# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Assignors' Names previously recorded on Reel 009178 Frame 0887. Assignor(s) hereby confirms the The Spectranetics Corporation and Polymicro Technologies, Inc.

# **CONVEYING PARTY DATA**

Name	Execution Date
The Spectranetics Corporation	12/24/1997
Polymicro Technologies, Inc.	12/24/1997

# **RECEIVING PARTY DATA**

Name:	Silicon Valley Bank
Street Address:	3003 Tasman Drive
City:	Santa Clara
State/Country:	CALIFORNIA
Postal Code:	95054

### PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	5321783

### **CORRESPONDENCE DATA**

Fax Number: (719)448-5922

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 719-448-5946

Email: julie.lange@hoganlovells.com

Correspondent Name: Hogan Lovells US LLP Address Line 1: One Tabor Center

Address Line 2: 1200 17th Street, Suite 1500

Address Line 4: Denver, COLORADO 80202

ATTORNEY DOCKET NUMBER:	026484-003100US
NAME OF SUBMITTER:	Scott J. Hawranek

Total Attachments: 13

**PATENT REEL: 025932 FRAME: 0706** 

501463443



FORM PTO-1595 RE 05-28-19	
OMB No. 0551-0011 (ezp. 4/9-4)	Palent and trademark Office
Tab sellings $\circ \circ \circ \uparrow$	
To the Honorable Commissioner of Paterns and Trademarks.	81
1. Name of conveying party(les): Mas 5-11-98	2. Name and address of receiving party(les)
THE SPECTRANETICS CORPORATION	Name: SILICON VALLEY BANK
AND POLYMICRO TECHNOLOGIES	Internal Address: LOAN DOC. GROUP
Additional name(s) of conveying party(les) attached? CI Yes CI No	NC 816
3. Nature of conveyance:	
☐ Assignment ☐ Merger	Street Address: 3003 TASMAN DRIVE
AXSecurity Agreement	
O Other	City: SANTA CLARA State: CA ZIP: 95054
Execution Date: Dec. 24, 1997	Additional name(s) & address(es) attached? Q Yes QXNo
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application	on, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
SEE SCHEDULE ATTACHED TO	EXHIBIT "B" ATTACHED HERETO.
, Additional numbers a	attached? © Yes © No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 41
Name: SILICON VALLEY BANK	760.00 - PAID 7. Total fee (37 CFR 3.41)
Internal Address: LOAN DOC, GROUP	A Enclosed CHECK NO. 71-24 For the
NC#816	☐ Authorized to be charged to deposit account
Street Address: 3003 TASMAN DRIVE	8. Deposit account number:
City: SANTA CLARA State: CA ZIP: 95054	(Attach duplicate copy of this page if paying by deposit account)
05/27/1998 DEURIES 00000141 8165300 DO NOT	USE THIS SPACE
01 FC:581 880.00 GP	
9. Statement and signature.  To the best of my knowledge and bellef, the foregoing info the original document.  Name of Person Signing	inmalion is true and correct and any attached copy is a true copy of

Total number of pages including cover sheet, attachment@and-dopunent[ REEL: 9178 FRAME: '0887

# SCHEDULE ATTACHED TO EXHIBIT "B"

# SPECTRANETICS PATENTS APPLICATIONS

	FILING	SERIAL	PATENT
TITLE/DESCRIPTION	DATE	NO.	NO.
Catheter for Delivery of Electric Energy	6/12/97	08/873,753	
Variable Stiffnes Catheter	2/3/97	08/794,341	
Catheter for Delivery of Electrical Energy	6/12/96	08/662,799	
Fiber Optic Guide Wire & Support Catheter thereof	10/4/95	08/539,079	5,643,251
Fiber Optic Catheter w/ Twistable Tip	11/3/94	08/335,955	5,429,604
Fiber Optic Guide Wire & Support Catheter thereof	4/8/94	08/225,061	5,514,128
Preionizer for Laser Assembly	3/31/94	08/221,357	5,438,587
Halogen Compatible Laser Head	3/3/94	08/220,969	5,412,682
Linear Scan Method & System for Energizing an	3/2/94	08/205,143	5,400,428
Optical Fiber Bundle			
Tissue Ablating Device having Deflectable Ablation Area	12/30/93	08/177,095	5,484,433
Radiopaque Tip Marker for Alignment of Catheter w/in	12/13/93	08/165,300	5,429,617
Optical Catheter w/ Stranded Fibers	9/20/93	08/124,383	5,415,653
Fiberoptic Catheter w/ Shortened Guidewire Lumen	9/14/93	08/120,385	5,456,680
Catheter Guiding & Positioning Method	10/2/91	07/769/969	5,179,961
Catheter Building & Positioning Method	4/13/89	07/337,475	5,054,500
Dilation Catheter and Method	2/3/86	06/825,157	4,834,093
Apparatus & Method for Soft Focusing Energy	5/3/93	08/055,348	5,315,614
Turn Limiter for Catheter w/ Twistable Tip	3/12/93	08/031,338	5,352,197
Fiber Optic Catheter w/ Twistable Tip	3/12/93	08/031,391	
Excimer Laser Angioplasty System	12/15/92	07/990,514	
Fiber Optic Guidewire	8/18/92	07/930,934	
Polarizing Device w/ Optically Contacted Thin Film	7/2/92	07/907,893	5,339,441
Aparatus & Method for Optically controlling the output	7/2/92	07/909,054	5,383,199
Fiberoptic Catheter	6/18/92	07/900,499	5,267,993
Mount for Optical Fibers	6/16/92	07/899,470	5,321,783
Linear Scan Method & System for Energizing an	5/13/92	07/882,597	
Optical Fiber Bundle			
Two-Piece Tip for Fiber Optic Catheter	3/25/92	07/857,458	5,263,952

PAGE 1 of 2

PATENT REEL: 9178 FRAME: 0888

grand and the second

# CONTINUED SCHEDULE ATTACHED TO SCHEDULE "B"

	FILING	SERIAL	PATENT
TITLE/DESCRIPTION	DATE	NO.	NO.
A Laser Catheter w/ Spaced Optical Fibers	6/11/91	07/713,457	5,250,045
Guidance & Delivery System for High-Energy Pulsed	2/19/91	07/657,157	5,470,330
Laser Light			
Guidance & Delivery System for High-Energy Pulsed	10/3/90	07/595,485	5,188,632
Laser Light			
Fiberoptic Coupler	1/12/90	01/468,837	5,157,750
Meniscus Lens for Coupling and Excimer Beam into	10/27/89	07/427,476	4,998,794
and Optical Fiber			
Unlubricated Bearing for Gas Lasers	11/1/89	07/429,978	4,975,925
Fiberoptic Coupler	8/4/88	07/228,278	4,919,508
Laser Synchronizer	7/20/88	07/222,082	
Gas Bearings for Gas Lasers	5/26/88	07/301,870	4,899,363
Rotating Fiberoptic Catheter Assembly	10/23/87	07/111,645	4,844,062
Gas Bearings for Gas Lasers	7/23/87	07/076,906	
Guidance System	5/19/87	07/051,382	4,830,460
Delivery System for High-Energy Pulsed UV Light	5/6/86	06/860,241	4,799,754
Delivery System for High-Energy Pulsed UV Light	9/25/85	06/779,844	4,732,488

PAGE 2 of 2

PATENT REEL: 9178 FRAME: 0889

$\frac{1}{2}$ 02-26-199	8 EREU-LEET US. DEPARTMENT OF COMMERCE
VID No. 0651-0011 (esp. 4/94)	FEB 0 3 1998
Tab sellings ⇔∞ ○ ▼	
To the Honorable Commissioner of Paterns 100644955	CELLIACT original documents or copy thereof.
I. Name of conveying party(les):	2. Name and address of receiving party(les)
THE SPECTRANETICS CORPORATION POLYMICRO TECHNOLOGIES	Name: SILICON VALLEY BANK
ldditional name(s) of conveying purity(las) attached? 🛭 Yes 👊 गेव	Internal Address: LDG / NC816
3. Nature of conveyance:	
U Assignment U Merger	Street Address: 3003 TASMAN DRIVE
Security Agreement ☐ Change of Name	
U Offier	City: SANTA CLARA State: CA ZIP: 95054
Execulion Date: December 24, 1997	Additional name(s) & addrass(as) attached? 🖸 Yes 🚨 No
Application number(s) or patent number(s):	
If this document is being filled together with a new application	n, the execution date of the application is:
A. Patent Application No.(s)	B. Palent No.(s)
SEE EXHIBIT B ATTACHED HERETO.	SEE EXHIBIT B ATTACHED HERETO.
. Additional numbers a	Hached? Cl Yes Cl No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: SILICON VALLEY BANK	7. Total lee (37 CFH 3.41)
Internal Address: LDG / NC816	UI Enclosed CHECK NO. 7110
	☐ Authorized to be charged to deposit account
Sireel Address: 3003 TASMAN DRIVE	8. Deposit account number:
City:SANTA CLARA State: CA ZIP: 95054	(Attach duplicate copy of this page it paying by deposit account)
2/97/1996 VERNAM 00000186 06165300 OP DO NOT L	ISE THIS SPACE
the original document.  MICHELLE GIANNINI  Name of Person Signing	Bignalure PATEINT Date
Total number of pages including	ing cover alread alleginments and decuments

### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of December 24, 1997, by and among SILICON VALLEY BANK, a California-chartered bank ("Bank"), with its principal place of business at 3003 Tasman Drive, Santa Clara, CA 95054 and with a loan production office located at 4430 Arapahoe Avenue, Suite 225, Boulder, Colorado 80303, The Spectranetics Corporation, a Delaware corporation with its principal place of business at 96 Talamine Court, Colorado Springs, Colorado 80907 ("Spectranetics"), and Polymicro Technologies, Inc., a California corporation with its principal place of business at 18019 North 25 Avenue, Phoenix, Arizona 85023-1200 ("Polymicro"). Spectranetics and Polymicro shall be referred to collectively in this Agreement as "Grantors."

#### **RECITALS**

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodation to Grantors (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and among Bank and Grantors dated of even date herewith (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantors, but only upon the condition, among others, that Grantors shall grant to Bank a security interest in certain Copyrights, Trademarks, and Patents to secure the obligations of Grantors under the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantors have granted to Bank a security interest in all of Grantors' right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, each Grantor hereby represents, warrants, covenants and agrees as follows:

#### **AGREEMENT**

To secure its obligations under the Loan Agreement, each Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its Intellectual Property Collateral (including without limitation those Copyrights, Patents, and Trademarks listed on Schedules A, B, and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Bank under the Loan Agreement. The occurrence of an Event of Default under the Loan Agreement shall be an Event of Default hereunder. Upon the occurrence of an Event of Default hereunder, Bank shall have the right to exercise the rights and remedies of Bank contained herein, those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Bank as a matter of law or equity. Each right, power and remedy of Bank provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Bank of any one or more of the rights, powers or remedies provided for in this intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Bank, of any or all other rights, powers or remedies.

PATENT REEL: 9178 FRAME: 0891

duly executed by its officers thereunto duly authorized as of the first date written above. **GRANTOR:** The Spectranetics Corporations Address of Grantor: 96 Talamine Court Colorado Springs, Colorado 80907 ief Financial Officer Attn: \_\_James P. McCluskey **GRANTOR:** Polymicro Jechnologies, Inc. Address of Grantor: 18019 North 25 Avenue Phoenix, Arizona 85023-1200 President, General Manager Attn: Gary W. Nelson BANK: SILICON VALLEY BANK Address of Bank: 4430 Arapahoe Avenue, Suite 225 Boulder, Colorado 80303

IN WITNESS WHEREOF, the parties have cause this Intellectual Property Security Agreement to be

2

RECORDED: 05/28/1998

Attn: Mr. Andrew Enroth

PATENT REEL: 9178 FRAME: 0892

SPECTRANETICS

62:51 2651-72-00N