

# PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
RETO BRUN	04/01/2011
SWISS TROPICAL AND PUBLIC HEALTH INSTITUTE	04/04/2011
UNIVERSITY OF BASEL (UNIBAS)	03/23/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	JULIUS-MAXIMILIANS-UNIVERSITAT WURZBURG
<b>Street Address:</b>	Am Sanderring 2
<b>City:</b>	D-97070 Wurzburg
<b>State/Country:</b>	GERMANY
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	12865333
<b>CORRESPONDENCE DATA</b>	
Fax Number: (352)372-5800 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> Phone: (352) 375-8100 Email: drs@slepatents.com Correspondent Name: David R. Saliwanchik Address Line 1: P.O. Box 142950 Address Line 4: Gainesville, FLORIDA 32614-2950	
<b>ATTORNEY DOCKET NUMBER:</b>	BB.269
<b>NAME OF SUBMITTER:</b>	David R. Saliwanchik
Total Attachments: 4 source=Ex-Assignment-Brun#page1.tif source=Ex-Assignment-Brun#page2.tif source=Ex-Assignment-Brun#page3.tif source=Ex-Assignment-Brun#page4.tif	

CH \$40.00 12865333

501510802

**PATENT**  
 REEL: 026176 FRAME: 0741

# Assignment of Invention and Patent Rights

In consideration of the payment by Assignee to Assignors of the sum of EUR 1.00 (One Euro) and in exchange for good and valuable consideration, whose receipt and sufficiency is hereby acknowledged by the Assignors, the

**Swiss Tropical and Public Health Institute**  
Socinstr. 57  
CH-4051 Basel  
Switzerland  
("Swiss TPH")

and

**University of Basel (UNIBAS)**  
Petersgraben 35  
CH-4003 Basel  
Switzerland  
("UNIBAS")

(Swiss TPH and UNIBAS are jointly also referred to as the "Assignors")

hereby sell, assign and transfer to the

**Julius-Maximilians-Universität Würzburg**  
Am Sanderring 2  
D-97070 Würzburg  
Germany  
(the "Assignee")

or to any of Assignee's legal successors, assigns or representatives, their entire right, title and undivided interest in and to the following inventions and patent applications:

Invention	Patent Applications	Filing Date / Priority Date(s)
Hybridmoleküle aus 4- und 8-Aminochinolinen, Verfahren zu ihrer Herstellung und deren Anwendung als Wirkstoffe für biotechnologische und medizinische Anwendungen	DE 102010048476.8	14.10.2010 / 14.10.2010
Dionocochinone, Ancistrochinone und weitere davon abgeleitete Verbindungen, Verfahren zu ihrer Herstellung, sie enthaltende Arzneimittel und deren Verwendung	DE 102008007898.0 EP 08009112.7 PCT/EP2009/000855 EP 09707393.6 US 12/865,333	06.02.2008 / 06.02.2008 16.05.2008 / 16.05.2008 06.02.2009 / 06.02.2008, 16.05.2008 06.02.2009 / 06.02.2008, 16.05.2008
Biofilm-hemmende Wirkung	DE 102006046922.4 PCT/EP2007/008440 EP 07818523.8 US 12/443,318	27.09.2006 / 27.09.2006 27.09.2007 / 27.09.2006 27.09.2007 / 27.09.2006 27.09.2007 / 27.09.2006

(the "Inventions")

- 1 Prof. Dr. Reto Brun, having a permanent, private residence at Stefanstrasse 68, CH-4106 Therwil, Switzerland, is a University professor at UNIBAS and full-time employee at the Swiss TPH and designated inventor or co-inventor, respectively, of the Inventions listed and defined above.

- 2 With his witnessed signature to this Assignment, Prof. Dr. Reto Brun hereby warrants that his employer, the Swiss TPH, has acquired by act of law in accordance with Article 332 of the Swiss Code of Obligations<sup>1</sup> an undivided right, title and interest in and to the Inventions. Prof. Dr. Reto Brun hereby further warrants with his witnessed signature below that Swiss TPH has acquired the right, title and interest as well as associated obligations thereof to the fullest and same extent as he would hold and/or have to comply with, if this acquisition would not rightfully occur by default and act of Swiss law.
- 3 The Swiss TPH, a non-profit legal entity organized under the laws of Switzerland, having a place of business at the address specified above hereby warrants that it has acquired by act of law in accordance with Article 332 of the Swiss Code of Obligations an undivided right, title and interest in and to the Inventions.
- 4 The Assignors, pursuant to Articles 5.1 to 5.3 of the Association and Cooperation Agreement between Swiss TPH and UNIBAS dated July 2, 2010, jointly own research results and inventions conceived and reduced to practice by University professors having a chair, lectureship or similar affiliation at UNIBAS but an employment contract with the Swiss TPH. If for any reason whatsoever Assignors' joint ownership should be found invalid or unenforceable, Swiss TPH and UNIBAS, by their legally authorized signatures, hereby individually sell assign and transfer their divided right, title and interest in and to the Inventions to the Assignee under the same terms and conditions of this Assignment.
- 5 For the purpose of this Assignment the term "Inventions" shall also include any improvement, enhancement or progression which directly relates to the Inventions and made, conceived and reduced to practice after the effective date of this Agreement.
- 6 The sale, assignment and transfer to Assignee shall include, but not be limited to:
  - 6.1 The right, title and undivided interest in and to the Inventions.
  - 6.2 The right, title and undivided interest in and to any patent application and/or issued patent or any legal equivalent thereto filed anywhere in the world and directly related to the Inventions, including but not limited to, divisionals, continuations, continuations-in-part, reexaminations, reissues and/or extensions thereof, anywhere in the world (the "Patent Rights").
  - 6.3 The right, title and undivided interest in and to the right to claim priority for the purpose of obtaining Patent Rights.
  - 6.4 The right, title and undivided interest in and to any right of action necessary for prosecuting, obtaining, defending, maintaining and/or enforcing the Patent Rights before any court and/or administrative authority.
- 7 Assignors hereby authorize and permit any authority entitled by national or regional law or bi- or multilateral treaties, anywhere in the world, to grant or issue invention patents or any legal equivalent thereto under applicable law, to grant such Patent Rights or legal equivalents thereto to Assignee.
- 8 The Assignors hereby individually and jointly warrant that, pursuant to (a) Article 332 of the Swiss Code of Obligations and (b) Articles 5.1 to 5.3 of the Association and Cooperation Agreement, they either individually or jointly control and possess the right, title and undivided interest in and to the Inventions and/or Patent Rights and that they are legally authorized and permitted to sell, assign and transfer said right, title and undivided interest to Assignee.

<sup>1</sup> <http://www.admin.ch/ch/d/sr/220/a332.html>

UNIBAS int. ref. 10/191STI

UNIBAS int. ref. 10/220STI

PATENT

REEL: 026176 FRAME: 0743

9 Assignors further covenant that Assignee will be promptly provided, upon its request, with all pertinent facts and information relating to the Inventions, Patent Rights and/or legal equivalents thereof provided that such facts and information are directly known, available and/or accessible to Assignors or can be procured and furnished to Assignee without undue effort. Assignors further covenant that they will testify in any interference, litigation or other legal or administrative proceedings and that they will promptly execute, sign and deliver to Assignee, its legal successors, assigns or representatives all papers, instruments, documents, declarations and/or affidavits required to file, obtain, issue, maintain, defend and/or enforce the Patent Rights.

10 As from August 1, 2011 all legal or administrative notices and requests should be directed to:

Unitectra Technology Transfer  
Schützenmattstrasse 16  
CH-4003 Basel  
Switzerland  
Phone: +41 61 267 3014  
Fax: +41 61 267 0997  
E-mail: [mail@unitectra.ch](mailto:mail@unitectra.ch)

11 Upon due execution of this Assignment by the authorized signatures of the Assignors, the Assignment shall be retroactively effective from the conception date of the earliest Invention referenced above but in no case later than the earliest priority date of the earliest Invention referenced above, in particular September 27, 2006.

IN WITNESS WHEREOF, Assignors have duly executed this Assignment as of the place and date hereinafter set forth below:

*The rest of this page is intentionally left blank*

Swiss TPH

Basel, 4/4/2011  
Date

Basel, \_\_\_\_\_  
Date

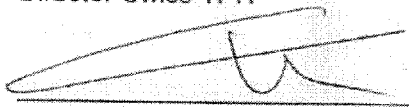
Basel, 1.04.2011  
Date

UNIBAS


Basel, 21.3.11  
Date


Basel, 23.3.11  
Date

  
Prof. Dr. Marcel Tanner  
Director Swiss TPH

  
Prof. Dr. Felix Gutzwiller  
President of the Board of Governors

  
Prof. Dr. Reto Brun  
Inventor Swiss TPH

Witnessed by   
Name (Print) TILL VOSS  
Date: 01/04/2011

  
Prof. Dr. Peter J. Meier-Abt  
Vice Rector Research

  
Christoph Tschumi  
Administrative Director

REVIEWED  
by the Office of  
Technology Transfer of  
the University of Basel

Vis.   
Date: 9.3.11