

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SCOTT KRAHL; ANTONIO DESALLES  
Serial No.: PCT/US11/21760  
Filed: JANUARY 19, 2011  
For: SYSTEMS, DEVICES AND METHODS FOR CRANIAL IMPLANTATION OF A  
NEUROMODULATION DEVICE  
Group:  
Examiner:  
Docket No.: UC08-616-2FP

**MAIL STOP ASSIGNMENT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**ASSIGNMENT RECORDATION COVER LETTER****PATENT OR PATENT APPLICATION****1. NAMES OF PARTY(IES) MAKING TRANSFER**

Name 1: SCOTT KRAHL

Name 2: ANTONIO DESALLES

**2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE**

This transfer is being made to:

Name 1: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, A CALIFORNIA  
CORPORATION  
1111 FRANKLIN STREET  
OAKLAND, CA 94607-5200

Name 2: UNITED STATES GOVERNMENT  
DEPARTMENT OF VETERANS AFFAIRS  
OFFICE OF THE GENERAL COUNSEL  
WASHINGTON, DC 20420

**3. INTENTION OF DOCUMENT**

A brief description of what the accompanying document intends to accomplish is that it is an:

- ☒ Assignment  
☐ License  
☐ Security Interest  
☐ Other

**4. IDENTIFICATION OF PATENT OR APPLICATION**

Particulars of the patent or application to which the accompanying document applies are:

Inventor(s): SCOTT KRAHL; ANTONIO DESALLES

For (title): SYSTEMS, DEVICES AND METHODS FOR CRANIAL IMPLANTATION OF A  
NEUROMODULATION DEVICE

- (a) ☐ U.S. patent application filed herewith.  
(b) ☒ PCT International patent application serial no. PCT/US11/21760  
filed on January 19, 2011.  
(c) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_.

**5. NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED**

Please address all correspondence and return the recorded document to:

John P. O'Banion  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814

**6. NUMBER OF APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE DUE**

The number of applications or patents identified herein is: 1

Total Fee Due \$ 40.00

**7. DATE DOCUMENT WAS EXECUTED**

The accompanying document was executed on: January 31 and March 3, 2011.

**8. FEE PAYMENT**

Fee payment is provided for as follows:

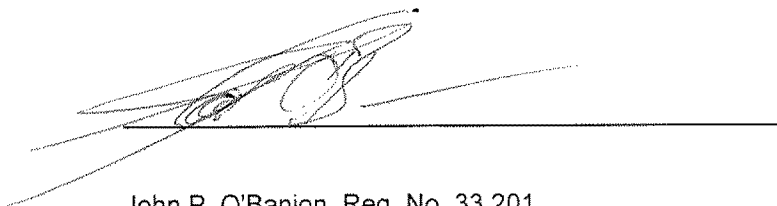
☒ Charge Account No. 07-1137 in the sum of \$40.

9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**

Dated: \_\_\_\_\_

3/10/11A handwritten signature in black ink, appearing to read 'JOHN P. O'BANION', is written over a horizontal line.

John P. O'Banion, Reg. No. 33,201  
O'BANION & RITCHEY LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

**ASSIGNMENT**

UC. Case No. 2008-616-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Scott Krah, Antonio DeSalles

hereby sells, assigns and transfers jointly to ASSIGNEES, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Oakland, CA 94607-5200, and the United States Government represented by the Department of Veterans Affairs, having its administrative offices located Office of the General Counsel, Washington DC 20420, and the successors, assigns and legal representatives of the ASSIGNEES all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

*SYSTEMS, DEVICES AND METHODS FOR CRANIAL IMPLANTATION OF A NEUROMODULATION DEVICE*

and which is found in:

- \_\_\_\_\_ U.S. Provisional Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
and listing the above named persons as inventors
- \_\_\_\_\_ U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
and listing the above named persons as inventors
- \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_  
and listing the above named persons as inventors
- X   International Patent Application Serial No. PCT/US11/21760, filed 01/19/2011 and listing the above named  
persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and the United States Government represented by the Department of Veterans Affairs.

executed this

Signature of Inventor(s)

31 day of JANUARY, 2011  
\_\_\_\_\_  
Scott Krah\_\_\_\_\_  
day of \_\_\_\_\_, 2011\_\_\_\_\_  
Antonio DeSalles**PATENT****REEL: 025964 FRAME: 0535**

ASSIGNMENT

UC Case No. 2008-616-2

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Scott KrahI, Antonio DeSalles

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*SYSTEMS, DEVICES AND METHODS FOR CRANIAL IMPLANTATION OF A NEUROMODULATION DEVICE*

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and listing the above named persons as inventors
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and listing the above named persons as inventors
- X International Patent Application Serial No. PCT/US11/21760, filed 01/19/2011 and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

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executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 2011

3 day of MARCH, 2011

Scott KrahI

Antonio DeSalles