

3/8/11

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OMB No. 0651-0027 (exp. 03/31/2009)

03/10/2011

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United States Patent and Trademark Office

103619594

Documents or the new address(es) below

To the Director of the U.S. Patent and Trademark Office

1. Name of conveying party(ies)

ZHONG TECHNOLOGIES, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 14, 2011

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name PATENT BUSINESS DEVELOPMENT, LLC

Internal Address _____

Street Address 506 BALD EAGLE DRIVECity JUPITERState FLCountry USA Zip 33477Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A Patent Application No (s) _____

☐ This document is being filed together with a new application
 B Patent No (s) _____

SEE ATTACHED TABLE A-1

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: ROBERT A WESTERLUND

Internal Address: _____

Street Address 506 BALD EAGLE DRIVECity JUPITERState FL Zip 33477Phone Number 703-973-8439Fax Number 305-437-7670Email Address bob@robertwesterlund.com6. Total number of applications and patents involved: 427. Total fee (37 CFR 1.21(h) & 3.41) \$ 1680

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 00000030 5479650Authorized User Name ERIC M DOWLING 1680.00 0

9. Signature:

Signature

3/7/2011

Date

ERIC M. DOWLING (REG. 44,094)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

8

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 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
 REEL: 025934 FRAME: 0223



Patent numbers

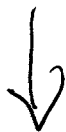


TABLE A-1

1992-13	5479650	07/996,434	Method and Apparatus For Switching Communications From a Secondary Channel to a Primary Channel
1992-24	5479480	08/175,449	Dual Mode Cellular Modem
1993-11	5396519	08/141,301	Method and Apparatus for Adaptively Providing Precoding and Preemphasis Conditioning to Signal Data for Transfer over a Communication Channel
1993-56	5475713	08/076,530	Shaped Signal Spaces in a Simultaneous Voice and Data System
1993-57	5436930	08/076,516	Simultaneous Analog and Digital Communications with a Selection of Different Signal Point Constellations Based on Signal Energy
1993-59	5559791	07/076,506	Companding in a Simultaneous Voice and Data Communications System
1993-81	5506866	08/151,686	Side-Channel Communications in Simultaneous Voice and Data Transmission
1993-81a	5475691	08/151,677	Voice Activated Data Rate Change in Simultaneous Voice and Data Transmission
1993-87	5636282	08/262,169	Method for Dial-In Access Security Using a Multimedia Modem
1994-12	5600712	08/333,686 08/628,410	Enabling Technique for Quickly Establishing High Speed PSTN Connections in Telecommuting Applications
1995-29a	5784405	08/702,023	Noncooperative Feedback System and Method for a Compensation System Associated with a Transmitter or Codec
1995-29e	6072825	08/936,054	Noncooperative Feedback System and Method for a Compensation System Associated with a Transmitter or Codec
1995-30	5826034	08/695,033	System and Method for Transmission of Communication Signals through Different Media
1995-36	6160790	08/808,893	Crosstalk Canceller System & Method
1996-04	5841840	08/772,734	Multiple Modem and Method for Providing Voice on Demand
1996-16	6091710	08/888,769	System and Method for Preventing Data Slow Down Over Asymmetric Data Transmission Links
1996-19	5848150	08/805,606	Passive Distributed Filter System and Method
1997-02a	6307923	09/487,699	Apparatus and Method for User Tone Notification During Data Suspension or Degradation
1997-11	6084885	09/027,705	Apparatus and Method for DSP Sharing Using Statistical Properties of Data
1997-32	6173021	08/966,180	Method and Apparatus for Reducing Interference in a Twisted Wire Pair Transmission System
1997-32a	6477212	09/756,382	Method and Apparatus for Reducing Interference in a Twisted Wire Pair Transmission System
1997-33	6330275	09/100,695	Method and Apparatus for Overcoming Periodic Disturbances in Digital Subscriber Loops
1997-35	6212374	09/013,573	Disabling of Echo Cancelers after Call Startup
1998-05	7039015	09/293,009	System and Method for the Collection and Display of Network Performance Data in Communication Network
1998-08	6311288	09/085,702	System and Method for Virtual Circuit Backup in a Communication Network
1998-20	6424675	09/368,328	System and Method for Implementing V.90 Central Site Modem Functionality at a Customer Premises
1998-23	6826620	09/304,188	Network Congestion Control System and Method
1998-28	6219705	09/288,949	System and Method of Collecting and Maintaining Historical Top Communicator Information on a Communication Device
1999-03	6912575	09/338,812	System and Method for Automatically Determining Recommended Committed Information Rate in a Frame Relay Network

Addendum to Recordation
Form PTO-1595

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~~Form of U.S. Patent Assignment~~

PATENT
REEL: 025934 FRAME: 0224



Patent
numbers



TABLE A-1 – continued

1999-32	6856597	09/780,775	System and Method for Statistical Control of Power Dissipation with Host Enforcement
2000-03	7310671	09/650,867	System and Method for a Trouble Shooting Portal to Allow Temporary Management Access to a Communication Device
2000-16	6885730	09/907,870	System and Method for Subscriber Loop Testing
2001-08	7127048	10/266,897	Systems and Methods for Integrating Analog Voice Service
2002-15	7310356	10/603,038	Automatic Discovery of Network Core Type
2002-16	7408882	10/602,940	Automatic Discovery of Network Node Addresses
ELAS-01	5912895	08/640,705	Information Network Access Apparatus and Methods for Communicating Information Packets Via Telephone Lines
ELAS-01a	6327264	09/251,939	Information Network Access Apparatus and Methods for Communicating Information Packets Via Telephone Lines
ELAS-01b	6587473	09/930,178	Information Network Access Apparatus and Methods for Communicating Information Packets Via Telephone Lines
1998-08	6,311,288	09/085,702	System and Method for Virtual Circuit Backup in a Communication Network
1997-10	6,470,046	09/032,387	Apparatus and Method for a Combined DSL and Voice System
1996-13	6,269,149	08/850,174	System and Method for Enhancing a Communication Link
1996-13a	6,356,622	09/389,333	System and Apparatus for Enhancing a Network Link

Addendum to Recordation
Form PTO-1595

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~~Form of U.S. Patent Assignment~~

PATENT
REEL: 025934 FRAME: 0225

EXHIBIT A-3
FORM OF U.S. PATENT ASSIGNMENT

WHEREAS, Zhone Technologies, Inc. a Delaware Corporation having an office at 7001 Oakport Street, Oakland, CA ("Company") is the owner of certain United States patents.

WHEREAS, Patent Business Development, LLC, a Delaware limited liability company having an office at 506 Bald Eagle Drive, Jupiter, FL 33477 ("PBD") is desirous of acquiring title in and to the Patents.

WHEREAS, Company and PBD have entered into that certain Feb. 10, 2011, Patent Sale Agreement (the "Agreement").

WHEREAS, Assigned Patents shall mean all the patents listed on **Table A-1** attached hereto together with all present and future divisions, continuations, reissues, reexaminations and all claims, demands, or causes of action that Company has or might have by reason of any infringement of any of the foregoing prior to the effective date of this assignment, including the right to sue and collect damages for all past, present and future infringement and all lost profits resulting therefrom.

WHEREAS, under the terms of the Agreement, Company has agreed to, among other things, assign to PBD all of Company's right, title and interest in, to and under the Assigned Patents.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, Company hereby sells, assigns, transfers and conveys unto PBD its successors and assigns, all of Company's right, title and interest in and to the Assigned Patents.

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TABLE A-1 – continued

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1996-13	6,269,149	08/850,174	System and Method for Enhancing a Communication Link
1996-13a	6,356,622	09/389,333	System and Apparatus for Enhancing a Network Link

This assignment is subject in all respects to the terms and conditions of the Agreement and is intended only to document the assignment of the Assigned Patents. Nothing contained in this assignment shall be deemed to supersede any of the obligations, agreements, representations, covenants or warranties of Company and PBD contained in the Agreement.

Zhone Technologies, Inc.

By: 

Name: KIRK HLSARA

Its: CFO

State of _____

County of _____

Signed before me this _____ of _____, 2011, by _____,
_____ of Zhone Technologies, Inc., who is personally known to me or
who presented _____ as identification and did/did not take an oath.

Notary Public

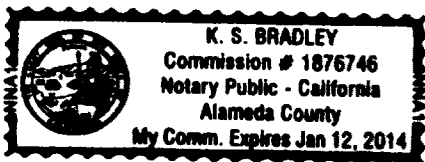
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Alameda

On 2/14/11 before me, K.S. Bradley, Notary Public

personally appeared Kirk D. Misaka



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: K.S. Bradley

Place Notary Seal and/or Stamp Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document

Description of Attached Document

Title or Type of Document: Form of U.S. Patent Assignment

Document Date: 2/14/2011 Number of Pages: 1 plus 4

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☒ Corporate Officer — Title(s): CEO

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT OF SIGNER

Top of thumb here

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☐ Corporate Officer — Title(s): _____

☐ Individual

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT OF SIGNER

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