) & address(es) attached?  Yes  No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2/24/2011

- Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

### 4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

12/908,601  
NC 99,650 US2

Additional numbers attached?  Yes  No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Associate Counsel (PATENTS)

Internal Address: Naval Research Laboratory

(ATTN: CODE 1008.2)

Street Address: 4555 Overlook Avenue, SW

City: Washington

State: DC Zip: 20375

Phone Number: (202) 404-1552

Fax Number: (202) 404-7380

Email Address: Roy.roberts@nrl.navy.mil

### 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00


- Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 50-0281

Authorized User Name Roy Roberts

### 9. Signature:

  
Signature

2/28/2011  
Date

Roy Roberts  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500281 12908601

### ASSIGNMENT

Pursuant to our obligation to The University of Chicago (hereinafter referred to as "Assignee"), an Illinois not for profit corporation having its principal place of business at 5801 South Ellis Avenue, Chicago, Illinois 60637, and for other valuable and sufficient consideration, receipt whereof is hereby acknowledged, I:

Glyn Dawson  
5711 S. Blackstone Ave.  
Chicago IL 60637

confirm my obligation to and hereby sell, assign and convey, unto Assignee, its successors and assigns, our entire right, title and interest -

(1) in and to an invention entitled **Modular Functional Peptides for the Intracellular Delivery of Nanoparticles** for which we have executed United States Patent Application Serial No. 12/908,601 filed October 20, 2010 (Atty. File No.: 99650-US2), which claims the benefit of priority of United States Provisional Patent Application Serial No. 61/253,921 filed October 22, 2009.

(2) in and to said United States patent application, in and to all other patent applications (including divisional, continuation, continuation-in-part, §111(b) provisional, §111(a), and reissue applications) based upon said invention, and in and to the patent or patents to be granted thereon, including reissues thereof, if any, to the full end of the term or terms for which said patent or patents may be granted. The effective date of this assignment is October 22, 2009.


(3) in and to all patent applications on said invention now or hereafter filed in countries foreign to the United States of America, and in and to any and all patents granted on said applications to the full end of the terms for which said patents may be granted; and

(4) under the International Convention in respect to the United States patent application and agree that any patent applications of any foreign countries which may be filed shall be filed in the name of my Assignee with a claim to priority based on said United States application.

And we hereby agree that we will, upon request of Assignee, its successors or assigns, and without further consideration to us, execute any and all papers that may be necessary, or deemed by Assignee, its successors or assigns, to be necessary, to a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns, and not by us.

And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue said United States patent or patents to Assignee.

2/24/11  
Date

  
Inventor: Glyn Dawson

2/24/2011  
Date

  
Witness: Marti Palermo