

03/01/2011



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CASE 11468 US1

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HOFFMANN-LA ROCHE INC.

Additional name(s) of conveying party(ies) attached? ___ Yes X No

2. Name and address of receiving party(ies):

Name: **BASILEA PHARMACEUTICA AG**

Internal Address: **A SWISS COMPANY**

3. Nature of conveyance:

XX Assignment ___ Merger
___ Security Agreement ___ Change of Name
___ Other

Street Address: **487 GRENZACHERSTRASSE**
4005 BASEL

Country: **SWITZERLAND**

Execution Date: **FEBRUARY 21, 2011**

Additional name(s) & address(es) attached: Yes ___ No X

FEB 28 2011

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

U.S. PATENT NO. 5,428,071
ISSUED: JUNE 27, 1995

Additional numbers attached? ___ **03/01/2011 KIMUYEN1 00000072 002525 5428071**
01 FC:0021 40.00 DA

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41) . \$40.00

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8. Deposit account number:

08-2525

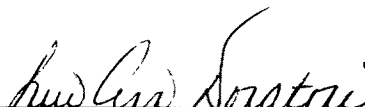
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

LOU ANN DONATONI

Name of Person Signing


Signature

FEBRUARY 25, 2011

Date

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Total number of pages including cover sheet, attachments and document: 3

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ASSIGNMENT

F. HOFFMANN-LA ROCHE AG, ("ASSIGNOR") a Swiss Company, having its principal place of business in Basel, Switzerland, for good and valuable consideration, receipt of which is hereby acknowledged, hereby assigns to **BASILEA PHARMACEUTICA AG** ("ASSIGNEE") a Swiss Company, having its principal place of business in Basel, Switzerland, all of ASSIGNOR's right, title and interest for the United States of America and its territories and possessions, in and to the inventions described and claimed in U.S. Patent Number 5,428,071, Issued June 27, 1995, entitled, "**PREVENTION AND TREATMENT OF PREMALIGNANT EPITHELIAL LESIONS AND MALIGNANT TUMORS OF EPITHELIAL ORIGIN**", by Werner Bollag, et al., to the extent that ASSIGNOR possesses right, title and interest therein.

Basel, Switzerland and this 21st day of February 2011

F. HOFFMANN-LA ROCHE AG



Name: Antonio Natoli
Title: Holder of procuration



Name: Susanne Amann
Title: Mandatory

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