

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Mr. Kun-Yuan LI	06/13/2011
RECEIVING PARTY DATA	
Name:	YAN TEC INDUSTRIES INC.
Street Address:	No.95-18, Jiushe Rd., Houli Dist.,
City:	Taichung
State/Country:	TAIWAN
Postal Code:	40869
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	29394012
CORRESPONDENCE DATA	
Fax Number:	(886)423-8664
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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ATTORNEY DOCKET NUMBER:	GFP-1007118
NAME OF SUBMITTER:	Kun-Yuan LI
Total Attachments: 3 source=GFP-7118-assignment#page1.tif source=GFP-7118-assignment#page2.tif source=GFP-7118-assignment#page3.tif	

OP \$40.00 29394012

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PATENT
REEL: 026430 FRAME: 0129

ASSIGNMENT

WHEREAS, I (we), Kun-Yuan LI
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented a
certain new and useful improvements in

STEM TUBE CAP FOR BIKES

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☐ executed on even data herewith

☐ executed on:

☒ filed on: June 13, 2011

Serial No.: 29/394, 012

WHEREAS, YAN TEC INDUSTRIES INC.

RESIDENCE No.95-18, Jiushe Rd., Houli Dist., Taichung City 421, Taiwan (R.O.C.)

MAILING ADDRESS P.O. BOX 70-121, Taichung City, 40899, Taiwan (R.O.C)

hereinafter referred to as ASSIGNEES, are desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ALSO, ASSIGNOR hereby appoint direct all correspondence mail to below correspondence name and address :

CHIEN-HUI, SU
P.O. BOX 70 -121 Taichung, TAIWAN (R.O.C.)
TEL:+886-4-23860999
FAX:+886-4-23866430

ASSIGNOR NAME: Kun-Yuan LI
RESIDENCE No.95-18, Jiushe Rd., Houli Dist., Taichung City 421, Taiwan (R.O.C.)
MAILING ADDRESS P.O. BOX 70 -121 Taichung, Taichung City, TAIWAN (R.O.C.)

SIGNATURE

DATE

PATENT

REEL: 026430 FRAME: 0130

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) – SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below

Name of Concern: YAN TEC INDUSTRIES INC.

Residence: No.95-18, Jiushe Rd., Houli Dist., Taichung City 421, Taiwan (R.O.C.)

Mailing Address : P.O. BOX 70 -121 Taichung, 40899 TAIWAN (R.O.C.)

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power of control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

STEM TUBE CAP FOR BIKES

described in:

☐ the specification filed herewith
☒ application serial no. 29/394,012, filed June 13, 2011
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I hereby appoint direct all correspondence mail to below correspondence name and address :

CHIEN-HUI, SU

P.O. BOX 70 -121 Taichung, TAIWAN (R.O.C.)

TEL:+886-4-23860999

FAX:+886-4-23866430

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statements is directed.

Name of Person Signing: Kun-Yuan LI

Title of Person Other than Owner: President

Residence No.95-18, Jiushe Rd., Houli Dist., Taichung City 421, Taiwan (R.O.C.)

Mailing Address P.O. BOX 70 -121 Taichung, TAIWAN (R.O.C.)

Signature

Date

2011.6.13

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Kun-Yuan LI

Application No./Patent No.: 29/394,012 Filed/Issue Date: June-13-2011

Titled: STEM TUBE CAP FOR BIKES

YAN TEC INDUSTRIES INC., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/ Kun-Yuan LI /

June-13-2011

Signature

Date

Kun-Yuan LI

President

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.