

05/12/2011

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



ET

103624896

To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)** U.S. PTO  
#1: Zou CAI 13/068162  
#2: Jun ZOU 05/03/2011  
#3: Xuehua MAO

**2. Name and address of receiving party(ies)**  
Name: Zou CAI  
Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s) #1-3: 03/18/2011

Street Address: Block 43, No. 3 RD, Baotian

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

Industrial Area, Xixiang Town, Baoan

City: Shenzhen

State: Guangdong

Country: China Zip: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**  This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Raymond Y. Chan

Internal Address: \_\_\_\_\_

Suite 128

Street Address: \_\_\_\_\_

108 N. Ynez Avenue

City: Monterey Park

State: California Zip: 91754

Phone Number: 626-571-9812

Fax Number: 626-571-9813

Email Address: raymondc@davidandraymond.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:** \_\_\_\_\_

Signature

05/03/11  
Date

Raymond Y. Chan  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

05/06/2011 SDE PATENT 32 13868162

REEL: 026277 FRAME: 0722

5-3-11

## ASSIGNMENT

WHEREAS, I (we), Zou CAI  
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented a  
certain new and useful improvements in

LED LIGHT SOURCE  
(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- executed on even data herewith  
 executed on  
 filed on

Serial No.

WHEREAS, Zou CAI  
whose post office address is BLOCK 43 · NO.3 RD Baotian industrial area, Xixiang Town, Baoan  
district, Shenzhen, Guangdong, China  
hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the  
same in the United States,

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby  
acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the  
entire right, title, and interest in and to said INVENTION and application throughout the United States of  
America, including any and all Letters Patent granted on any division, continuation, continuation-in-part  
and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in  
connection with the filing, prosecution and maintenance of said application or any other patent  
application(s) in the United States for said INVENTION, including additional documents that may be  
required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration.  
ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and  
communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION  
that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on  
behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance  
and defense of any patent application or patent encompassed within the terms of this instrument.  
ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators  
and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to  
issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title  
and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of  
ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent  
may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment  
and sale not been made.

ASSIGNOR authorizes Raymond Y. Chan to insert or complete any information in this document  
needed to effect its recordal in the U.S. Patent and Trademark Office.

ASSIGNOR NAME. Zou CAI  
ADDRESS BLOCK 43 · NO 3 RD Baotian industrial area, Xixiang Town Baoan  
district, Shenzhen, Guangdong China

SIGNATURE

DATE

### ASSIGNMENT

WHEREAS, I (we), Jun ZOU  
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented a  
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(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- executed on even data herewith
- executed on:
- filed on:

Serial No.:

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district,Shenzhen,Guangdong,China  
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same in the United States;

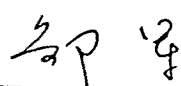
NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby  
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ASSIGNEE'S legal representatives and successors to the full end of the term for which such Letters Patent  
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and sale not been made

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needed to effect its recordal in the U.S. Patent and Trademark Office

ASSIGNOR NAME: Jun ZOU  
ADDRESS: BLOCK 43 NO 3 RD Baotian industrial area Xixiang Town Baoan  
district,Shenzhen,Guangdong,China

  
\_\_\_\_\_  
SIGNATURE

2011.3.18  
\_\_\_\_\_  
DATE

### ASSIGNMENT

WHEREAS, I (we), Xuehua MAO whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented a certain new and useful improvements in

LED LIGHT SOURCE

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- executed on even data herewith
- executed on \_\_\_\_\_
- filed on \_\_\_\_\_

Serial No. \_\_\_\_\_

WHEREAS, Zou CAI

whose post office address is BLOCK 43 · NO 3 RD Baotian industrial area, Xixiang Town, Baoan district, Shenzhen, Guangdong, China

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

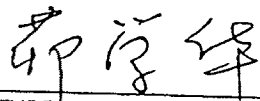
NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

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ASSIGNOR authorizes Raymond Y Chan to insert or complete any information in this document needed to effect its recordal in the U S Patent and Trademark Office

ASSIGNOR NAME: Xuehua MAO  
ADDRESS: BLOCK 43 · NO 3 RD Baotian industrial area, Xixiang Town, Baoan district, Shenzhen Guangdong, China



SIGNATURE

2011. 3. 18

DATE