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3. Nature of conveyance/Execution Date(s):

Execution Date(s) 07/02/2009

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4. Application or patent number(s): This document is being filed together with a new application.

A. Patent Application No.(s)

12/474,168

B. Patent No.(s)

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5. Name and address to whom correspondence concerning document should be mailed:

Name: CAROL PETROSKY

Internal Address: OFFICE OF NAVAL RESEARCH

OFFICE OF COUNSEL

Street Address: 875 NORTH RANDOLPH STREET

City: ARLINGTON

State: VA Zip: 22203-1995

Phone Number: 703-696-4008

Fax Number: 703-696-6909

Email Address: CAROL.PETROSKY@NAVY.MIL

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$

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Invention Title: Electronic substituents on the Conjugation Bridges of Polyene-based Nonlinear Optical Chromophores for Much Improved Electro-optic Activities, Chemical and Photochemical Stabilities

Inventor(s): Alex K-Y Jen, Brent M. Polishak, Yanqing Tian, Su Huang, Tae-Dong Kim, Xing-Hua Zhou, Jingdong Luo, Zhengwei Shi, Yen-Ju Cheng

U.S. Filing/Issue Date: 5/28/2009

Patent or Application Serial No.: 12/474,168

Grant/Contract Number(s): DMR0120967, N00014-06-1-0859

Foreign Applications filed/intended in (countries): _____

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Title Director, Licensing UW TechTransfer

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(Grantee/Contractor Organization)

At 4311 11th Ave. NE Suite 500 Seattle, WA 98105 US
(Business Address)

Patent Ref:#08-0066-04, Invention Ref:#9087701-08-0066, UW Ref:#7726D