

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
David Lawrence	09/21/1999
RECEIVING PARTY DATA	
Name:	BGC PARTNERS, INC.
Street Address:	499 Park Avenue
City:	New York
State/Country:	NEW YORK
Postal Code:	10022
PROPERTY NUMBERS Total: 15	
Property Type	Number
Application Number:	08342809
Patent Number:	5915209
Patent Number:	6876309
Patent Number:	7310051
Patent Number:	7555282
Application Number:	11678811
Application Number:	11678832
Application Number:	11678835
Application Number:	11678841
Application Number:	11678871
Application Number:	11690441
Application Number:	11946297
Application Number:	11946448
Application Number:	12041333
Application Number:	12493905

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PATENT
REEL: 026393 FRAME: 0427

CORRESPONDENCE DATA

Fax Number: (212)308-7537

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Correspondent Name: Innovation Division

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ATTORNEY DOCKET NUMBER:

94-1075

NAME OF SUBMITTER:

GLEN R. FARBANISH

Total Attachments: 6

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ASSIGNMENT OF WORLD RIGHTS

(Sole Inventor)

WHEREAS, I, David LAWRENCE of 25 Strickland Place, Manhasset, New York 11030 hereinafter termed the Assignor, am the inventor of certain new and useful Inventions and Improvements in "BOND TRADING SYSTEM" set forth and described in a Letters Patent of the United States (the "Invention"), and

- ☐ executed by me on _____
- ☒ having Patent No. 5,915,209 dated June 22, 1999, together with all applications derived from such Patent and its application, hereinafter referred to as the "above applications"

and, WHEREAS, Exchange Brokerage Systems Corp., L.L.C. (a New York corporation), of 60 Hawthorne Place, Manhasset, New York 11030 hereinafter termed the Assignee, desires to acquire the Patent and the invention disclosed therein;

NOW THEREFORE, BE IT KNOWN that I, the Assignor, for and in consideration of the sum of Ten Dollars (US\$10.00) or the equivalent thereof, and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby assign, confirm the prior assignment, sell, transfer and set over unto the Assignee the entire right, title and interest in and to the Invention throughout the World, the above applications and the Patents now granted and patents to be granted on the above applications or future applications in the United States and the World, or international patent applications under the Patent Cooperation Treaty, together with the right to claim in any country the priority of the above applications, the right to sue in any country for past infringement of the Patents, Invention and above applications, and the right to file an International application under the Patent Cooperation Treaty;

AND I, the Assignor, hereby affirm that my title in and to the Patents, Invention and the above applications hereby conveyed are free from any and all outstanding licenses and adverse rights and I hereby covenant that I will, for the consideration aforesaid, hereafter execute any and all lawful documents and papers which may be necessary to completely vest the title to the Patents, Invention, and the above applications in the United States of America and the World, to any International Patent Cooperation Treaty application, to any foreign patent application or registered design application, to any patent issuing on any such application, to any applications directed to the Invention and to any continuation, renewal, division, reissue or reexamination of the Patents or above applications and all foreign counterparts thereof, in the Assignee, its successors or assigns.

AND I, the Assignor, hereby request and authorize the Commissioner of Patents and Trademarks to issue the Letters Patent of the United States of America which may be granted on the above applications and Invention, to the Assignee, its successors and assigns.

IN WITNESS WHEREOF, I, the Assignor, has hereunto signed my name as follows:

WITNESSES

INVENTORS

DATE

David Lawrence

9/21/99

ASSIGNMENT OF WORLD RIGHTS

(Sole Inventor)

WHEREAS, I, David LAWRENCE of 25 Strickland Place, Manhasset, New York 11030 hereinafter termed the Assignor, am the inventor of certain new and useful Inventions and Improvements in "BOND TRADING SYSTEM" set forth and described in an application for Letters Patent of the United States (the "Invention"), and

[] executed by me on _____

[X] having Patent Application No. 09/321,638 filed on May 28, 1999, together with all applications derived from such application, hereinafter referred to as the "above applications"

and, WHEREAS, Exchange Brokerage Systems Corp., L.L.C. (a New York corporation), of 60 Hawthorne Place, Manhasset, New York 11030 hereinafter termed the Assignee, desires to acquire the Patent and the invention disclosed therein;

NOW THEREFORE, BE IT KNOWN that I, the Assignor, for and in consideration of the sum of Ten Dollars (US\$10.00) or the equivalent thereof, and other good and valuable consideration, the receipt of which is hereby acknowledged, do hereby assign, confirm the prior assignment, sell, transfer and set over unto the Assignee the entire right, title and interest in and to the Invention throughout the World, the above applications and the Patents now granted and patents to be granted on the above applications or future applications in the United States and the World, or international patent applications under the Patent Cooperation Treaty, together with the right to claim in any country the priority of the above applications, the right to sue in any country for past infringement of the Patents, Invention and above applications, and the right to file an International application under the Patent Cooperation Treaty;

AND I, the Assignor, hereby affirm that my title in and to the Patents, Invention and the above applications hereby conveyed are free from any and all outstanding licenses and adverse rights and I hereby covenant that I will, for the consideration aforesaid, hereafter execute any and all lawful documents and papers which may be necessary to completely vest the title to the Patents, Invention, and the above applications in the United States of America and the World, to any International Patent Cooperation Treaty application, to any foreign patent application or registered design application, to any patent issuing on any such application, to any applications directed to the Invention and to any continuation, renewal, division, reissue or reexamination of the Patents or above applications and all foreign counterparts thereof, in the Assignee, its successors or assigns.

AND I, the Assignor, hereby request and authorize the Commissioner of Patents and Trademarks to issue the Letters Patent of the United States of America which may be granted on the above applications and Invention, to the Assignee, its successors and assigns.

IN WITNESS WHEREOF, I, the Assignor, has hereunto signed my name as follows:

WITNESSES

INVENTORS

DATE

David Lawrence

2/21/99

HANDAL & MOROFSKY

CONFIRMATORY PATENT ASSIGNMENT

WHEREAS, Exchange Brokerage Systems Corp., a corporation organized and existing under the laws of the state New York, having a place of business 67 Wall Street, Suite 2211, New York, New York, 10005 (hereinafter called "EBS"), is the assignee of all right, title and interest in and to U.S. Patent 5,915, 209, entitled "Bond Trading System" (including all continuation, continuation-in-part, divisional, reissue, reexamination and all foreign counterpart patents and applications thereto, hereinafter called the "209 Patent"), by virtue of an assignment dated 7/26/01 from the inventor, David Lawrence to EBS (submitted concurrently herewith for recording, with copy attached hereto);

WHEREAS, eSPEED, INC., a corporation organized and existing under the laws of the state of Delaware, having a place of business at One World Trade-Center, New York, New York 10048 (hereinafter called "eSpeed") is desirous of acquiring all right, title and interest in and to the '209 Patent;

NOW, THEREFORE, in consideration of the foregoing and other good and valuable consideration the receipt of which is hereby acknowledged, it is hereby agreed as follows:

EBS hereby confirms that, pursuant to a certain Agreement entered into between EBS and eSpeed, it has assigned and transferred to eSpeed the entire right, title and interest in and to the '209 Patent, together with rights to sue and to recover for past and future infringements of the '209 Patent, the same to be held and enjoyed by eSpeed, and each of its successors and assigns.

IN WITNESS WHEREOF, EBS has caused this Assignment to be duly executed as of the date of execution of this Confirmatory Patent Assignment.

Exchange Brokerage Systems Corp.

By: David Lawrence - President

Date: 7/26/01

Attest: _____

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"BGC PARTNERS, LLC", A DELAWARE LIMITED LIABILITY COMPANY, WITH AND INTO "ESPEED, INC." UNDER THE NAME OF "BGC PARTNERS, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE FIRST DAY OF APRIL, A.D. 2008, AT 3:14 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF APRIL, A.D. 2008, AT 4 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

3051512 8100M

080382170

You may verify this certificate online
at corp.delaware.gov/authver.shtml



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6493140

DATE: 04-01-08

PATENT

REEL: 026393 FRAME: 0432

CERTIFICATE OF MERGER

of

BGC PARTNERS, LLC
a Delaware limited liability company

with and into

eSPEED, INC.
a Delaware corporation

In accordance with Section 264 of the General Corporation Law of the State of Delaware, eSpeed, Inc., a Delaware corporation (the "Surviving Corporation"), does hereby certify the following information relating to the merger (the "Merger") of BGC Partners, LLC, a Delaware limited liability company ("MergeCo"), with and into eSpeed, Inc.:

FIRST: The name and state of domicile of each of the constituent entities of the Merger (the "Constituent Entities") are as follows:

<u>Name</u>	<u>State of Incorporation</u>
eSpeed, Inc.	Delaware
BGC Partners, LLC	Delaware

SECOND: The Agreement and Plan of Merger, dated as of May 29, 2007, as amended as of November 5, 2007 and February 1, 2008, by and among the Surviving Corporation, MergeCo, Cantor Fitzgerald, L.P., BGC Partners, L.P., BGC Global Holdings, L.P. and BGC Holdings, L.P. (as amended, the "Merger Agreement"), was approved, adopted, certified, executed and acknowledged by each of the Constituent Entities in accordance with the requirements of Section 264 of the General Corporation Law of the State of Delaware.

THIRD: The surviving corporation in the Merger is eSpeed, Inc. which will be renamed "BGC Partners, Inc." in the Merger.

FOURTH: The Amended and Restated Certificate of Incorporation attached hereto as Exhibit A shall, at the effective time of the Merger, be the certificate of incorporation of the Surviving Corporation.


FIFTH: A copy of the executed Merger Agreement is on file at the offices of the Surviving Corporation at BGC Partners, Inc., 499 Park Avenue, New York, New York 10022.

SIXTH: A copy of the Merger Agreement will be furnished by the Surviving Corporation, on request and without cost, to any stockholder or, as applicable, any member of any of the Constituent Entities.

SEVENTH: The Merger shall be effective at 4 p.m. on April 1, 2008.

IN WITNESS WHEREOF, the Surviving Corporation has caused this Certificate of Merger to be executed by a duly authorized officer on this 1st day of April, 2008.

eSPEED, INC. (to be renamed "BGC Partners, Inc.")

By: 
Name: Stephen M. Merkel
Title: Executive Vice President, General Counsel and Secretary

[Signature Page of Certificate of Merger]