

05/31/2011



B/O FORM PTO-1595 (Rev. 4/00)

U.S. Department of Commerce Patent and Trademark Office

103626231

To the Commissioner for Patents Please record the attached original documents or copies thereof.

## 1. Name of Conveying Party(ies)

Wei-I LEE  
Kuei-Ming CHEN  
Yin-Hao WU  
Yen-Hsien YEH

## U.S. PTO

13/067228  
05/18/2011

## 2. Name and Address of Receiving Party(ies):

Name: National Chiao Tung University

Street Address: No. 1001, Daxue Rd., East Dist.

City, State, Zip: Hsinchu City 300, Taiwan, R.O.C.

## 3. Nature of Conveyance

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name,  
☐ Other:

Execution Date: May 11, 2011

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s)

## 4. (B) Patent Number(s).

If this document is being filed together with a  
new application, the execution date of the  
application is

May 11, 2011

☐ Additional Numbers Attached.

Title: A METHOD FOR SMOOTHING GROUP III NITRIDE SEMICONDUCTOR SUBSTRATE

Attorney Docket No.: LEEW3016/EM

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed

Name Eugene Mar

23364

CUSTOMER NUMBER

Bacon & Thomas, PLLC  
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Alexandria, VA 22314

## Total Number of Applications and Patents Involved:

1

7. Total Fee  
(37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit Account Number

02-0200

## 9. Statement and Signature

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true  
copy of the original document.

Eugene Mar

May 18, 2011

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 3

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PATENT

REEL: 026427 FRAME: 0124

40.00 DP

# ASSIGNMENT

WHEREAS, I (we), **Wei-I Lee, Kuei-Ming Chen, Yin-Hao Wu, Yen-Hsien Yeh** whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in

**A method for smoothing group III nitride semiconductor substrate**

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- ☒ executed on even date herewith  
☐ executed on \_\_\_\_\_  
☐ filed on \_\_\_\_\_ Serial No. \_\_\_\_\_  
☐ established by PCT International Patent Application No.: \_\_\_\_\_ filed: \_\_\_\_\_  
 designating the United States of America

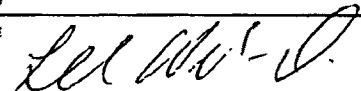
WHEREAS, **National Chiao Tung University** whose post office address is **No. 1001, Daxue Rd., East Dist., Hsinchu City 300, Taiwan, R.O.C.** hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the INVENTION that are within ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's heirs, executors, administrators and other legal representatives

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and behoof, and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas, PLLC** to insert or complete any information in this document needed to effect its recordal in the U S Patent and Trademark Office

ASSIGNOR NAME <b>Wei-I LEE</b>	ADDRESS <b>Department of Electrophysics, National Chiao Tung University No. 1001, Daxue Rd., East Dist, Hsinchu City 300, Taiwan, (R.O.C.)</b>
WHERE SIGNED <b>Taiwan(R.O.C)</b>	SIGNATURE 
DATE <b>2011.5.11</b>	

☒ Continued on Next Page

## ASSIGNMENT

Page:

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