

04/22/2011

4-224



103623721

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Takashi OGAWA  
Kenichi NISHINAKA  
Yoshihiro KOYAMA

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) MARCH 30, 2011

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: SII NanoTechnology Inc.

Internal Address: \_\_\_\_\_

Street Address: 8, NAKASE 1-CHOME, MIHAMA-KU

City: CHIBA-SHI, CHIBA

State: \_\_\_\_\_

Country: JAPAN Zip: 261-8507

Additional name(s) & address(es) attached?  Yes  No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

12/931,993

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

Name: BRUCE L. ADAMS, ESQ.

Internal Address: \_\_\_\_\_

Street Address: ADAMS & WILKS

17 BATTERY PLACE-SUITE 1231

City: NEW YORK

State: NY Zip: 10004

Phone Number: 212-809-3700

Fax Number: 212-809-3700

Email Address: \_\_\_\_\_

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account -- any deficiency in enclosed fee
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 01-0268

Authorized User Name ADAMS & WILKS

9. Signature: [Signature]

Signature

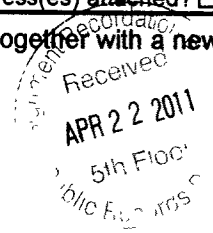
APR. 19, 2011

Date

BRUCE L. ADAMS  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents. 3

1-2011



ASSIGNMENT

WHEREAS, we, Takashi OGAWA, Kenichi NISHINAKA and Yoshihiro KOYAMA, each residing at c/o SII NanoTechnology Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, have invented new and useful improvements in FOCUSED ION BEAM APPARATUS, for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, SII NanoTechnology Inc., a Japanese corporation located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba 261-8507, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said SII NanoTechnology Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on MAR. 4, 2011;  
MAR. 26, 2011; MAR. 18 \_\_\_\_\_, 2011, respectively, filed February 15, 2011, Application Number 12/ 931,993 \_\_\_\_\_, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said

invention, application and Letters Patent to be held and enjoyed by the said SII NanoTechnology Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said SII NanoTechnology Inc. in accordance with this assignment.

Executed this 30th day of March, 2011.

Witness:

Takashi Ogawa (L.S.)  
Takashi OGAWA

Kenichi Nishinaka (L.S.)  
Kenichi NISHINAKA

Yoshihiro Koyama (L.S.)  
Yoshihiro KOYAMA