### PATENT ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Richard W. Fitch	04/01/2011
Thomas F. Spande	04/01/2011
H. Martin Garraffo	04/01/2011
Herman J.C. Yeh	04/01/2011
John W. Daly (Deceased)	03/31/2011

#### **RECEIVING PARTY DATA**

Name:	The United States of America, as represented by the Secretary, Department of Health and Human Services	
Street Address:	Office of Technology Transfer, National Institutes of Health	
Internal Address:	6011 Executive Boulevard, Suite 325, MSC 7660	
City:	Bethesda	
State/Country:	MARYLAND	
Postal Code:	20892-7660	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
PCT Number:	US1128989

#### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER: CHM.015211/EKM

PATENT

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REEL: 026105 FRAME: 0937

NAME OF SUBMITTER:	John Kilyk, Jr.
Total Attachments: 12	
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PATENT REEL: 026105 FRAME: 0938

# ASSIGNMENT FOR NIH/FDA INVENTIONS (NIH OR FDA CO-INVENTORS ONLY)

WHEREAS, we, Richard W. Fitch, Thomas F. Spande, H. Martin Garraffo, Herman J.C. Yeh, and John W. Daly (Deceased), employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter The Inventors], have made certain inventions as described and claimed in the International Application for a U.S. patent, under International Application No. PCT/US11/28989, filed March 18, 2011, and which is entitled "NICOTINIC ACETYLCHOLINE RECEPTOR AGONISTS" ("patent application") and;

WHEREAS, we are the applicants named in the above-identified patent application; and

WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services [hereinafter THE GOVERNMENT] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the patent application; and

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in the above-identified patent application to THE GOVERNMENT, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to THE GOVERNMENT:

the entire right, title, and interest in and to any and all inventions described and claimed in said patent application and any and all Letters Patents which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of said patent application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patents that may be filed for any and all inventions described and claimed in said patent application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

we further agree to make, execute, and deliver to THE GOVERNMENT, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patents, and to assist THE GOVERNMENT in every way as may be requested in protecting said inventions.

Page 1 of 6

### IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:
<u>Y-1-2011</u> Date  Richard W. Fitch
County of Vigo
State of Andiana
Subscribed and sworn to before me this / day of April , 2011
[Seal]  NANCY K. BRANSON Vigo County  My Commission Expires August 14, 2015  My Commission Expires: 8/14/3015

Inventor 2:		
4-1-11 Thom	Thomas F. Spande	
County of MONTGOMERY		
State of MARYLAND		
Subscribed and sworn to before me this 16	Iday of HTKIL, JOIL.	
[Seat]  Cultar Controva  NOTABLY FUELIC  Montgomery County, Maryland My Commission Seates 8/24/2012  My Commission Expires:  My Commission Expires:		

Inventor 3:	
April 1, 2011  Date	Mugnificas III.  H. Martin Garraffo
County of MONTEOMERY	•
State of MARYLAND  Subscribed and sworn to before me this 19	St day of APRIL 2011
[Seal] Golito Gordova NOTARY PUBLIC Montgomery County, Maryland My Commission Expires N/2013	Notary Public for

Inventor 4:	
April 1, 2011 Herman J.C. Yeh	
County of Montgomery	
State of MARYLANO	
Subscribed and sworn to before me this 19T day of 1711, 201	<u>l</u> .
[Seal]  Celto Cordova NOTARY PUBLIC Montgomery County, Maryland My Commission Expires 8/28/2812	<del>-</del> -

Inventor 5:	
Date	Name: Kathryn Daly 2806 SW Stephenson St.
	Portland, OR 97219
	Personal Representative for the Estate of John W. Daly
County of	
State of	
Subscribed and sworn to before me this	day of
[Seal]	
	Notary Public for
	My Commission Expires:

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# ASSIGNMENT FOR NIH/FDA INVENTIONS (NIH OR FDA CO-INVENTORS ONLY)

WHEREAS, we, Richard W. Fitch, Thomas F. Spande, H. Martin Garraffo, Herman J.C. Yeh, and John W. Daly (Deceased), employees of the National Institutes of Health, a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, [hereinafter **The Inventors**], have made certain inventions as described and claimed in the International Application for a U.S. patent, under International Application No. PCT/US11/28989, filed March 18, 2011, and which is entitled "NICOTINIC ACETYLCHOLINE RECEPTOR AGONISTS" ("patent application") and;

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WHEREAS, the inventions described and claimed therein were made under conditions such as to entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services [hereinafter THE GOVERNMENT] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions; and

WHEREAS, THE GOVERNMENT is desirous of acquiring all domestic and foreign right, title, and interest in the inventions described and claimed in the patent application; and

NOW, THEREFORE, having the legal obligation to assign our right, title and interest in the inventions described and claimed in the above-identified patent application to THE GOVERNMENT, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, we hereby assign and transfer to THE GOVERNMENT:

the entire right, title, and interest in and to any and all inventions described and claimed in said patent application and any and all Letters Patents which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of said patent application including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patents that may be filed for any and all inventions described and claimed in said patent application that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patents, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said inventions.

Page 1 of 6

## IN WITNESS WHEREOF we subscribe our hands and seals on the dates shown below:

Inventor 1:		
Date	Richard W. Fitch	
County of		
State of		
Subscribed and sworn to before me this	day of	
Subscribed and sworn to before me this		
FG11		
[Seal]	Notary Public for	
	Notary Public for	
	My Commission Expires:	

Page 2 of 6

Inventor 2:	
Date	Thomas F. Spande
County of	,
State of	
Subscribed and sworn to before me this	_day of
	•
[Seal]	
	Notary Public for
	My Commission Expires:

Page 3 of 6

Inventor 3:		
Date	H. Martin Garraffo	
County of		
State of		
State of		
Subscribed and sworn to before me this	day of	.,
[Seal]		
	Notary Public for	<del> </del>
	My Commission Expires:	
	•	

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Inventor 4:		
Date	Herman J.C. Yeh	
County of		
Claude of C		
State of		
Subscribed and	sworn to before me this day of,	
	•	
[Seal]		
	Notary Public for	
	My Commission Expires:	

Page 5 of 6

Inventor 5:		
31 May 2011 + Qui	Name: Kathryn Daly 2806 SW Stephenson St. Portland, OR 97219	
	Personal Representative for the Estate of John W. Daly	
County of MULTNOMAH  State of OPEGON		
Subscribed and sworn to before me this 315T day of HARCH. 3011.		
OFFICIAL SEAL PHILE FOGERS NOTARY PUBLIC-OREGON COMMISSION NO. 420249 MY COMMISSION EXPIRES AUG. 16, 2011	Notary Public for OPEGON  My Commission Expires: Aub. 16, 2011	

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PATENT REEL: 026105 FRAME: 0950

**RECORDED: 04/11/2011**