

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

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1. Name of conveying party(ies)

ALEX CHI KEUNG CHAN, CHARLES CHAK HAU PANG, VICTOR YUE
KWONG LAU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 6, 2011

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other See attachment

2. Name and address of receiving party(ies)

Name: CREE HONG KONG LIMITED

Internal Address: _____

Street Address: Unit 301, 3F, Photonics Centre,

No. 2 Science Park East Avenue, Hong Kong Science Park

City: Shatin, New Territories

State: _____

Country: HONG KONG

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/939,096

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: JAYE G. HEYBL

Internal Address: KOPPEL, PATRICK, HEYBL & DAWSON

Street Address: 2815 TOWNSGATE ROAD, SUITE 215

City: WESTLAKE VILLAGE

State: CA Zip: 91361

Phone Number: (805)373-0060

Fax Number: (805)373-0051

Email Address: generalmail@koppelpatent.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 11-1580

Authorized User Name Steven C. Patrick

9. Signature:

J. Heybl
Signature

3/17/11
Date

JAYE G. HEYBL

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

8

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CH \$40.00 111580 12939096

Docket No. P1248US-T

CORRECTIVE ASSIGNMENT ATTACHMENT
APPLICATION NO. 12/939,096

REEL/FRAME: 025625/0181

TO CORRECT THE SPELLING OF INVENTOR ON THE NOTICE OF RECORDATION OF ASSIGNMENT:

1. INVENTOR:

Correct/change the name of the inventor to:
VICTOR YUE KWONG LAU

USPTO

2/6/2011 6:04:58 AM PAGE 4/004 Fax Server

TO: JAYE G. HEYBL COMPANY: KOPPEL, PATRICK, HEYBL & DAWSON

01/12/2011 14:52 8053730051

KOPPEL PATRICK

PAGE 03/06

Form PTO-1593 (Rev. 03-09)
OMB No. 0951-0027 (exp. 03/31/2009)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORD COVER SHEET FILING ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) ALEX CHI KEUNG CHAN, CHARLES CHAK HAU PANG, VICTOR YU KWONG LAU Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>CREE HONG KONG LIMITED</u> Internal Address: _____ _____ Street Address: <u>Unit 801, 35 Photonics Centre</u> <u>No. 2 Science Park East Avenue, Hong Kong Science Park</u> City: <u>Shatin New Territories</u> State: _____ Country: <u>HONG KONG</u> Zip: _____ Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance/Execution Date(s): Execution Date(s) <u>01-06-2011</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	
4. Application or patent number(s): A. Patent Application No.(s) <u>12/939,090</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> This document is being filed together with a new application. B. Patent No.(s)
6. Name and address to whom correspondence concerning document should be mailed: Name: <u>JAYE G. HEYBL</u> Internal Address: <u>KOPPEL PATRICK HEYBL & DAWSON</u> Street Address: <u>2815 TOWNSGATE ROAD, SUITE 215</u> City: <u>WESTLAKE VILLAGE</u> State: <u>CA</u> Zip: <u>91361</u> Phone Number: <u>(805) 273-0060</u> Fax Number: <u>(805) 273-0051</u> Email Address: <u>generalmail@koppelpatent.com</u>	5. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41): <u>\$40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
	8. Payment Information Deposit Account Number <u>11-1380</u> Authorized User Name <u>Steven C. Patrick</u>
9. Signature: <u>Jaye G. Heybl</u> Signature <u>1/12/10</u> Date Name of Person Signing	
Total number of pages including cover sheet, attachments, and documents: <u>5</u>	

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CH \$40.00 111580 12939096

Docket No. P1248US-T

A S S I G N M E N T

We, ALEX CHI KEUNG CHAN, residing in Sheung Shui, NT, Hong Kong, CHARLES CHAK HAU PANG, residing in Fanling, NT, Hong Kong, and VICTOR YUE KWONG LAU, residing in Hong Kong, China, represent that we have invented improvements in a new and useful "WHITE CERAMIC LED PACKAGE", for which we have executed an application for Letters Patent of the United States of America.

CREE HONG KONG LIMITED is a corporation organized and existing under the laws of Hong Kong, has a place of business at Unit 301, 3F, Photonics Centre, No. 2 Science Park East Avenue, Hong Kong Science Park, Shatin, New Territories, Hong Kong, and desires to acquire the entire right, title and interest in and to the invention, application and letters patent to be granted and issued thereon.

For valuable consideration, the receipt and legal sufficiency of all of which we acknowledge, we have sold and do hereby sell, assign, transfer and set over unto CREE HONG KONG LIMITED, its successors and assigns, the entire right, title and interest in and to the invention and all improvements thereon, in and to the application for letters patents thereon, in and to applications pertaining to or based upon the invention and application, including divisional and continuing applications and continuations-in-part, and in and to any and all letters patent which may be granted and issued on the invention and applications, or any of them, in the United States of America, its territories and possessions, and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

We also agree that we will, at the request of CREE HONG KONG LIMITED, execute any and all applications for letters patent for the invention, execute any and all other papers and documents and do all other and further lawful acts that CREE HONG KONG LIMITED may deem necessary or desirable to obtain letters patent on the invention, to secure the grant of such letters patent and to protect and vest in CREE HONG KONG LIMITED the undivided interests in the right, title and interest in the invention, applications and letters patent.

We also authorize and empower CREE HONG KONG LIMITED, its successors and assigns to apply for and obtain, in its or their own names, letters patent for the invention before competent International Authorities and in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

PATENT**REEL: 025996 FRAME: 0397**

Docket No. P1248US-T

EXECUTED THIS 6th day of Jan. 2011
ALEX CHI KEUNG CHAN

Witness by

Witness by

Date: 2011.01.06Date: 2011.01.06EXECUTED THIS 6th day of Jan. 2011
CHARLES CHAK HAU PANG

Witness by

Witness by

Date: 2011.01.06Date: 2011.01.06EXECUTED THIS 6TH day of Jan. 2011
VICTOR YUE KWONG LAU

Witnessed by:

Witness by

Date: 2011.01.06Date: 2011.01.06