

Form PTO-1595 (Rev. 07/05)
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CAB

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S-119,082

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

The Regents of the University of California

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) April 8, 2009

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave. S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

Serial No. 12/406,651

☐ This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark P. Dvorscak

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

City: Argonne

State: IL Zip: 60439

Phone Number: 630-252-2393

Fax Number: 630-252-2779

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

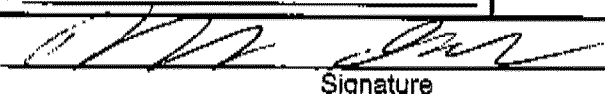
8. Payment Information

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Signature

5/4/2011
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Michael J. Dobbs #58,093

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: **2**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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PATENT

REEL: 026234 FRAME: 0531

700462336

CONFIRMATORY LICENSE

Title: Semiconductor nanocrystal probes for biological applications and process for making and using such probes
Contractor: The Regents of the University of California
Contract No: DE-AC02-05CH11231
LBNL Docket No: IB-1330J **DOE Docket No.:** S-Please Provide

Inventor(s): Alivisatos, A. Paul; Bruchez, Marcel; Weiss, Shimon

US Patent App No.: 12/406,651
Filing Date: March 18, 2009

Foreign patent applications filed or intended to be filed at contractor's expense in (countries):
(CA, EP, JP, WO)

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated October 29, 1996.

WHEREAS, the above-identified contract provides the Contractor with the right to elect to retain title in certain inventions and the Contractor has reported the above-identified invention as a subject invention under the contract, has elected to retain title therein, and has agreed to file a domestic patent application thereon, if not previously filed.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on behalf of: The Regents of the University of California, Lawrence Berkeley National Laboratory
(Contractor)

Witnessed:

Mark Menge
(Signature)

Mark D. Menge, Admin. Asst.
(Name and Title)

By:

Michael O'Connell Apr 8, 2009
(Contractor Official's Signature) (Date)

Michael J. O'Connell
Patent Attorney
Technology Transfer & IP Management
Lawrence Berkeley National Laboratory
One Cyclotron Road, MS 56A-120
Berkeley, CA 94720-8127

PATENT