

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
HPL (High Power Lithium) AG	10/15/2010
RECEIVING PARTY DATA	
Name:	Dow Global Technologies LLC
Street Address:	2040 Dow Center
City:	Midland
State/Country:	MICHIGAN
Postal Code:	48674
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13057252
CORRESPONDENCE DATA	
Fax Number:	(989)636-3237
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	989-636-0960
Email:	plkipfmiller@dow.com
Correspondent Name:	Pattie Kipfmiller
Address Line 1:	The Dow Chemical Company
Address Line 2:	2040 Dow Center
Address Line 4:	Midland, MICHIGAN 48674
ATTORNEY DOCKET NUMBER:	69403
NAME OF SUBMITTER:	Pattie Kipfmiller
Total Attachments: 8 source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page1.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page2.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page3.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page4.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page5.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page6.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page7.tif source=69403 US 20110330 KJN HPL AG to DGTL Assignment#page8.tif	

CH \$40.00 13057252



**SUBSCRIBING WITNESS**

**AFFIDAVIT OF EXECUTION**

**(CORPORATE OFFICER)**

Print or Type  
Name and Address  
of Subscribing Witness

1. Cheryl Wenzinger

Whose full post office address is:

2370 Juniper Ct  
Golden, CO 80401  
USA

Make Oath and say that I was personally present and did see:

Print or Type  
Name of Corporation  
Officer and Title

Ferdinand Kaufmann  
CEO

of HPL (High Power Lithium) AG

known or identified to me to be the individual(s) described and who executed the foregoing document and that said individual has full authority to sign the document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objectives or activities of said corporation and acknowledge the same as a free act and deed for uses and purposes therein expressed.

Signed at: Cambridge, MA, USA

On this 14<sup>th</sup> day of October 2010

Witness Signature

Cheryl Wenzinger  
Subscribing Witness

This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number.



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FORM 550

### NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA )  
STATE OF Michigan ) SS  
County/Parish of Midland )

On this 15 day of October, 2010, personally  
appeared before me,  
**John B. Treangen, Authorized Representative**

known or identified to me to be the individual described in and who executed the foregoing document

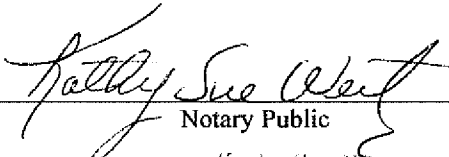
Transfer Assignment for and on behalf of Dow Global Technologies Inc.

and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said Corporation incorporated under the laws of the **State of Delaware, United States of America.**

I further certify that said authority, dated July 31, 2009 is based on  
**a delegation of signature authority,**

which I have seen, appointing the said individual **Attorney-in-fact** with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

SEAL

  
\_\_\_\_\_  
Notary Public  
*Kathy Sue Wertz*  
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN  
MY COMMISSION EXPIRES APRIL 07, 2012

# Delaware

PAGE 2

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "DOW GLOBAL TECHNOLOGIES LLC" FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

2332072 8100V

101227862

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8457755

DATE: 12-29-10

PATENT  
REEL: 026050 FRAME: 0231

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:40 AM 12/23/2010  
FILED 10:40 AM 12/23/2010  
SRV 101227862 - 2332072 FILE

**Certificate of Formation**  
**of**  
**Dow Global Technologies LLC**

This Certificate of Formation of Dow Global Technologies LLC has been duly executed and is being filed by Noreen D. Warrick, as an authorized representative, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del.C. §18-101, et seq.).

1. The name of the limited liability company is:

Dow Global Technologies LLC

2. The address of the limited liability company's registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle. The name of the limited liability company's registered agent for service of process in the State of Delaware at such address is the Corporation Trust Company.

3. The effective date for the formation of this limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of Dow Global Technologies LLC this 23<sup>rd</sup> day of December, 2010.

Noreen D. Warrick

Noreen D. Warrick  
Authorized Representative

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "DOW GLOBAL TECHNOLOGIES INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "DOW GLOBAL TECHNOLOGIES INC." TO "DOW GLOBAL TECHNOLOGIES LLC", FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.



2332072 8100V

101227862

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8457755

DATE: 12-29-10

PATENT  
REEL: 026050 FRAME: 0233

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:40 AM 12/23/2010  
FILED 10:40 AM 12/23/2010  
SRV 101227862 - 2332072 FILE

**Certificate of Conversion  
of  
Dow Global Technologies Inc.  
(a Delaware corporation)  
to  
Dow Global Technologies LLC  
(a Delaware limited liability company)**

**Pursuant to Section 18-214 of the Limited Liability Company Act**

Dow Global Technologies Inc., a Delaware corporation (the "Corporation"), files this Certificate of Conversion (the "Certificate") and hereby certifies the following:

1. The jurisdiction where the Corporation was first formed is Delaware.
2. The jurisdiction immediately prior to filing this Certificate is Delaware.
3. The date the Corporation was first formed is April 6, 1993.
4. The name of the Corporation immediately prior to filing this Certificate is Dow Global Technologies Inc.
5. The name of the limited liability company as set forth in the Certificate of Formation is Dow Global Technologies LLC.
6. The future effective date of the conversion to a limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate on the 23<sup>rd</sup> day of December, 2010.

Dow Global Technologies Inc.

By: Noreen D. Warrick  
Name: Noreen D. Warrick  
Title: Secretary



13/057,252

LITHIUM METAL PHOSPHATE/CARBON NANOCOMPOSITES AS CATHODE ACTIVE MATERIALS FOR RECHARGEABLE LITHIUM BATTERIES

03-30-2011::16:04:54

Patent Assignment Abstract of Title

69403

Total Assignments: 2

Application #: 13057252

Filing Dt: 02/02/2011

Patent #: NONE

Issue Dt:

PCT #: NONE

Publication #: NONE

Pub Dt:

Inventor: Andreas Kay

Title: LITHIUM METAL PHOSPHATE/CARBON NANOCOMPOSITES AS CATHODE ACTIVE MATERIALS FOR RECHARGEABLE LITHIUM BATTERIES

Assignment: 1

Reel/Frame: 026019 / 0741

Received: 03/25/2011

Recorded: 03/25/2011

Mailed: NONE

Pages: 4

Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor: HPL (HIGH POWER LITHIUM) SA

Exec Dt: 08/02/2010

Assignee: HPL (HIGH POWER LITHIUM) AG  
BAARERSTRASSE 86  
ZUG, SWITZERLAND 6302

Correspondent: PATTIE KIPFMILLER  
THE DOW CHEMICAL COMPANY  
2040 DOW CENTER  
MIDLAND, MI 48674

Assignment: 2

Reel/Frame: 025797 / 0845

Received: 02/11/2011

Recorded: 02/11/2011

Mailed: 02/18/2011

Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: KAY, ANDREAS

Exec Dt: 12/15/2010

Assignee: HPL (HIGH POWER LITHIUM) SA  
PSE-B EPFL  
LAUSANNE, SWITZERLAND CH-1015

Correspondent: PATTIE KIPFMILLER  
THE DOW CHEMICAL COMPANY  
2040 DOW CENTER  
MIDLAND, MI 48674

Search Results as of: 03/30/2011 16:04:46 PM

Disclaimer:

Assignment information on the assignment database reflects assignment documents that have been actually recorded. If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different. If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 571-272-3350

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