

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

HRL Laboratories, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 13 May 2008

- ☐ Assignment
 ☐ Merger
☐ Security Agreement
 ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Correction Request (See attached)

2. Name and address of receiving party(ies)

Name: United States as represented by the Secretary of the Navy

Internal Address: Office of Naval Research

Street Address: One Liberty Center

875 North Randolph Street, Suite 1425

City: Arlington

State: VA

Country: USA Zip 22203-1995

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/641,917

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Commanding Officer

Internal Address: Space and Naval Warfare Center, Pacific

Office of Patent Counsel, Code 36000

Street Address: 53510 Silvergate Ave., Room 103

City: San Diego

State: CA Zip: 92152-5765

Phone Number: (619) 553-3001

Fax Number: (619) 553-3821

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

24 March 2011

Date

J. Eric Anderson reg. #58706

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

700459316

PATENT
REEL: 026014 FRAME: 0565

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

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Name: Secretary of the United States Navy

Internal Address: Office of Naval Research

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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Execution Date(s): 27 Nov 07 / 13 May 08

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☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
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☐ Other

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Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/787,278

11/818,658

11/109,288

11/081,370

11/313,881
11/651,917

should be
11/641,917

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Commanding Officer

Internal Address: Space and Naval Warfare Center,
Pacific, Office of Patent Counsel, Code 36000

Street Address: 53510 Silvergate Ave., Room 103

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Phone Number: (619) 553-3001

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Email Address: _____

6. Total number of applications and patents involved: 6**7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____**

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

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a. Credit Card Last 4 Numbers _____
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Authorized User Name _____

9. Signature:

Kyle Eppele
Signature

October 16, 2008
Date

KYLE EPPELE, PATENT COUNSEL
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents: 7

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1460

PATENT

REEL: 026014 FRAME: 0566

J. ERIC ANDERSON
SPAWARSYSCEN, SAN DIEGO Code 36000
53510 Silvergate Ave. rm 103
San Diego, CA 92152-5765

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

24 March 2011

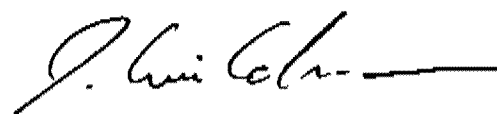
ASSIGNMENT RECORDATION CORRECTION REQUEST

Dear Sir:

Back on 16 October 2008, our office submitted a recordation request for a number of confirmatory licenses, which were recorded on reel 021697, frame 0334. However, it has subsequently come to our attention that the last application listed on the cover sheet was incorrect. The application "11/651,917" should be "11/641,917." I have attached the original cover sheet showing the error. I have also attached a copy of the confirmatory license that pertains to 11/641,917. Appropriate correction is requested.

Since this action relates to confirmatory licenses under Executive Order 9424, which do not affect title to the application, no fee is believed to be due. However, should a fee be required, the USPTO is hereby authorized to charge deposit account 50-0847 for any fees associated with this request. Please let me know if anything else is required.

Respectfully Submitted,



J. Eric Anderson

Reg. No. 58706

Tel.: (619) 553-3001

**CONFIRMATORY INSTRUMENT
AND POWER TO INSPECT**

Docket: HRL No.: 031245

Application Title: **Novel InAs-channel HEMT layer structure**

Inventor(s): Kenneth R. Elliott, Rajesh D. Rajavel

Serial Number: 11/641,917

Prime Contract: N66001-01-C-8033

Filing Date: 12/19/2006

Contractor: Space and Naval Warfare Systems

The invention identified above is a "subject invention" under Patent Rights clause FAR 52.227-12, dated Jan 1997, included in Contract No. N66001-01-C-8033 with Space and Naval Warfare Systems.

This document is confirmatory of the paid-up license granted to the Government under this contract in this invention, patent application and any resulting patent, and of all other rights acquired by the Government by the reference clause. HRL warrants that it will include a statement according to FAR 52.227-12(f)(4) in any pending U.S. Patent Application and resulting U.S. Patent based on this invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 13th day of May, 2008.

(Seal)

HRL Laboratories, LLC



Daniel R. Allemeier
Secretary and General Counsel

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