

04/21/2011



103623620



4-21-11

Case Docket No. 26681 US1

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Xin-Jie Chu
- 2) Qingjie DING
- 3) Nan JIANG
- 4) Jing ZHANG
- 5) Zhuming ZHANG

2. Name and address of receiving party(ies):

Name: HOFFMANN-LA ROCHE INC.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Street Address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

Execution Date: MARCH 28, 2011

Additional name(s) & address(es) attached:

Yes \_\_\_ No X

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

USSN 13/072,872

B. Patent No. 04(s)/2011 HULLINS 00000000 042020 13072072

FILED: MARCH 28, 2011

Additional numbers attached? \_\_\_ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41) . \$40.00

Authorized to be charged to deposit account

Authorized any deficiencies to be charged to deposit account

8. Deposit account number:

08-2525

DO NOT USE THIS SPACE



9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

BETTYANN HEDEMUS

Name of Person Signing

Bettyann Hedemus  
Signature

APRIL 19, 2011

Date

CONTACT: Kathleen Sweeney  
TELEPHONE: (973) 235-2573  
FAX: (973) 235-2364

Total number of pages including cover sheet, attachments and document: 4

**ASSIGNMENT**

WHEREAS, WE, Xin-Jie Chu, Qingjie Ding, Nan Jiang, Jing Zhang, Zhuming Zhang

(Assignors) have invented certain new and useful improvements which are described and claimed in application for United States Patent and identified as Case Docket No. **26681 US1**, entitled

**SPIROINDOLINONE PYRROLIDINES**


WHEREAS, HOFFMANN-LA ROCHE INC., a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at Nutley, New Jersey, is desirous of acquiring the entire interest in and to said invention, said application and the Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration to us in hand paid, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned, and set over, and by these presents do hereby sell, assign, and set over unto the said HOFFMANN-LA ROCHE INC. (Assignee), and said Assignee's legal representatives, successors, the entire right, title and interest, for the whole world, in and to said invention and said application, as well as any subsequent application which claims priority based upon the filing date of said application identified as Case Docket No. **26681 US1** and the patents, both domestic and foreign, that may or shall result therefrom including the right to claim in respect of any subsequent United States and foreign patent applications and patents, the priority date of said application under any United States statute and international convention or treaty; and we do hereby authorize and request the issuance of said patents, domestic and foreign, conformably to the terms of this Agreement.

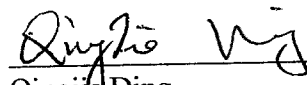
UPON SAID CONSIDERATION, we do hereby covenant and agree with the said Assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining provisional, original, continuation, continuation-in-part, divisional, reissued, re-examined or extended Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses ~~in action~~ accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals this 28th day of March, 2011.

Nutley, New Jersey, U.S.A.



Xin-Jie Chu



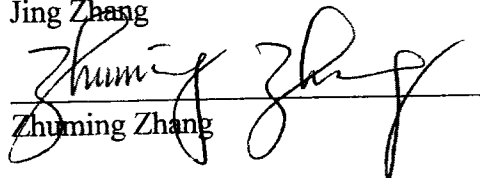
Qingjie Ding



Nan Jiang



Jing Zhang



Zhuming Zhang

ACKNOWLEDGMENT

United States of America)  
State of New Jersey    )  
County of Essex        )

On March 28, 2011, before me personally appeared **Xin-Jie Chu, Qingjie Ding, Nan Jiang, Jing Zhang, Zhuming Zhang** to me known to be the persons described in the foregoing Assignment, who acknowledged that they executed the foregoing Assignment of their own free will for the purposes therein set forth.



BETTYANN HEDEMUS  
Notary Public of New Jersey  
Commission Expires 9/25/2012

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