

Form PTO-1595 (Rev. 03-09)  
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Timothy J. O'Brien  
Lowell J. Underwood

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) August 3, 2000 for both

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other CORRECTIVE ASSIGN-011061/0571 ASSIGNEE N

**2. Name and address of receiving party(ies)**

Name: Board of Trustees of the University of Arkansas

Internal Address: \_\_\_\_\_

Street Address: 2404 North University Avenue

City: Little Rock

State: Arkansas

Country: USA Zip: 72207-3608

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

6,642,013

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Benjamin Aaron Adler, Ph.D., J.D.

Internal Address: \_\_\_\_\_

Street Address: 8011 Candle Lane

City: Houston

State: Texas Zip: 77071

Phone Number: (713) 270-5391

Fax Number: (713) 270-5361

Email Address: benjamin.adler@mac.com

**6. Total number of applications and patents involved: one****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

  
Signature

May 25, 2011  
Date

Benjamin Aaron Adler, Ph.D., J.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 664201

09-18-2000



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

8-17-00

Recordation Form Cover SheetTo the Honorable Commissioner of Patents and Trademarks, Please record the attached documents.

1. Name of conveying party(ies):  
**Timothy J. O'Brien**  
**Lowell J. Underwood**
2. Name and address of receiving party(ies):  
Name: The University of Arkansas  
for Medical Sciences  
Address: 4301 West Markham,  
Slot 518  
Little Rock, AR 77205-7199

Additional names of conveying party(ies) attached?  
☒ NO ☐ YES

3. Nature of Conveyance: ☒ Assignment ☐ Merger  
Additional names & addresses attached?  
☐ Security Agreement ☐ Change of Name ☐ NO ☐ YES  
☐ OTHER \_\_\_\_\_

Execution Date: **August 3, 2000.**

4. Application number(s) or patent number(s): **09/618,259**  
Additional numbers attached? ☒ NO ☐ YES
5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Dr. Benjamin Adler  
McGREGOR & ADLER  
Address:  
8011 Candle Ln.  
Houston, Texas 77071
6. Total number of applications and patents involved: 1
7. Total Fee (37 CFR 3.412):.....\$40.00 ☒ enclosed ☐ authorized to charge deposit account
8. Deposit Account number: \_\_\_\_\_ (duplicate copy attached if paying by deposit account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Benjamin Aaron Adler, Ph.D., J.D.  
Name of person signing

h Adler  
Signature

8/14/00  
Date

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Total number of pages comprising cover sheet: 1

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## ASSIGNMENT

WHEREAS, ,We, Timothy J. O'Brien, of 2610 North Pierce, Little Rock, AR 72207, and Lowell J. Underwood, of 121 N. Jackson St., Apt. K, Little Rock, AR 72205, (hereinafter collectively called "ASSIGNORS"), are co-inventors and owners of certain new and useful improvements in *Extracellular Serine Protease*, an application for United States Letters Patent as filed July 18, 2000, ~~as~~ United States Serial No. 09/618,259.

WHEREAS, ~~The~~ Board of Trustees of the University of Arkansas System, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for us and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or

PATENT

REEL: 026350 FRAME: 0261

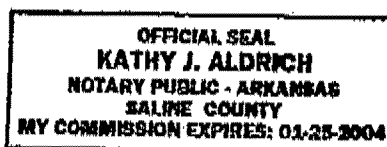
the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: Aug. 3 2000

Timothy J. O'Brien  
Timothy J. O'Brien

STATE OF Arkansas

COUNTY OF Saline



Before me this 3<sup>rd</sup> day of August, 2000, personally appeared Timothy O'Brien known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Kathy J. Aldrich

My Commission Expires: 1-25-2004

DATE: Aug. 3, 2000

Lowell J. Underwood  
Lowell J. Underwood

STATE OF Arkansas

COUNTY OF Saline

Before me this 3<sup>rd</sup> day of Arkansas, 2000, personally appeared Lowell J. Underwood known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Kathy J. Aldrich

My Commission Expires:

1-25-2004

