

Form PTO-1595 (Rev. 09/04)
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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

TADAHIRO OHISHI (05/18/2007), KEITA TANAKA (05/14/2007), MASAKI ANDO (05/17/2007), AND KAZUHIRO TANAKA (05/18/2007)

Execution Date(s): In parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SONY CORPORATION

Internal Address: _____

Street Address: _____

1-7-1 Konan, Minato-ku
Tokyo
108-0075
JAPAN

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

13/097,716

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Raymond B. Churchill, Jr.
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SONYJP 3.0-1337 CC

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 518-6398

Fax Number: (908) 654-0415

Email Address: rchurchill@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

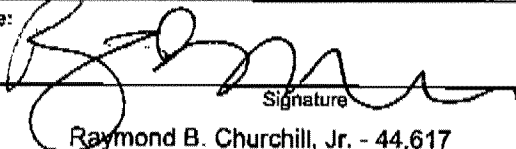
- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 12-1095
Authorized User Name Raymond B. Churchill,

9. Signature:


Signature

Raymond B. Churchill, Jr. - 44,617
Name of Person Signing

May 20, 2011

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Docket No.: SONYJP 3.0-1337
Sony Ref. No.: S07P0411US00

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in:

OPTICAL ELEMENT AND IMAGING APPARATUS

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 1-7-1 Konan, Minato-ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the application number and filing date of this application in the spaces that follow: Application Number: 11/726,360; Filing Date: March 21, 2007.

This assignment executed on the dates indicated below.

Tadahiro Ohishi

Name of First or Sole Inventor

Execution Date of U.S. Patent Application

Gifu, Japan

Residence of First or Sole Inventor

Tadahiro Ohishi

Signature of First or Sole Inventor

May 18, 2007

Date of this Assignment

Docket No.: SONYJP 3.0-1337
Sony Ref. No.: S07P0411US00

Keita Tanaka

Name of Second Joint Inventor

Execution Date of U.S. Patent Application

Aichi, Japan

Residence of Second Joint Inventor

Signature of Second Joint Inventor

Date of this Assignment

Masaki Ando

Name of Third Joint Inventor

Execution Date of U.S. Patent Application

Chiba, Japan

Residence of Third Joint Inventor

Signature of Third Joint Inventor

Date of this Assignment

Kazuhiro Tanaka

Name of Fourth Joint Inventor

Execution Date of U.S. Patent Application

Tokyo, Japan

Residence of Fourth Joint Inventor

Signature of Fourth Joint Inventor

Date of this Assignment

Docket No.: SONYJP 3.0-1337

Sony Ref. No.: S07P0411US00

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in:

OPTICAL ELEMENT AND IMAGING APPARATUS

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 1-7-1 Konan, Minato-ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the application number and filing date of this application in the spaces that follow: Application Number: 11/726,360; Filing Date: March 21, 2007.

This assignment executed on the dates indicated below.

Tadahiho Ohishi

Name of First or Sole Inventor

Execution Date of U.S. Patent Application

Gifu, Japan

Residence of First or Sole Inventor

Signature of First or Sole Inventor

Date of this Assignment

Docket No.: SONYJP 3.0-1337
Sony Ref. No.: S07P0411US00

Keita Tanaka

Name of Second Joint Inventor

Execution Date of U.S. Patent Application

Aichi, Japan

Residence of Second Joint Inventor

Keita Tanaka
Signature of Second Joint Inventor

May 14, 2007
Date of this Assignment

Masaki Ando

Name of Third Joint Inventor

Execution Date of U.S. Patent Application

Chiba, Japan

Residence of Third Joint Inventor

Masaki Ando
Signature of Third Joint Inventor

May 17, 2007
Date of this Assignment

Kazuhiro Tanaka

Name of Fourth Joint Inventor

Execution Date of U.S. Patent Application

Tokyo, Japan

Residence of Fourth Joint Inventor

Kazuhiro Tanaka
Signature of Fourth Joint Inventor

May 18, 2007
Date of this Assignment