

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Tim. J. O'Brien
Hirotoshi Tanimoto

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Board of Trustees of the University of Arkansas

Internal Address: _____

Street Address: 2404 North University Avenue

City: Little Rock

State: Arkansas

Country: USA Zip: 72207-3608

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 10, 2006 and May 16, 2006

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other CORRECTIVE ASSIGN-017996/0061 ASSIGNEE N

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,649,741

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Benjamin Aaron Adler, Ph.D., J.D.

Internal Address: _____

Street Address: 8011 Candle Lane

City: Houston

State: Texas Zip: 77071

Phone Number: (713) 270-5391

Fax Number: (713) 270-5361

Email Address: benjamin.adler@mac.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

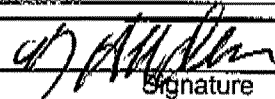
- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

3/21/11
Date

Benjamin Aaron Adler, Ph.D., J.D.
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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6-16-06



ICE

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Recordation Form Cover Sheet

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies): **Timothy J. O'Brien**
Hirotoishi Tanimoto
2. Name and address of receiving party(ies):
Name: **The University of Arkansas**
for Medical Sciences
Address: **4301 West Markham, Slot 518**
Little Rock, Arkansas 72207

Additional names of conveying party(ies) attached?
☒ NO ☐ YES

3. Nature of Conveyance: ☒ Assignment ☐ Merger Additional names & addresses attached?
☐ Security Agreement ☐ Change of Name ☐ NO ☐ YES
☐ OTHER _____

Execution Date: **May 10, 2006 and May 16, 2006**


4. Application number(s) or patent number(s): **6,649,741**
Additional numbers attached? ☒ NO ☐ YES
5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Dr. Benjamin Adler**
ADLER & ASSOCIATES

Address:
8011 Candle Ln.
Houston, Texas 77071

6. Total number of applications and patents involved: **1**
7. Total Fee (37 CFR 3.41):.....\$**40.00** enclosed ☒ authorized to charge credit card.
8. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benjamin Aaron Adler, Ph.D., J.D.
Name of person signing


Signature **6/9/06**
Date
Total number of pages comprising cover sheet: **1**

06/19/2006 NJANA1 00000134 6649741
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D6064CIP/D

ASSIGNMENT

WHEREAS, we, **Tim J. O'Brien**, of 2610 North Pierce, Little Rock, AR 72227, and **Hirotoishi Tanimoto** of Kabeminami 2-1-2-403, Asa-Kiea-ku, Hiroshima City 731-0223, Japan (hereinafter collectively called "ASSIGNORS"), are co-inventors and owners of certain new and useful improvements in *TADG-15: An Extracellular Serine Protease Overexpressed in Carcinomas*, an application for United States Letters Patent as filed September 1, 2000 as United States Serial No. 09/654,600, issued on November 18, 2003 as U.S. Patent No. 6,649,741.

WHEREAS, ~~The~~ **Board of Trustees of the University of Arkansas System**, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by us, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

PATENT

REEL: 026003 FRAME: 0915

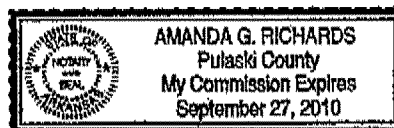
And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of, said Assignee, its successors or other legal representatives.

DATE: May 10, 2006


Tim J. O'Brien

STATE OF Arkansas:

COUNTY OF Pulaski:



Before me this 10th day of May, 2006, personally appeared Timothy J. O'Brien known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Amanda G. Richards

My Commission Expires: 09-27-10

DATE: _____

Hirotoishi Tanimoto

STATE OF _____:

COUNTY OF _____:

Before me this _____ day of _____, 2006, personally appeared _____ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: _____

Tim J. O'Brien

STATE OF _____:

COUNTY OF _____:

Before me this _____ day of _____, 2006, personally appeared _____ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

DATE: 5/16/2006

Hirotoishi Tanimoto
Hirotoishi Tanimoto

STATE OF _____: Hiroshima, JAPAN.

COUNTY OF _____:

Before me this 16th day of May, 2006, personally appeared Hirotoishi Tanimoto known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

Kimiko Tanimoto

My Commission Expires: