

Form **PTO-1595** (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2012)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

LONG LARRY LE, PAUL PICKARD, JAMES MICHAEL LAY, TAO TONG,
RONAN LETOQUIN, BERND KELLER, ERIC TARSA, MARK YOUMANS,
THEODORE LOWES, NICHOLAS W. MEDENDORP, JR.,
ANTONY VAN DE VEN, GERALD NEGLEY

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) PLEASE SEE ATTACHMENT A

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other PLEASE SEE ATTACHMENT A

2. Name and address of receiving party(ies)

Name: CREE, INC.

Internal Address: _____

Street Address: 4600 SILICON DRIVE

City: DURHAM

State: NC

Country: U.S.A. Zip: 27703

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

13/028,946

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: JAYE G. HEYBL

Internal Address: KOPPEL PATRICK HEYBL & PHILPOTT

Street Address: 2815 TOWNSGATE ROAD, SUITE 215

City: WESTLAKE VILLAGE

State: CA Zip: 91361

Phone Number: (805) 373-0060

Fax Number: (805) 373-0051

Email Address: generalmail@koppelpatent.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40


- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 11-1580

Authorized User Name Steven C. Patrick

9. Signature:



Signature

5/3/11
Date

JAYE G. HEYBL

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

13

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 026309 FRAME: 0929

700462200

CH \$40.00 111580 13028946

Docket No. P1387US1-7

ASSIGNMENT

We, LONG LARRY LE, residing in Morrisville, North Carolina, PAUL PICKARD, residing in Morrisville, North Carolina, JAMES MICHAEL LAY, residing in Cary, North Carolina, TAO TONG, residing in Oxnard, California, RONAN LETOQUIN, residing in Fremont, California, BERND KELLER, residing in Santa Barbara, California, ERIC TARSA, residing in Goleta, California, MARK YOUMANS, residing in Goleta, California, THEODORE LOWES, residing in Lompoc, California, NICHOLAS W. MEDENDORP, JR., residing in Raleigh, North Carolina, ANTONY VAN DE VEN, residing in Sai Kung, N.T., Hong Kong, and GERALD NEGLEY, residing in Durham, North Carolina, represent that we have invented improvements in a new and useful "SOLID STATE LAMP AND BULB" for which we have executed an application for Letters Patent of the United States of America.

CREE, INC.(CREE) is a corporation organized and existing under the laws of the State of North Carolina, has a place of business at, 4600 Silicon Drive, Durham, North Carolina 27703 and desires to acquire the entire right, title and interest in and to the invention, application and letters patent to be granted and issued thereon.

For valuable consideration, the receipt and legal sufficiency of all of which we acknowledge, we have sold and do hereby sell, assign, transfer and set over unto CREE, its successors and assigns, the entire right, title and interest in and to the invention and all improvements thereon, in and to the application for letters patents thereon, in and to applications pertaining to or based upon the invention and application, including divisional and continuing applications and continuations-in-part, and in and to any and all letters patent which may be granted and issued on the invention and applications, or any of them, in the United States of America, its territories and possessions, and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

We also agree that we will, at the request of CREE, execute any and all applications for letters patent for the invention, execute any and all other papers and documents and do all other and further lawful acts that CREE may deem necessary or desirable to obtain letters patent on the invention, to secure the grant of such letters patent and to protect and vest in CREE, the undivided interests in the right, title and interest in the invention, applications and letters patent.

We also authorize and empower CREE, its successors and assigns to apply for and obtain, in its or their own names, letters patent for the invention before competent International Authorities and

Docket No. P1387US1-7

Page two

in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

Date: _____, 2011 _____
LONG LARRY LE

Date: _____, 2011 _____
PAUL PICKARD

Date: _____, 2011 _____
JAMES MICHAEL LAY

Date: 2/23, 2011 _____
TAO TONG

Date: 2/23, 2011 _____
RONAN LETOQUIN

Date: Feb 23, 2011 _____
BERND KELLER

Date: 2/23, 2011 _____
ERIC TARSA

Date: 2/23, 2011 _____
MARK YOUMANS

Date: 2/28/11, 2011 _____
THEODORE LOWES

Date: _____, 2011 _____
NICHOLAS W. MEDENDORP, JR.

Date: _____, 2011 _____
ANTONY VAN DE VEN

Date: _____, 2011 _____
GERALD NEGLEY

(SEE ATTACHED)

Docket No. P1387US1-7

STATE OF ~~CALIFORNIA~~

) ss.

COUNTY OF ~~SANTA BARBARA~~

On ~~FEBRUARY 23, 2011~~ ^{FEBRUARY 22, 2011} before me, JEFFERY KENT DAVIDSON, Notary Public, (here insert name and title of the officer), personally appeared ~~LONG LARRY LE, PAUL PICKARD, JAMES MICHAEL LAY, TAO TONG, RONAN LETOQUIN, BERND KELLER, ERIC TARSA, MARK YOUMANS, THEODORE LOWES, NICHOLAS W. MEDENDORP, JR., ANTONY VAN DE VEN, and GERALD NEGLEY~~, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of CALIFORNIA that the foregoing paragraph is true and correct.

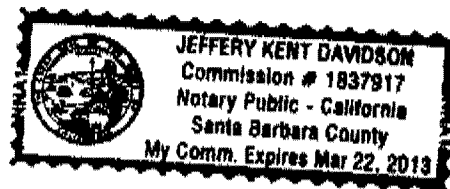
WITNESS my hand and official seal.

Signature



(Seal)

M/J11-P1387US1-7assign

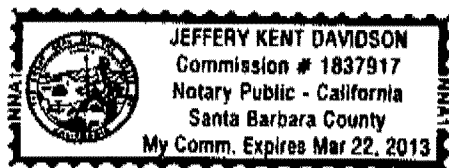


PATENT

REEL: 026309 FRAME: 0932

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of SANTA BARBARAOn FEBRUARY 25, 2011 before me, JEFFERY KENT DAVIDSON, Notary Publicpersonally appeared THEODORE DOUGLAS HOWES

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment / Deed No. P1387US1-7Document Date: 02/23/11 & 02/28/11 Number of Pages: THREESigner(s) Other Than Named Above: TAD TONG, RONALD LECHE, ET AL.

Capacity(ies) Claimed by Signer(s)

Signer's Name: THEODORE DOUGLAS HOWES

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Docket No. P1387US1-7

ASSIGNMENT

We, LONG LARRY LE, residing in Morrisville, North Carolina, PAUL PICKARD, residing in Morrisville, North Carolina, JAMES MICHAEL LAY, residing in Cary, North Carolina, TAO TONG, residing in Oxnard, California, RONAN LETOQUIN, residing in Fremont, California, BERND KELLER, residing in Santa Barbara, California, ERIC TARSA, residing in Goleta, California, MARK YOUMANS, residing in Goleta, California, THEODORE LOWES, residing in Lompoc, California, NICHOLAS W. MEDENDORP, JR., residing in Raleigh, North Carolina, ANTONY VAN DE VEN, residing in Sai Kung, N.T., Hong Kong, and GERALD NEGLEY residing in Durham, North Carolina, represent that we have invented improvements in a new and useful "SOLID STATE LAMP AND BULB" for which we have executed an application for Letters Patent of the United States of America.

CREE, INC.(CREE) is a corporation organized and existing under the laws of the State of North Carolina, has a place of business at, 4600 Silicon Drive, Durham, North Carolina 27703 and desires to acquire the entire right, title and interest in and to the invention, application and letters patent to be granted and issued thereon.

For valuable consideration, the receipt and legal sufficiency of all of which we acknowledge, we have sold and do hereby sell, assign, transfer and set over unto CREE, its successors and assigns, the entire right, title and interest in and to the invention and all improvements thereon, in and to the application for letters patents thereon, in and to applications pertaining to or based upon the invention and application, including divisional and continuing applications and continuations-in-part, and in and to any and all letters patent which may be granted and issued on the invention and applications, or any of them, in the United States of America, its territories and possessions, and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.


We also agree that we will, at the request of CREE, execute any and all applications for letters patent for the invention, execute any and all other papers and documents and do all other and further lawful acts that CREE may deem necessary or desirable to obtain letters patent on the invention, to secure the grant of such letters patent and to protect and vest in CREE, the undivided interests in the right, title and interest in the invention, applications and letters patent.


We also authorize and empower CREE, its successors and assigns to apply for and obtain, in its or their own names, letters patent for the invention before competent International Authorities and

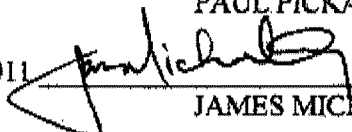
Docket No. P1387US1-7

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in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

Date: 2/23/11, 2011 
LONG LARRY LE

Date: 2/22/11, 2011 
PAUL PICKARD

Date: 2/22, 2011 
JAMES MICHAEL LAY

Date: _____, 2011 _____
TAO TONG


Date: _____, 2011 _____
RONAN LETOQUIN

Date: _____, 2011 _____
BERND KELLER


Date: _____, 2011 _____
ERIC TARSA

Date: _____, 2011 _____
MARK YOUMANS

Date: _____, 2011 _____
THEODORE LOWES

Date: 2/22, 2011 
NICHOLAS W. MEDENDORP, JR.

Date: _____, 2011 _____
ANTONY VAN DE VEN

Date: 2/22/10^{SV}, 2011 
GERALD NEGLEY

SV

Docket No. P1387US1-7

Page two

in any and all countries foreign to the United States in which applications for letters patent can be so made or letters patent so obtained.

Date: _____, 2011 _____
LONG LARRY LE

Date: _____, 2011 _____
PAUL PICKARD

Date: _____, 2011 _____
JAMES MICHAEL LAY

Date: _____, 2011 _____
TAO TONG

Date: _____, 2011 _____
RONAN LETOQUIN

Date: _____, 2011 _____
BERND KELLER

Date: _____, 2011 _____
ERIC TARSA

Date: _____, 2011 _____
MARK YOUMANS

Date: _____, 2011 _____
THEODORE LOWES

Date: _____, 2011 _____
NICHOLAS W. MEDENDORP, JR.

Date: 31 MARCH, 2011 _____
ANTONY VAN DE VEN

Date: _____, 2011 _____
GERALD NEGLEY

Docket No. P1387US1-7

STATE OF)
) ss.
COUNTY OF)

On _____ before me, _____, (here insert name and title of the officer), personally appeared LONG LARRY LE, PAUL PICKARD, JAMES MICHAEL LAY, TAO TONG, RONAN LETOQUIN, BERND KELLER, ERIC TARSA, MARK YOUNG, THEODORE LOWES, NICHOLAS W. MEDENDORP, JR., ANTONY VAN DE VEN, and GERALD NEGLEY, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of _____ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____

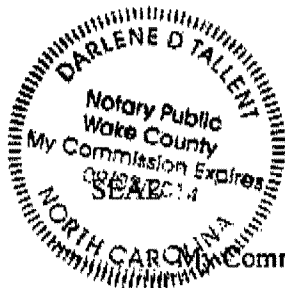
(Seal)

M/J11-P1387US1-7assign

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Sant, a Notary Public for Durham County, North Carolina, do hereby certify that **LONG LARRY LE** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 23 day of February, 20 .



Darlene D. Sant
Notary Public

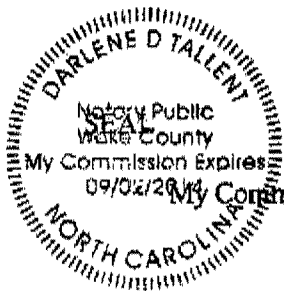
Commission Expires: 9-2-2014

Docket No. P1387US1-7

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Tallent, a Notary Public for Durham County, North Carolina, do hereby certify that **PAUL PICKARD** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 23 day of February, 2011.



Darlene D. Tallent
Notary Public

My Commission Expires: 9-2-2014

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Tallent, a Notary Public for Durham County, North Carolina, do hereby certify that **JAMES MICHAEL LAY** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 23 day of February, 2011.



Darlene D. Tallent
Notary Public

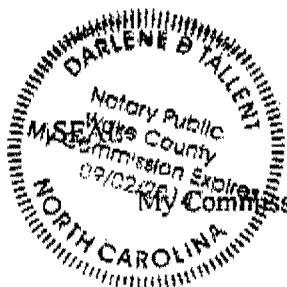
My Commission Expires: 9-2-2014

Docket No. P1387US1-7

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Tallent, a Notary Public for Durham County, North Carolina, do hereby certify that **NICHOLAS W. MEDENDORP, JR** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 23 day of February, 2011.



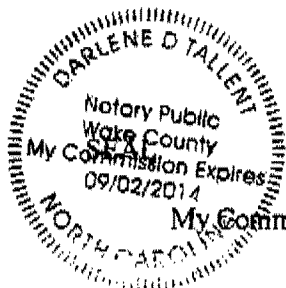
Darlene D. Tallent
Notary Public

My Commission Expires: 9-2-2014

STATE OF NORTH CAROLINA
COUNTY OF DURHAM

I, Darlene D. Tallent, a Notary Public for Durham County, North Carolina, do hereby certify that **GERALD NEGLEY** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

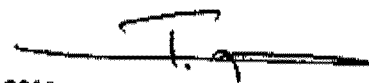
Witness my hand and official seal, this the 23 day of February, 2011.



Darlene D. Tallent
Notary Public

My Commission Expires: 9-2-2014

Docket No. P1387US1-7

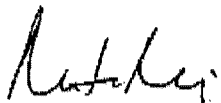
Date: 31 MARCH 2011 2011

ANTONY VAN DE VEN

Witnessed by :

Date: 31 MARCH 2011

Signature:



Name:

NABBIE MADate: 31 MARCH 2011

Signature:



Name:

SPENCER HO