

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
James D. Marks	01/27/2011
Jianlong Lou	01/27/2011
Maria Consuelo G. Rodriguez	01/27/2011
Isin N. Geren	02/02/2011
RECEIVING PARTY DATA	
Name:	The Regents of the University of California
Street Address:	1111 Franklin Street
Internal Address:	Twelfth Floor
City:	Oakland
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	13055436
CORRESPONDENCE DATA	
Fax Number:	(650)327-3231
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	650-327-3400
Email:	weissenberger@bozpat.com
Correspondent Name:	Carol L. Francis
Address Line 1:	1900 University Ave., Suite 200
Address Line 4:	East Palo Alto, CALIFORNIA 94303
ATTORNEY DOCKET NUMBER:	UCSF-404
NAME OF SUBMITTER:	Carol L. Francis
Total Attachments: 2 source=ASSINGMENT#page1.tif source=ASSINGMENT#page2.tif	

OP \$40.00 13055436

ASSIGNMENT

U.C. Case No. SF2009-046; SF09046-Bont046

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- 1. Marks, James D.
- 2. Lou, Jianlong
- 3. Rodriguez, Maria Consuelos G.
- 4. Geren, Isin N.

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"Antibodies that Neutralize Botulinum Neurotoxins"

and which is found in

- (a) _____ U.S. Provisional Application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. Patent Application filed herewith and listing the above named persons as inventors
- (c) X _____ U.S. Application Serial No. or PCT International Application No. PCT/US2009/052314 designating the United States, filed on July 30, 2009
- (d) _____ U.S. Patent No., issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this Signature of Inventor(s)

1) _____ James D. Marks
 Date Year

2) _____ Jianlong Lou
 Date Year

3) _____ Maria Consuelo G. Rodriguez
 Date Year

4) 02/02/2011 _____ Isin N. Geren
 Date Year



ASSIGNMENT

U.C. Case No. SF2009-046; SF09046-Bont046

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- | | | | |
|----|-------------------------------|----|----------------|
| 1. | Marks, James D. | 2. | Lou, Jianlong |
| 3. | Rodriguez, Maria Consuelos G. | 4. | Geren, Isin N. |

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"Antibodies that Neutralize Botulinum Neurotoxins"

and which is found in

- (a) _____ U.S. Provisional Application filed herewith and listing the above named persons as inventors
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(d) _____ U.S. Patent No., issued



and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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executed this Signature of Inventor(s)

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|----|-----------------------------------------------------------|--------------------------------------------------------------------------------------|-----------------------------|
| 1) | <u>Jan 27, 2011</u>
Date Year |  | James D. Marks |
| 2) | <u>January 27, 2011</u>
Date Year |  | Jianlong Lou |
| 3) | <u>Jan 27, 2011</u>
Date Year | <u>M. Consuelo G. R.</u> | Maria Consuelo G. Rodriguez |
| 4) | _____
Date Year | _____ | Isin N. Geren |

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