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City: Oakland State: CA
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71P 94067
Name: SoftMax, Inc.
Street Address: 5775 Morehouse Drive
City: San Diego State: CA
ZIP: 92121
Additional name(s) of receiving party(ies) attached?() Yes (X) No
4. US or PCT Application number(s) or US Patent
number(s):
(X) Patent Application No.: 11/572,409 Filing Date: January 19, 2007
Additional numbers attached?
() Yes (X) No
 Total number of applications and patents involved: 1
8 Deposit account number: 11_1/10
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COOPERATION AGREEMENT

THIS COOPERATION AGREEMENT (the "Agreement") is made by and entered into between The Regents of the University of California, a California corporation ("The Regents") having an office at 1111 Franklin Street, 12th Floor Oakland, CA 94067, represented by its San Diego compus having an address at University of California, San Diego, Technology Transfer Office, Mail Code 0910, 9500 Gilman Drive, La Jolla, California 92093-0910 ("UCSD"), and SoftMax, Inc., a California corporation ("SoftMax") having an office at 5775 Morehouse Drive, San Diego, CA 92121.

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, SoftMax and The Regents, each intending to be legally bound, hereby agree that SoftMax and The Regents (acting through the University of California, San'Diego) will work. together to file prompily the assignments in Exhibit A with the United States Patent and Trademark Office (the "USPTO") for: (1) the patent application entitled "System and method for speech processing using independent component analysis under stability constraints" that was filed on December 11, 2003 having Application No. PCT/US2003/039593 (the "Stability Constraints Application"), together with all U.S. patents issuing thereon; (2) the patent application entitled "Separation of target acoustic signals in a multi-transducer arrangement" that was filed on or about July 22, 2004 and assigned U.S. Serial No. 10/897,219 (the "Miniti-Transducer Arrangement Application"), together with all U.S. patents issuing thereon; and (3) all U.S. potent applications deriving priority from the items in (1) or (2) above, together with all U.S. patents issuing thereon. SoftMax and The Regents acknowledge and agree that each party will have the right to use such claimed inventions in its technology, products and services, and allow others to do the same, without a duty of accounting or obligation to compensate the other party. Softmax shall maintain all U.S. patents and U.S. patent applications in items (1), (2) or (3) for the joint benefit of Softmax and The Regents. If at any time Softmax wishes to discontinue prosecution of any such U.S. patent application, or cease to maintain any such issued U.S. patent, Softmax shall so notify The Regents not less than thirty (30) days prior to such abandonment and will allow The Regents the opportunity to assume the prosecution and/or maintenance of said patent application(s) and/or patent(s).

SoftMax and The Regents also agree to abendon, and do hereby abandon, in all non-U.S. jurisdictions, all non-U.S. patent applications and patents deriving priority from (a) the Stability Constraints Application, (b) the Multi-Transducer Attangement Application and/or (c) all patent applications deriving priority from (a) or (b) above (subparts (a), (b) (c) above shall comprise collectively the "Foreign Applications"). Upon and after the execution of this Agreement, SoftMax will take all necessary steps to abandon the Foreign Applications, including not initiating the payment of filing or maintenance fees therefor, discontinuing prosecution work therefor, and/or notifying and/or confirming with applicable foreign governmental bodies that such Foreign Applications have been abandoned. The Regents will take no steps to oppose these efforts. Also, neither SoftMax nor the Regents shall take any steps or actions in the future to revive or apply for any Foreign Applications. Also, it is understood that the foregoing obligations shall act as an encumbrance on the inventions, patents and patent applications described herein, and shall apply to any purchaser, assignee or transferee in connection with any future sale, assignment or transfer by a party hereto or any successors or assigns of title or any

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ownership rights in, or grant of any exclusive rights under; any of such inventions, patents and patent applications. As a condition to any future sale, assignment or transfer, or grant of any exclusive rights, any purchaser, assignee or transferee of title or any ownership rights in, or licensee of any exclusive rights under, any of the foregoing inventions, patents and/or patent applications shall be bound to these obligations as if named in the place and stead of such party.

The Regents (to the knowledge of the Technology Transfer Office at UCSD, the duly authorized office for administration of The Regents rights in the foregoing inventions, patents and patent applications) and SoftMax represent to each other that, on and prior to the execution of this Agreement, neither party has sold, assigned or transferred title or ownership rights in, or granted any exclusive rights under, any of the foregoing inventions, patents or patent applications to any third party (with exception of the now terminated license between Softmax and The Regents, UCSD Agreement #2006-03-0575). The Regents represents to SoftMax that on and prior to the execution of this Agreement, The Regents has not applied for any Foreign Applications. This Agreement constitutes the complete and entire understanding and agreement, and supersedes all prior and contemporaneous understandings and agreements (whether in written or oral form), by and between SoftMax and The Regents regarding its subject matter.

IN WITNESS WHEREOF, this instrument has been signed by The Regents and SoftMax through their duly authorized representatives.

The Regents of the University of California

SoftMax, Inc.

By: Name: Jone C. Moores plogy Tran 25-2010 Date:

By: <u>Septille</u> Printed Name: <u>Sandip Michas</u> Title: <u>VP; Patent Coupsel</u> Date: 12/08/04