

06/02/2011

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| ٦                                                                                                                          | To the Director of the U.S. Patent and Trademark Office: Please is                                              |          |                                                                          |  |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------|--------------------------------------------------------------------------|--|
| 1                                                                                                                          | Name of conveying party(ies):                                                                                   | 2.       | Name and address of receiving party(ies)                                 |  |
|                                                                                                                            | SOCRATECH L.L.C. 5                                                                                              |          |                                                                          |  |
| t                                                                                                                          | 2                                                                                                               | 1)       | Name: ZZ BIOTECH L.L.C.                                                  |  |
| ľ                                                                                                                          | 3                                                                                                               |          | Street Address: 1001 McKinney Street, Suite 1900                         |  |
|                                                                                                                            | 8                                                                                                               |          | City: Houston                                                            |  |
| ſ                                                                                                                          | U                                                                                                               |          | State/Country Texas USA Zip 77002                                        |  |
|                                                                                                                            | Additional name/s of conveying narty/ses attached?                                                              |          | State/Country Texas USA Zip 77002                                        |  |
|                                                                                                                            | Additional name/s of conveying party/ies attached?   3. Nature of conveyance.                                   | 2        | Name                                                                     |  |
|                                                                                                                            | 3. Nature of conveyance.  ☐ Assignment ☐ Merger                                                                 | ۲        | Street Address:                                                          |  |
|                                                                                                                            | Assignment                                                                                                      |          | City:                                                                    |  |
| ١                                                                                                                          | Other                                                                                                           |          | State/Country: Zip:                                                      |  |
| ١                                                                                                                          |                                                                                                                 |          | State/Country. Zip.                                                      |  |
| l                                                                                                                          | Execution Date: May 26, 2011                                                                                    |          | Additional name/s & address/es attached?  Yes  No                        |  |
|                                                                                                                            | Execution Date. Nity 20, 2011                                                                                   | 1        | 1 100 VI 100                                                             |  |
| ŀ                                                                                                                          | 4. Application number(s) or patent number(s):   This assignment is being filed together with a new application. |          |                                                                          |  |
|                                                                                                                            | A. Patent Application No(s).                                                                                    | ) a      | B. Patent No(s).                                                         |  |
|                                                                                                                            | (1) 10/529,748                                                                                                  | 1        | (1)                                                                      |  |
|                                                                                                                            | ·                                                                                                               |          | (1)                                                                      |  |
|                                                                                                                            | (2)                                                                                                             |          | (3)                                                                      |  |
| I                                                                                                                          | (3) Additional numbers att                                                                                      | l<br>tac | · · ·                                                                    |  |
| 5 Name and address of party to whom correspondence 6. Total number of applications & patents involved: 1                   |                                                                                                                 |          |                                                                          |  |
|                                                                                                                            | concerning document should be mailed.                                                                           | "        | Total number of appreciations & patents involved.                        |  |
|                                                                                                                            | concerning document should be maned                                                                             | 7        | Total fee (37 CFR 3.41) \$ 40 00                                         |  |
|                                                                                                                            | Name: Gary R. Tanıgawa                                                                                          | ['       | Enclosed                                                                 |  |
|                                                                                                                            | Name. Oary R. Tamgawa                                                                                           |          | Authorized to be charged to deposit account #14-1140                     |  |
| 1                                                                                                                          | Internal Address                                                                                                |          | Li Additorized to be charged to deposit account #14-1140                 |  |
|                                                                                                                            | Internal Address                                                                                                | ٥        | The Commissioner is hereby authorized to charge any                      |  |
|                                                                                                                            | Street Address: Nivon & Vanderhye D.C.                                                                          | l°       | <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which |  |
| 1                                                                                                                          | Street Address: Nixon & Vanderhye P.C. 901 North Glebe Road                                                     |          | should have been filed herewith (or with any paper thereafter            |  |
|                                                                                                                            |                                                                                                                 |          |                                                                          |  |
|                                                                                                                            | 11th Floor                                                                                                      | 1        | filed in this application by this firm) to our Account No.               |  |
|                                                                                                                            | City: Arlington State VA Zip: 22203                                                                             |          | 14-1140.                                                                 |  |
| DO NOT HEE THIS COLOR                                                                                                      |                                                                                                                 |          |                                                                          |  |
|                                                                                                                            | DO NOT USE THIS SPACE                                                                                           |          |                                                                          |  |
| 9. Statements and signature.                                                                                               |                                                                                                                 |          |                                                                          |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy |                                                                                                                 |          |                                                                          |  |
|                                                                                                                            | of the original document.                                                                                       |          |                                                                          |  |
|                                                                                                                            | of the original document.                                                                                       |          |                                                                          |  |
|                                                                                                                            | Gary R. Tanigawa May 31, 2011                                                                                   |          |                                                                          |  |
|                                                                                                                            | Name of Person Signing Sign                                                                                     | nai      |                                                                          |  |
|                                                                                                                            | Reg. No. 43,180                                                                                                 | ","      | Date                                                                     |  |
|                                                                                                                            |                                                                                                                 | ทดไ      | l cover sheet, attachments, and document. [3]                            |  |
| ۱                                                                                                                          | Tomi hamovi of pages morading of ign                                                                            |          | · · · · · · · · · · · · · · · · · · ·                                    |  |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to

Mail Stop Assignment Recordation Services, Director of the USPTO, PO Box 1450, Alexandria, VA 22313-1450

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PATENT REEL: 026449 FRAME: 0721 1811003

N&V Ref: 4061-32

## ASSIGNMENT OF PATENT APPLICATION (U.S. Rights)

WHEREAS, SOCRATECH L.L.C. (the ASSIGNOR) being a Delaware limited liability company having an office and place of business at 620 Elmwood Avenue, Rochester, New York 14620 has acquired an undivided interest in the invention entitled "PROTEIN S PROTECTS THE NERVOUS SYSTEM FROM INJURY" for which a soentitled patent application was filed on March 30, 2005 in the Patent and Trademark Office under Serial No. 10/529,748 from the inventor Berislav V. Zlokovic in the assignment recorded on June 9, 2005 in the Patent and Trademark Office starting at reel 016676, frame 0166;

WHEREAS, ZZ BIOTECH L.L.C. (the ASSIGNEE), a limited liability company of the State of Delaware having an office and place of business at 1001 McKinney Street, Suite 1900, Houston, Texas 77002 is desirous of acquiring an interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the ASSIGNOR hereby sells, assigns, and transfers unto the ASSIGNEE, its successors, assigns, and legal representatives, the ASSIGNOR's entire right, title, and interest in the United States of America in and to the above-entitled invention, the aforesaid application, all U.S. applications arising from the aforesaid application, and any subsequent application based thereon including all divisions, continuations, reexaminations, reissues, and substitutes of said U.S. applications, and all resulting Letter Patents, patent term extensions, and supplementary protection certificates together with the right of priority under the International Convention for the Protection of Industrial Property, the Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the

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N&V Ref: 4061-32

United States of America adheres; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent, patent term extensions, and supplementary protection certificates to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives.

ASSIGNOR hereby agrees to execute any paper by ASSIGNEE, its successors, assigns, and legal representatives, which is deemed necessary to ASSIGNEE's full protection and title in and to the invention hereby assigned; and

ASSIGNOR hereby agrees, upon request of ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, to execute any and all papers desired by ASSIGNEE, its successors, assigns, and legal representatives, for the filing and granting of U.S. applications and the perfecting of title in ASSIGNEE, its successors, assigns, and legal representatives.

AGREED and executed as noted below:

**SOCRATECH L.L.C.** 

Name: Joseph J. Romano

Title: CEO

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