

06/01/2011

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1. Name of conveying party(ies)

Dominique Michel, Hanspeter Mettler, John McGarrity

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 13, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Lonza AG

Internal Address _____

Street Address Munchensteinerstrasse 38

City Basel

State _____

Country Switzerland Zip CH-4052

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

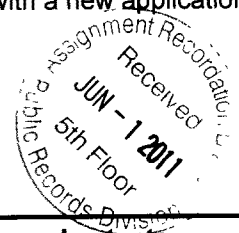
☐ This document is being filed together with a new application

A Patent Application No (s)

B Patent No (s)

13/064,146

Additional numbers attached? ☐ Yes ☒ No



5. Name and address to whom correspondence concerning document should be mailed:

Name Fisher, Christen & Sabol

Internal Address _____

Street Address 1156 15th Street, N.W., Suite 603

City Washington

State D.C. Zip 20005

Phone Number 202-659-2000

Fax Number 202-659-2015

Email Address FCSabol@aol.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$40

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 06/01/2011 NGUYEN 00000047 13064146

Authorized Virgil H. Marsh 40.00 OP

9. Signature:

Virgil H. Marsh
Signature

May 31, 2011
Date

Virgil H. Marsh
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 026428 FRAME: 0329

WHEREAS

We:

Dominique MICHEL, a Swiss citizen, residing at Rue du Stade 22, CH-3960 Sierre, Switzerland
Hanspeter METTLER, a Swiss citizen, residing at Finkenweg 12, CH-3930 Visp, Switzerland
John MCGARRITY, a Swiss citizen, residing at Bajiweg 45, CH-3902 Brig-Glis, Switzerland

have invented certain new and useful improvements in PROCESS FOR THE PREPARATION OF ENANTIOMERICALLY PURE 1-SUBSTITUTED-3-AMINOALCOHOLS for which for which we ma de application for LETTERS PATENT OF THE UNITED STATES, serial no. 10/590,140, filed August 21, 2006.

And Whereas, LONZA AG, organized and existing under the laws of Switzerland, having its principal place of business at Münchensteinerstrasse 38, CH-4052 Basel, Switzerland.

hereinafter called the assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and any United State LETTERS PATENT that may be granted therefor.

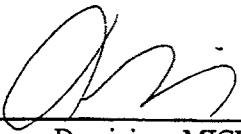
Now, this Indenture Witnesseth. That for and in consideration of the sum of forty Dollars, in hand paid to US, and other good and valuable considerations, the receipt whereof is hereby acknowledged,

WE have assigned, sold and transferred, and do hereby assign, sell and transfer to the said assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions, and in and to any subsequent application for LETTERS PATENT of the United States, and in and to all divisions and continuations of said application, and in and to any LETTERS PATENT of the United States and all reissues, reexaminations, and extensions thereof that may be granted, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the assignee for its own use and behoof, and for the use and behoof of its successors or assigns, to the full end of the respective terms for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by

US if this assignment sale had not been made. And WE do hereby request and authorize that any and all LETTERS PATENT, when granted, be issued in accordance with this assignment to the assignee.

In further consideration of said sum of forty Dollars, and said other good and valuable considerations, to US paid, WE covenant and agree with the assignee that WE have a full and unencumbered title to the invention hereby assigned, which title WE warrant unto the assignee, and WE further agree that WE will, without demanding any further consideration therefor, at the request but at the expense of the assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, furnishing of information and giving of testimony, that may be or become necessary for obtaining, sustaining, reexamining or reissuing said United States LETTERS PATENT for the said invention, and for maintaining and perfecting the assignee's right to said invention and said LETTERS PATENT, particularly in cases of interference and litigation.

In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 13th day of September, 2006.


_____[SEAL.]
Dominique MICHEL

In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 13th day of
September, 2006.


_____[SEAL.]
Hanspeter METTLER

In Testimony Whereof, I have hereunto set my hand and affixed my seal, this 13th day of
September, 2006.


_____[SEAL.]
John MCGARRITY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket: LP-2019-1

Applicants : Dominique Michel et al.

Serial No. : 13/064,146

Prior Art Unit: 1625

Filed : March 8, 2011

Prior Exam.: Taofig A. Soloa

Title : **PROCESS FOR THE PREPARATION OF ENTANTIOMERICALLY
PURE 1-SUBSTITUTED-3-AMINOALCOHOLS**

RECORDATION OF ASSIGNMENT

Mail Stop Assignment
Recordation Services
Director Of The USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Recordation Form Cover Sheet – Patents Only (dated May 31, 2011) for the above-identified patent application (i.e., USSN 13/064,146), along with a copy of the executed two-page assignment (dated September 13, 2006) by the three applicants to Lonza AG are enclosed.

U.S. patent application Serial No. 13/064,146 is a division of USSN 10/590,140.

Also enclosed is a check (dated May 31, 2011) in the amount of \$ 40.00 for the assignment recordation fee.

Respectfully submitted,

May 31, 2011
Date

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